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**Guide to the Hispanic American  
Historical Review, 1956-1975**

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University of California  
Press

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# **Guide to the Hispanic American Historical Review, 1956-1975**

Edited by **Stanley R. Ross** and  
**Wilber A. Chaffee** with the  
assistance of **Beecher C.  
Ellison**

1956-1965 edited by  
**Donald E. Worcester** and  
**Walter A. Payne**



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# Introduction

This volume is the third in a series of guides to *The Hispanic American Historical Review*. The first *Guide to The Hispanic American Historical Review 1918-1945* was compiled by Ruth L. Butler and published by Duke University Press in 1950. The second *Guide to The Hispanic American Historical Review 1946-1955* was the result of the efforts of Charles Gibson and E. V. Niemeyer and appeared in 1958. It will be noted that the two original guides covered respectively the first quarter century of the journal and the succeeding decade.

Now, with two additional decades of service to the profession behind us, it seemed timely to prepare a third guide which would facilitate access to the material published in the past twenty years. In large measure we have followed the format of the Gibson-Niemeyer volume, which in large measure was modeled on the Butler work. One exception has been the modernization of the journal reference citation for both articles and book reviews. As in the earlier volumes, all substantive articles, notes and comments, and book reviews and notices have been included. Editorial announcements and professional and personal news (except obituaries) have been omitted.

The major effort for the initial decade has been the contribution of Donald E. Worcester, who served as Managing Editor during half of that period, and of Walter A. Payne. The undersigned, who also served a *lustro* as Managing Editor, is responsible, with Wilber A. Chaffee and the assistance of Beecher C. Ellison, for the second decade and the overall editing of the volume. We are indebted to Mrs. Anne H. Dibble who compiled the index of book reviews and did the major portion of the typing of the manuscript. Mr. and Mrs. Joseph Cunningham assisted with the proofreading, while Mrs. Anna Hall helped with the typing. I am particularly indebted to the Director of Duke University Press, Ashbel Brice, who encouraged us to undertake the preparation of this guide and supported its publication despite severe economic pressures. Last, but by no means least, I wish to acknowledge with appreciation the contribution of physical facilities and "overhead expenses" by the Institute of Latin American Studies of the University of Texas at Austin through its Director, Dr. William P. Glade.

Stanley R. Ross

Austin, Texas



**Guide to the Hispanic American  
Historical Review, 1956-1975**





# Articles, Notes and Comments

## Bibliography, Documents, and Archives

- 1 ARNADE, CHARLES W. "A Historical Institute in Potosí," 42:1 (February 1962), 51-53.

A listing of publications of the Instituto de Investigaciones Históricas de la Universidad de Potosí (Tomás Frías) established in 1956.

- 2 BANNON, JOHN FRANCIS, S. J. "The Saint Louis University Collection of Jesuitica Americana," 37:1 (February 1957), 82-88.

The major portion of the Saint Louis University Collection of Jesuitica Americana comprises documentary sources for the Colonial Period but there are sections on Jesuit enterprise during the first half of the nineteenth century. Included are the Pastells Collection, concerned chiefly with the Spanish Indies; materials from the Archivum Romanum Societatis Iesu, the official depository of the Society; the archives known as the Fondo Gesuitico al Gesu di Roma, the Procurator General's archives; and materials from private Mexican archives.

- 3 BLUMBERG, ARNOLD. "A Swedish Diplomat in Mexico, 1864," 45:2 (May 1965), 275-286.

Nils Erik Wilhelm, Baron de Wetterstedt, was accredited to both the Maximilian government and to Washington when he traveled to Mexico in 1864. One of his dispatches sent from New York in 1865 is reproduced here with its description of travel conditions, the Maximilian court, personalities, and points of diplomatic and social interest in Mexico and Washington.

- 4 BUMGARTNER, LOUIS E. "The Attempted Assassination of Honduran President Dionisio de Herrera, November 3, 1826," 42:1 (February 1962), 60-62.

A letter by Herrera to José del Valle describing an attempt on Herrera's life.

- 5 BURRUS, ERNEST J., S. J. "A Forged Commentary on Zárata's *Relaciones del Nuevo México*," 42:4 (November 1962), 569-576.

A detailed examination of a forged commentary attributed to Juan Armando Niel.

- 6 BURRUS, ERNEST J., S. J. "Research Opportunities in Italian Archives and Manuscript Collections for Students of Hispanic American History," 39:3 (August 1959), 428-463.

The Italian archives and manuscript collections are characterized by the importance of many documents and their difficult accessibility. Manuscript depositories in Rome are characterized for the National

Library, Vatican City, the Congregation of Propaganda Fide, the Jesuit, Franciscan, Augustinian, and Dominican archives, the Biblioteca Angelica, the Biblioteca Vallicelliana, and the Spanish Embassy. Other depositories are found in Florence, Bologna, Milan, Turin, Venice, Genoa, and Naples. A few practical suggestions for the student doing research in Italy for the first time are offered.

- 7 BURRUS, ERNEST J., S. J. "Two Lost Mexican Books of the Sixteenth Century," 37:3 (August 1957), 330-339.

The purpose of this study is to clarify the authorship as well as the form and content of two lost books printed in sixteenth-century Mexico. These books are (1) a catechism in dialogue form by the Mexican Jesuit, Juan de Tovar, printed about 1573 at the order and expense of the Archbishop of Mexico City, Pedro Moya de Contreras; and (2) a commemorative volume of the festivities in honor of St. Hyacinth (San Jacinto) edited by the Mexican Dominican, Fray Antonio de Hinojosa, and printed in Mexico City in 1597 at Pedro Balli's. Further research may add significant details to what is known of these lost Mexican books, even if it may be to much to hope for the discovery of either volume.

- 8 CADENHEAD, IVIE E., JR. "The G. R. G. Conway Collection in the Gilcrease Institute: A Checklist," 38:3 (August 1958), 373-382.

A checklist of the G. R. G. Conway Collection, known as the Cortés Papers, housed in the Thomas Gilcrease Institute of American History and Art in Tulsa, Oklahoma.

- 9 CHAUNU, PIERRE. "Preliminary Researches for the Publication of a Guide Leading to an Inventory of French Sources for Hispanic American History," 38:3 (August 1958), 397-398.

A group of French historians and archivists have undertaken to formulate a systematic inventory of documents bearing upon Latin American history located in French libraries and archives.

- 10 COLOM, FRANCISCO SEVILLANO. "Lista del Contenido de los Volúmenes Microfilmados del Archivo Nacional de Asunción," 38:1 (February 1958), 60-120.

Approximately 200,000 pages of manuscript material in the Archivo Nacional de Asunción were photographed and listed by a UNESCO microfilm unit. A list relating to the history of Paraguay is presented here. This material covers the period from the conquistadores to the war against the Triple Alliance. (1865-1870).

- 11 COOPER, DONALD B. "A Selective List of the Colonial Manuscripts (1564-1800) in the Archives of the Department of Health and Welfare, Mexico City: A Newly-discovered Source for Religious and Architectural History," 42:3 (August 1962), 385-414.

Nearly 1,000 volumes of manuscripts were discovered in this all but unknown archives, and about 600 volumes for the period 1564-1800 were classified by the author. Material that was not open previously to investigators is now available, and microfilms of the architectural records through 1792 are deposited at Yale University.



- 12 COSTELOE, MICHAEL P. "Guide to the Chapter Archives of the Archbishopric of Mexico," 45:1 (February 1965), 53-63.

The Cathedral in Mexico City contains two archives, one of which holds the records of the cathedral chapter, about 500 volumes of documents from colonial times through the nineteenth century. This article lists the more important volumes with a brief explanatory note of the content and worth of many of them.

- 13 COSTELOE, MICHAEL P. "The Mexican Church and the Rebellion of the Polkos," 46:2 (May 1966), 170-178.

A secret memorandum offers proof that the Mexican Church supported and financed the Polko rebellion in 1847.

- 14 COX, HENRY BARTHOLOMEW. "Reasons for Joel R. Poinsett's Refusal of a Second Mission to South America," 43:3 (August 1963), 405-408.

A letter of October 31, 1817, reproduced here, gives Poinsett's evaluation of leading Latin personalities and his reasons for refusing a second government mission in 1817.

- 15 DILLON, RICHARD H. "Sutro Library's Resources in Latin Americana," 45:2 (May 1965), 267-274.

This branch of the California State Library (since 1915) is located in San Francisco, and it stems from the private collection of Adolph Sutro assembled in the early 1870s. Some 100,000 survived the 1906 earthquake and fire, and they form the continuing collection along with pamphlets, perhaps 15,000 manuscripts, and another 60,000 items. Sutro's interests included religion, mining, and other areas of Mexican culture, although imprints from Lima, Guatemala, Madrid, and Manila are also found there. A collection of perhaps 30,000 Mexican pamphlets, broadsides, newspapers, and, especially, the Fernández de Lizardi materials are singled out as the real treasures of Mexicana.

- 16 "Doctoral Dissertations Accepted on Latin American Topics: 1955," 36:3 (August, 1956), 348-380.

- 17 DONOSO, RICARDO. "Sociedad di Bibliófilos Chilenos," 36:3 (August 1956), 333-337.

An annotated list of the society's publications from its establishment in 1945.

- 18 FOX, K.V. "Pedro Muñiz, Dean of Lima, and the Indian Labor Question (1603)," 42:1 (February 1962), 63-88.

Pedro Muñiz (1545-1616) pleads in this *discurso*, published in the original Spanish, the cause of both Indians and Negroes in the debate over forced labor in Peru. Other views are related also, discussing the provisions of the royal cédula of 1601 which sought to prohibit forced labor. A summary in English of Muñiz's views is included.

- 19 GAVRILOVIC, STOYAN. "Documents in the Archives of Ragusa on Magellan's Voyage," 45:4 (November 1965), 595-608.

A Dalmation Catholic cleric named Jacopo Bannisio (Jakov Banicevic), secretary to Charles V, sent information about the Sebastián

Elcano voyage to the Republic of Ragusa in 1522. Five documents are listed here, and their characteristics and historiographical interest are commented upon in some detail.

- 20 GAVRILOVIC, STOYAN. "Hispanic American History Research Opportunities in Yugoslav Archives," 42:1 (February 1962), 37-50.

A considerable amount of materials for Hispanic American colonial history is being produced in published sources and transcripts in Yugoslav archives. War and cargo ships from Ragusa, skilled mariners, civil administrators, and Jesuit missionaries are revealed as Yugoslav participants in Spain's New World affairs.

- 21 HUMPHREYS, R.A. "Four Bibliographical Tools Needed for Latin American History," 38:2 (May 1958), 260-262.

The four bibliographical tools needed for Latin American history, given in descending order of value are: "A Guide to Latin American History," "A Guide to the Sources for Latin American History in the Libraries and Archives of Great Britain," "A Guide to the Materials for Latin American History in the Official Publications of the United States," and "A Guide to the Materials for Latin American History in the Official Publications of the United Kingdom."

- 22 JONES, JAMES P., and WILLIAM WARREN ROGERS. "Across the Isthmus in 1850: The Journey of Daniel A. Horn," 41:4 (November 1961), 533-554.

In this account of a three-month visit to Panama by an American traveling to the gold fields of California, vivid comments are made on the ports of Chagres and Panama City, the terrain, economic life, social customs, and religious ways of Panama in 1850.

- 23 KROEBER, CLIFTON B. "La Biblioteca Pública del Estado de Jalisco, Guadalajara," 44:3 (August 1964), 377-381.

Established by a decree of 1861, this library has been a dependency of the Universidad de Guadalajara since 1925. A brief survey of materials includes (1) photographs of Guadalajara, (2) colonial manuscripts on Nueva Galicia and records of the state in the republican era, (3) pamphlets from the 17th century onward, (4) periodicals beginning with the 18th century to the present, and (5) books originating with a 16th century theological collection and continuing to the present. It is an important and relatively untapped collection for scholars.

- 24 LANGLEY, HAROLD D. "Bolívar as Seen by an American Sailor," 36:3 (August 1956), 329-332.

Document of the account of Bolívar's visit to the frigate *United States* on February 22, 1825, by Nathaniel Ames.

- 25 LIEBMAN, SEYMOUR B. "The Abecedario and a Check-List of Mexican Inquisition Documents at the Henry E. Huntington Library," 44:4 (November 1964), 554-567.

A general description of the nature of and the listing of the main content of 46 volumes of Mexican Inquisition documents purchased in 1907 and bequeathed to the Huntington Library at San Marino, California, is given here. The nature of the 1,744 trials is presented by

numbers in each category of offense, punishments are catalogued, breaches of jurisdiction are noted, and the problem of names and aliases is discussed.

- 26 MACAULAY, NEILL. "Material on Latin America in the United States Marine Corps Archives," 46:2 (May 1966), 179-181.

Accounts of Marine Corps operations in Latin American nations, as well as detailed intelligence reports on those nations, are now available to scholars.

- 27 McDANIEL, JANE G. "Julius A. Skilton Papers," 36:3 (August 1956), 342-344.

Papers concerned with Skilton's activities in Mexico, 1867-1881.

- 28 OSWALD, J. GREGORY. "Soviet News and Notes," 41:1 (February 1961), 120-126.

Orientation and bibliography of Soviet writings on Latin America, 1959-1960.

- 29 OVANDO-SANZ, GUILLERMO. "British Interests in Potosí, 1825-1828; Unpublished Documents from the Archivo de Potosí," 45:1 (February 1965), 64-87.

Five groups of documents are reproduced in fragments to illustrate the interest of British capitalists in gaining rights to work the famous mines in the years following independence.

- 30 PARKS, E. TAYLOR, and ALFRED TISCHENDORF. "Cartagena to Bogotá, 1825-1826: The Diary of Richard Clough Anderson, Jr.," 42:2 (May 1962), 217-231.

Richard C. Anderson, Jr. (1788-1826), chosen by President Adams to represent the United States at the Panama Congress, was a natural choice because of his training in law and politics and a tour as Envoy Extraordinary and Minister Plenipotentiary to Gran Colombia from 1823 until 1825. Entries presented in his diary describe the physical environment, personalities, social customs, politics, and disease that surrounded the traveler from Cartagena to Bogotá.

- 31 PATTERSON, JERRY E. "A Checklist of Prescott Manuscripts," 39:1 (February 1959), 116-128.

The manuscript materials of William H. Prescott are numerous and have been well preserved. The largest group of these materials is in the Massachusetts Historical Society collection. The checklist includes manuscripts, diaries, notebooks, and letters, and the location and dates of the materials are given.

- 32 PATTERSON, JERRY E. "Manuscripts Relating to Peru in Yale University Library," 36:2 (May 1956), 243-262.

The large number of Peruvian manuscripts in the Yale Library constitutes an important body of source material. The more than 13,000 pages of bound and loose manuscripts fall into four chronological groups: sixteenth century, 2,000 pages; seventeenth, 2,000; eighteenth, 7,400; nineteenth, 1,600. These manuscripts have now been catalogued to make them more generally known to scholars in the field.

- 33 PERKINS, BRADFORD. "The Suppressed Dispatch of H.U. Addington, Washington, November 3, 1823," 37:4 (November 1957), 480-485.

A report of the British chargé d'affaires in Washington, Henry Unwin Addington, printed here for the first time, of his talks with Secretary of State John Quincy Adams gives interesting evidence of Adams' attitude a few days before he opened his fight in the cabinet to prevent common action with Britain warning Europe against intervention in South America.

- 34 RATLIFF, WILLIAM E. "A New Old Che Guevara Interview," 46:3 (August 1966), 288-300.

Che Guevara was interviewed on April 18, 1959, by two journalists from the Chinese Communist Journal *World Knowledge*. The interview, in which Guevara outlines the history, current programs, and ultimate objectives of the Cuban revolution, was published in that journal on June 5, 1959, and is reproduced here in its entirety.

- 35 ROGERS, FRANCIS M. "Observations on Some Recent Publications on Portugal," 38:4 (November 1958), 542-547.

There is a relatively large number of books currently being issued in English about Portuguese culture and civilization. *Portugal* by Yves Bottineau (New York, 1957) is an album of superb black-and-white photographs. *All About Portugal* by Georgia Long (New York, 1958) is a guidebook with a historical introduction. *Portugal and the Portuguese World* by Richard Pattee (Milwaukee, 1957) is a survey of the state of the Portuguese at the present time. *The Mapmaker: A Novel of the Days of Prince Henry, the Navigator* (Garden City, New York, 1957) is an excellent historical novel about Andrea Bianco. *Portugal* by J. B. Trend (New York, 1957), an essay on the development of Portugal, is the closest to conventional history of the group.

- 36 ROSS, STANLEY ROBERT. "Bibliography of Sources for Contemporary Mexican History," 39:2 (May 1959), 234-238.

Scholars engaged in twentieth century Mexican history soon discover that their sources are disperse and voluminous. Members of the Seminar on Contemporary Mexican History at El Colegio de México are engaged in localizing and evaluating the literature of the period. The goal is the creation of critical guides to newspapers and periodicals, books and pamphlets, and governmental and private archives. It is hoped that a more inclusive bibliographical guide than the existing compilations will be provided.

- 37 SMITH, ROBERT S. "Forced Labor in the Guatemalan Indigo Works," 36:3, (August 1956), 319-328.

Document of plan adopted in 1784 because of growing competition from Asian indigo.

- 38 STREET, J. "The G. R. G. Conway Collection in Cambridge University Library: A Checklist," 37:1 (February 1957), 60-81.

A list and catalog of the G. R. G. Conway Collection of transcripts from the National Library of Mexico and other archives in the Cambridge University Library.



- 39 STROUT, CLEVY LLOYD. "Literary-Historical Treasures in the Thomas Gilcrease Institute of American History and Art," 43:2 (May 1963), 267-270.

This Institute is located at Tulsa, Oklahoma, and its collections contain materials relating to the period from 1512 to the 1800s. Much of the material belongs to Mexican history, including documents on the inquisition, churchmen, Cortés and other conquerors, and similar topics, but other areas of the Spanish empire are also represented.

- 40 THORNTON, A. P. "The G. R. G. Conway MS. Collection in the Library of the University of Aberdeen," 36:3 (August 1956), 345-347.
- 41 VALDERRAMA, LUCILA. "Squier Manuscripts in the Biblioteca Nacional del Perú," 36:3 (August 1956), 338-341.
- 42 WIRTH, JOHN D. "A German View of Brazilian Trade and Development, 1935," 47:2 (May 1967), 225-235.

The document is a proposal authored by Dr. Wilhelm Beutner, with comments by M. D. Ritter of the German Foreign Ministry. Beutner proposes a trade agreement with Brazil using nonconvertible currency, the object being in part to develop Brazilian heavy industry without direct German capitalization, thereby avoiding nationalist opposition.

#### *Cross References: Bibliography, Documents, And Archives*

- 85 SMITH, ROBERT S., "A Proposal for the Barter and Sale of Spanish America in 1800."

#### **General History**

- 43 ABICH, ADOLPH MEYER. "The Hundredth Anniversary of the Death of Alexander von Humboldt," 38:3 (August 1958), 394-396.

A brief review of the life and works of Humboldt, and a note on the observance in Germany marking the hundredth anniversary of his death.

- 44 CLINE, HOWARD F. "Ethnohistory: A Progress Report on the Handbook of Middle American Indians," 40:2 (May 1960), 224-229.

The Committee on Latin American Anthropology of the National Research Council planned a handbook of Middle American Indians similar to the earlier *Handbook of South American Indians*, but with a different internal organization. Of special interest to historians are the volumes on prehistory and ethnohistory.

- 45 HOFFMAN, PAUL E. "The Computer and the Colonial Treasury Accounts: A Proposal for a Methodology," 50:4 (November 1970), 731-740.

There exist in Spanish and Latin American archives data in sufficient quantity and quality to warrant the use of computers in large-scale statistical analysis. To facilitate data access and avoid duplication of effort, a uniform coding system is proposed, which would allow all research in this field to be stored in a central data bank.



- 46 KOZOLCHYK, BORIS. "Law and Social Change in Latin America: The Alliance for Progress," 44:4 (November 1964), 491-502.

Reference is made to the fact that economic efforts in Latin America are highly reliant upon the nature and role of law in the various countries. "Law" and "justice" are shown to have changed historically from a divine and legal basis in colonial times, through autochthonism in the 19th century, to an arbitrary executive operation that frequently precludes justice for the masses of people, either in law codes or in the courts. Unless social interests are better recognized, non-legal change will continue to plague the hemisphere. The Alliance for Progress is urged to consider the possible contribution of comparative legal science and to employ trained "inter-American lawyers" in tackling problems such as credit structure and access to courts.

- 47 LAVRETSKII, I. R. "A Survey of the *Hispanic American Historical Review*, 1956-1958," 40:3 (August 1960), 340-360.

The advisory board of the *HAHR* is made up of powerful bourgeois authorities in the field of Latin American history, and the journal is subservient to the wishes of the ruling circles of the United States. Many of the articles in the *HAHR* try to justify American monopolies in Latin America and attempt to vindicate American imperialism in this area. The interpretations of America and foreign bourgeois historians are false and misleading and need revision in accord with historical reality. The reactionary tendency of the journal is most clearly detected in the kind of articles it publishes dealing with the contemporary history of Mexico and the countries of Central and Caribbean America. A survey of *HAHR* materials indicates that the official Latin Americanists of the United States falsify and distort the historical truth in order to benefit imperialism.

- 48 MORSE, RICHARD M. "A Prolegomenon to Latin American Urban History," 52:3 (August 1972), 359-394.

Questioning the applicability of predominant analyses of urban development to Latin America, the European and philosophical background that underlies the establishment of New World cities is traced. Cities were a part of a divine order and were an assertion of the sovereignty of an empire into a local setting. These dual bases can be seen in writings of the time and in Iberian antecedents. Thomist philosophy expressed the city-ideal and practical requirements of administration carried that ideal into the founding of Latin American cities.

- 49 OSWALD, J. GREGORY. "A Soviet Criticism of the *Hispanic American Historical Review*," 40:3 (August 1960), 337-339.

Russian interpretation of the history of Latin America is designed to facilitate the acceptance of Soviet-style socialism. History is viewed as a branch of politics and is expounded in Soviet Marxist terms. Since World War II Russia has exhibited increasing interest in the underdeveloped areas of the world, stressing in its appeal such things as Yankee imperialism, anti-colonialism, and the rapid economic progress of the Soviet Union.

- 50 RICHARDS, EDWARD B. "Marxism and Marxist Movements in Recent Soviet Historical Writing," 45:4 (November 1965), 577-590.

A strong debate on shifting the emphasis of Soviet historical writing occurred in 1956-1957. In terms of Latin America, party directives generated several new journals which included Latin American articles, a number of books on Marxist movements and "correction" of anti-Western interpretations of history, and many articles and book reviews. Russian authors are trying to establish Marxism as significant in the latter quarter of the nineteenth century, and they insist on an important role for the struggle of various social groups in historical movements. An Institute of Latin America has been founded in the USSR Academy of Sciences, and great vigor has been shown in the attempt to promote communism by Marxist-Leninist interpretations of Latin American historical development.

- 51 SCHIFF, WARREN. "An East German Survey Concerning Recent Soviet Historical Writings on Latin America," 40:1 (February 1960), 70-71.

Soviet authors have shown increasing interest in Latin American history, but have not yet developed a definitive Marxist approach. Some have emphasized the colonial period to counteract the pro-Spanish approach of "the reactionary circles within the Latin American republics. . . ." Soviet writers are especially interested in the Negro revolt in Haiti, United States-Latin American relations, 20th century Mexico, and the Vargas and Perón regimes.

- 52 STEIN, STANLEY J. "The Tasks Ahead for Latin American Historians," 41:3 (August 1961), 424-433.

The 1960s mark the beginning of a new epoch in Latin America. Historians need to help in the understanding of the past in order to provide direction for the changes of the future. Especially needed are adequate generalized models that explain agrarian history, economic nationalism, and the process of change itself. The new methodologies of social science may help in developing the models.

- 53 STEVENSON, ROBERT. "Music in Quito: Four Centuries," 43:2 (May 1963), 247-266.

A description of the efforts of many churchmen and others who developed European music at Quito from 1534 until the outbreak of World War I.

- 54 TINKER, EDWARD LAROCQUE. "The Horsemen of the Americas," 42:2 (May 1962), 191-198.

The similarities that mark the horsemen from various countries of the New World can be seen in their adaptation to Hispanic livestock and pastoral practices, to the hardship of their separate environments, and to the attitudes of mind of their vocation. Emerging as folk heroes, the *huaso*, *gaucho*, *vaquero*, *morochuco*, *chalán*, *llanero*, and cowboy each inspired a picturesque literature.

- 55 WALNE, PETER. "Guide to Sources for the History of Latin America, *British Volume*," 44:3 (August 1964), 375-376.

A progress report is made on the efforts since 1961 to prepare the British volume in the series entitled *Guide to Sources for the History of Latin America* (in European and U.S. archives) under the sponsorship of the International Council for Archives.

- 56 WATSON, JAMES E. "Bernard Moses: Pioneer in Latin American Scholarship," 42:2 (May 1962), 212-216.

At the University of California at Berkeley, Bernard Moses pioneered in the teaching of social sciences, in the foundation of the discipline of political science, and in publication and teaching about Latin America. His main research activities on Spanish America began in 1894 and continued until his last book in 1929 and his death in the following year. Seven books and several articles appeared in those years, and Professor Moses still found time to teach and to participate in a number of official and academic trips to Latin America, Spain, and Japan.

## Colonial History

### General and Background

- 57 ADDY, GEORGE M. "Alcalá Before Reform—The Decadence of a Spanish University," 48:4 (November 1968), 561-585.

The university at Alcalá was founded by Cardinal Yiménez de Cisneros in 1499. In order to ensure the institution against the factional independence that afflicted the University of Salamanca, the government of the entire university was vested in the *colegio mayor*. By the middle of the eighteenth century, this control had resulted in a curricular rigidity and laxness of instruction that might have destroyed the institution as a center of higher learning.

- 58 ADDY, GEORGE M. "The Reforms of 1771: First Steps in the Salamancan Enlightenment," 41:3 (August 1961), 339-366.

By the middle of the 18th century the University of Salamanca, stronghold of Catholic conservatism, was badly in need of reform. Poor administration, social stratification, and outworn curriculum were the main reasons for change. Although the reforms of 1771 received their chief impetus from the enlightened ministers of Charles III, the way had been prepared by various efforts at reform from within the University itself—the projects of Diego Torres Villarroel (1758), of Manuel Bernardo Ribera (1760), of the *Junta de Buena Enseñanza* (1765) and of Madariaga and Alva (1767). The enlightened Salamancan reforms had far reaching intellectual, institutional, and social effects on the subsequent history of Spain.

- 59 ALDEN, DAURIL. "The Undeclared War of 1773-1777: Climax of Luso-Spanish Platine Rivalry," 41:1 (February 1961), 55-74.

Territorial differences arising out of the Treaty of Tordesillas created in the 16th and most of the 17th centuries a "no-man's-land" in the Platine region. The presence of large herds of cattle stimulated Spanish and Portuguese interest in the area. The Portuguese occupation of Rio Grande which began in 1737 eventually led to war in 1762-1763. A successful Spanish campaign was nullified by the ensuing peace settlement. This treaty, however, led to a dispute over the disposition of Rio Grande and, along with other reasons, resulted in the war of 1773-1777. Spain got the better of her rival in the war which was officially

terminated in 1777 by the signing of the Treaty of San Ildefonso which permanently divided the contested territory. The settlement of San Ildefonso represented a definite setback to Portugal's Platine ambitions but eventually worked to the advantage of both parties.

- 60 BATAILLON, MARCEL. "Una nueva edición de la *Historia de las Indias* de Bartolomé de las Casas," 38:4 (November 1958), 529-541.

The *Obras escogidas de Fray Bartolomé de Las Casas*, I y II: *Historia de las Indias*, compiled by Juan Pérez de Tudela and Emilio López Oto is a new edition (Madrid: Atlas, 1957) of this classic work, and it appears as volumes XCVI and XCVII of the *Biblioteca de Autores Españoles*. An effort has been made to correct the typographical errors of the Millares-Hanke edition. The critical preliminary study by Tudela is an exposition of the historical significance of the life and writings of Las Casas. The publication of this valuable contribution is welcomed.

- 61 BEESON, KENNETH H., JR. "Indigo Production in the Eighteenth Century," 44:2 (May 1964), 214-218.

This is a description of the blue dye obtained from several plants, the conditions around indigo plantations, the plant culture, processing of the dye, and final preparation for shipping. Ruinous competition with Mexico, Guatemala, and Venezuela set in after 1876 when Asian indigo gained competitive market strength.

- 62 BISHKO, CHARLES JULIAN. "The Iberian Background of Latin American History: Recent Progress and Continuing Problems," 36:1 (February 1956), 50-80.

Historians of Ibero-America have given surprisingly little attention to the scientific formulation of what might be called a background philosophy or methodology. This situation may be attributed to a continuing faith in certain traditional practices including: (1) virtually complete avoidance of the Iberian background as too large or too well known; (2) brief, sporadic references to Iberian origins in describing the genesis of specific Ibero-American institutions; or, (3) a background survey conceived in terms of an inadequate and largely outworn nineteenth-century table of contents stemming from a poverty of bibliographical tools. Significant progress has been registered during the past fifteen years, however, in efforts to remedy these ancient obstacles to proper understanding.

- 63 BORAH, WOODROW. "Colonial Institutions and Contemporary Latin America: Political and Economic Life," 43:3 (August 1963), 371-379.

With the reservations that the colonial period has not yet ended and that perhaps 1760 (rather than 1825) is a better dividing date for many surviving Latin American institutions, major political and economic forms are examined in light of contemporary practices. Political practices discussed are the French codes of law and Iberian notarial and administrative forms, the phenomenon of centralization in government, the rise of the executive out of the independence era, the limitations of local government, graft, and finally, the significance of *caudillismo* and military preponderance in government. Economic behavior of continuing significance is seen in the role of production of raw material



and foodstuffs, antiquated technology, the limitations of village life and customs, the nature and promise of the hacienda system, and the obstructions to capital accumulation like static investment in land and livestock or conspicuous and leveling village practices that shored up egalitarian village society at the expense of economic improvement.

- 64 CALLAHAN, WILLIAM J. "The Problem of Confinement: An Aspect of Poor Relief in Eighteenth-Century Spain," 51:1 (February 1971), 1-24.

Traditionally care of the indigent was left to the Catholic clergy. After 1750 the Crown established laws limiting religious charity and creating a comprehensive relief program. The program included the establishment of *hospicios* or workhouses. The state had alms reallocated to finance the *hospicios*. Although the effort failed, the state's entry into poor relief marked a major step in the secularization of welfare and resulted in the development of the concept of welfare as a legitimate social concern of the state.

- 65 CROSBY, ALFRED W. "Conquistador y Pestilencia: The First New World Pandemic and the Fall of the Great Indian Empires," 47:3 (August 1967), 321-337.

The Spanish conquest of the Indian empires in American was made possible by the introduction of diseases common in Europe but against which the Indians had no immunity at all. The most lethal of these diseases was smallpox, which was introduced prior to the great military confrontations in both Mexico and Perú. The virus was brought into Yucatán from Santo Domingo and into Perú from Mexico and Guatemala, killing large numbers of Indians before the arrival of Pizarro's expedition.

- 66 CUSHNER, NICHOLAS P., S. J. "Merchants and Missionaries: A Theologian's View of Clerical Involvement in the Galleon Trade," 47:3 (August 1967), 360-369.

The irregularity with which clerical salaries were paid by the Spanish Crown necessitated the participation of both secular and regular clergy in commercial activities such as trading in silk and cotton between the Philippines and Mexico. A debate on the ethical considerations of such activity was carried on throughout the colonial period.

- 67 FEHRENBACH, CHARLES WENTZ. "Moderados and Exaltados: The Liberal Opposition to Ferdinand VII, 1814-1823," 50:1 (February 1970), 52-69.

By 1820 the continuing depression in Spain had created a great deal of resentment against the once popular king. The Revolution of 1820 succeeded largely because of the promises of Rafael Riego to reduce taxes and abolish the tithe. With the success of the movement, Riego restored the Constitution of 1812, which almost guaranteed the ultimate failure of the new government. Ferdinand retained a decisive degree of appointive powers, and the new government was therefore controlled by *moderados* who had once strongly supported the king. The *exaltados* were excluded from the government almost entirely. They continued to oppose what was essentially a compromise with the monarch.

- 68 GARDINER, C. HARVEY. "Prescott's Most Indispensable Aide: Pascual de Gayangos," 39:1 (February 1959), 81-115.

Scholars, diplomats, friends, and booksellers in many countries assisted William H. Prescott in gathering reference materials for his monumental works. Prescott's most indispensable aide was Pascual de Gayangos. Though they never met, they developed an intimate, mutually dependent friendship through their correspondence. Gayangos pursued his own research, yet he aided Prescott by collecting materials from the public and private archives of England, Belgium, France and Spain for nearly two decades. In so doing, Gayangos enabled Prescott to arrive at an estimate of Spain, the Spaniard, and Spanish and Spanish-American history far removed from Elizabethan-inherited prejudice.

- 69 GIBSON, CHARLES. "Colonial Institutions and Contemporary Latin America: Social and Cultural Life," 43:3 (August 1963), 380-389.

An attempt is made to identify persisting colonial features in Latin American life, and in the process various themes are inspected. The persistence of family cohesiveness, aristocratic concepts of privilege, intellectual conservatism, and cultural exclusiveness are noted as relatively less changeable traits, and education is used to illustrate how the colonial elite principle can still appear in strong continuity from colonial origins. In similar fashion concepts on interpersonal relations, honor, ethics and of work can present modern industrial life and labor with persistent behavior patterns to which adjustment must be made. The author feels that factors which tend to be labelled colonial in a modern sense are condemned because they are seen as obstacles to change. Much of colonial life was positive and the term colonial should not be subject to pejorative usage.

- 70 HALICZER, STEPHEN. "The Castilian Aristocracy and the Mercedes Reform of 1478-1482," 55:3 (August 1975), 449-467.

The Mercedes Reforms have been represented as a decline in the power of the nobility. Evidence for this view rests in part on the provisions of the reform which removed part of the right to mercedes from their holder. In reality, the collection of taxes was confused to the point of considerable loss to mercedes holders. The objective of the reform was to centralize tax collection, increase the real income of the nobility, and to tie them intimately to the Crown.

- 71 HANKE, LEWIS. "A Modest Proposal for a Moratorium on Grand Generalizations: Some Thoughts on the Black Legend," 51:1 (February 1971), 112-127.

Much of the research on Las Casas and the Black Legend has become repetitious and argumentative since much of the easily available material leads to inconclusive and controversial results. The need now is to go back to archival research, ferreting out empirical evidence on particular historical programs in an effort to shed more light on these issues.

- 72 HANKE, LEWIS. "More Heat and Some Light on the Spanish Struggle for Justice in the Conquest of America," 44:3 (August 1964), 293-340.

Anticipating the four-hundredth anniversary of the death of Bartolomé de Las Casas in July, 1966, the author turns with evident "heat" to a review of the works of two principal authors on Las Casas and the Spanish struggle for justice in the conquest. In response to a challenge by



Benjamin Keen that his own writings might be leading to a *leyenda blanca*, Professor Hanke reviews the position of the Colombian historian, Juan Friede, that more emphasis on the political, economic, and social aspects of "American reality" is needed, and less on ideas and theories. Hanke rejects many of Friede's points and calls for more documentation and complexity rather than such "either-or" conclusions. Turning next to the Spanish scholar Ramón Menéndez Pidal, Hanke assails the thesis of a 1963 book attacking the *doble personalidad* of Las Casas as megalomania, egotism, and villainy. Hanke expresses the hope that the forthcoming anniversary will shed more light and less heat on the ongoing controversy.

- 73 HOLMES, JACK D. L. "Some Economic Problems of Spanish Governors of Louisiana," 42:4 (November 1962), 521-543.

The major economic problems facing seven Spanish governors of Louisiana from 1766 through 1799 reveal the influence of a remote borderland and the confrontation with French and Anglo-American populations. Rising costs of administration, the freeing of trade, development of production, defense, and the suppression of contraband trade were further complicated by the failure of the crown to provide adequate financial aid. The several governors included Antonio de Ulloa, Alexandro O'Reilly, Luis de Unzaga, Bernardo de Gálvez, Esteban Miró, the Baron de Carondelet and Manuel Gayoso de Lemos.

- 74 HUMPHREYS, R.A. "William Hickling Prescott: The Man and the Historian," 39:1 (February 1959), 1-19.

William Hickling Prescott, born in 1796, was very much a New Englander. He was born in the right family, went to the right school (Harvard), held the right opinions (Federalist), and belonged to the right church (Unitarian). His works included a *History of the Reign of Ferdinand and Isabella*, *The Conquest of Mexico*, *The Conquest of Peru*, and the *History of the Reign of Philip the Second*. Prescott's style was somewhat magniloquent, but this did not conceal the absolute integrity both of the man and of his writings. He tried to see the sixteenth century as one would who had lived in that epoch, and he succeeded admirably.

- 75 KEEN, BENJAMIN. "The Black Legend Revisited: Assumptions and Realities," 49:4 (November 1969), 703-719.

The descriptions by Bartolomé de las Casas of specific incidents in the New World are substantiated by other sources not affected by nationalist antipathy to the Spanish Empire, a charge which has effectively negated other accounts of Spanish cruelty. The growth of the Black Legend and its hyperbolic intensity created the counter- or White Legend, which by citing royal legislation maintains that the colonial administration was generally benevolent. In fact, both of these traditions are simply legend, and neither serves any purpose other than to obscure the facts of Spanish-Indian relations.

- 76 KEEN, BENJAMIN. "The White Legend Revisited: A Reply to Professor Hanke's 'Modest Proposal,'" 51:2 (May 1971), 336-355.

Lewis Hanke's comments on Las Casas reflect a continuing paradox in his writings which results in an intellectual position in conflict with that

of Las Casas. Hanke does not understand the purpose of generalization, which is to show patterns. But generalizations are not falsified by exceptions. Furthermore, empirical evidence shows that Las Casas was right and that the Indian population was decimated as a result of Spanish rule.

- 77 KEITH, ROBERT G. "Encomienda, Hacienda and Corregimiento in Spanish America: A Structural Analysis," 51:3 (August 1971), 431-446.

Encomiendas and haciendas can both be analyzed on the basis of structural relationships between their members and their relationship with society in general. This type of analysis shows discontinuities between the two institutions and continuities between encomiendas and corregimientos. Historically, the encomiendas split into two types—those that remained attached to a weakening indigenous economy and those which eventually evolved into haciendas. Corregimientos were essentially a new type of encomienda controlled by officials rather than settlers.

- 78 LOCKHART, JAMES. "Encomienda and Hacienda: The Evolution of the Great Estate in the Spanish Indies," 49:3 (August 1969), 411-429.

The distinction drawn between encomienda and hacienda has been overemphasized. Although there is no juridical association, encomenderos could and did, by land grant or purchase, found an hacienda in the area of the encomienda. Though in such a case the two remained juridically separate, their function became identical. The difference between the governmental character of the encomienda and the economic function of the hacienda, since each had elements of the other, is in fact a difference in emphasis rather than in character. There seems to be a clear continuity in the evolution of estate ownership from encomienda to hacienda.

- 79 MÖRNER, MAGNUS. "The Spanish American Hacienda: A Survey of Recent Research and Debate," 53:2 (May 1973), 183-216.

Despite an expansion of knowledge about Latin American agrarian history, geographical and chronological coverage is uneven and spotty. Problems remain in relating specific studies to general conditions. Of particular importance is the determination of the relationship of the hacienda to the market, an effort that will require both time and interdisciplinary cooperation.

- 80 PHELAN, JOHN L. "The *Apologetic History* of Fray Bartolomé de Las Casas," 49:1 (February 1969), 94-99.

The third edition, a commemorative one, of Las Casas' *Apologética historia sumaria*, is edited by Edmundo O'Gorman. The addition of indices and appendices, with the clarification of Las Casas' references, increases the utility of the work, as does O'Gorman's brilliant introductory essay.

- 81 PIKE, RUTH. "Seville in the Sixteenth Century," 41:1 (February 1961), 1-30.

The discovery of America transformed Seville from a provincial Andalusian port city into a thriving international metropolis and made it the most famous and important city in the 16th century. The wealth

flowing into Seville had a marked effect on its inhabitants, as indicated in the luxuries, vices, novelties and fancies of the city. It was also a period of ostentatious display, of materialism and covetousness, of atrocious sanitary conditions, of poverty among the lower classes, and of a rapid decline in public and private morals. The trade with America caused a change in the position and conceptions of the local nobility of Seville with its conversion from agriculture to commerce. Seville experienced a boom in agricultural production, but it had to contend with an uncontrollable inflation caused by demand, monopolistic speculation, and purchases from foreign merchants. Except for agricultural goods, most products bought and sold in Seville arrived from the outside (England, Flanders, France and Italy).

- 82 PIKE, RUTH. "Sevillian Society in the Sixteenth Century: Slaves and Freedmen," 47:3 (August 1967), 344-359.

The large slave population of Seville, highly organized by white society, formed an integral part of the social and economic functions of the free population. Slaves, white as well as black, were used to produce income for their owners as well as serving as a means of ostentatious display and for entertainment at social functions. The Negro slave population had its own confraternity which ran a hospital for Negro slaves.

- 83 POTASH, ROBERT A. "Colonial Institutions and Contemporary Latin America: A Commentary on Two Papers," 43:3 (August 1963), 390-394.

Comment on papers by Woodrow Borah and Charles Gibson with particular stress on their evaluation of value systems as being more impervious to change than the structure of institutions.

- 84 RINGROSE, DAVID R. "Carting in the Hispanic World: An Example of Divergent Development," 50:1 (February 1970), 30-51.

The primary means of transport in Spain and in colonial Latin America were large wooden carts capable of carrying 4,000 pounds of cargo or more. Despite the dependence of the service upon the oxen, and thus upon adequate grazing areas, this method of transport was fairly efficient. Under certain circumstances, it could have supported substantial economic growth, and did so in Latin America for many years until adversely affected by other economic factors.

- 85 SMITH, ROBERT S. "A Proposal for the Barter and Sale of Spanish America in 1800," 41:2 (May 1961), 275-286.

Valentin Tadeo Echavarri de Foronda, Spanish writer, philosopher, and diplomat, authored a proposal that Spain should rid itself of its colonies and become only a peninsular state. Foronda doubted Spain could hold onto the colonies anyway, and the intelligent action would be to sell and barter the colonies to England, commercial companies, and the ambitious rich. Spain, relieved of the colonial burden, would then be able to concentrate on the creation of domestic wealth like the United States. The original Spanish text is included.

- 86 STEWART, PAUL. "The Soldier, the Bureaucrat, and Fiscal Records in the Army of Ferdinand and Isabella," 49:2 (May 1969), 281-292.

As a consequence of Ferdinand's relative poverty, his ambitious military projects required great care in financial planning. To increase control over expenditures and the movement of funds, Ferdinand experimented with a civilian bureaucracy of accountants controlling military expenditures. This centralized control caused some hostility on the part of the captains whose authority was diminished, and the system's potential was not fully realized by Ferdinand.

- 87 TORODASH, MARTIN. "Columbus Historiography Since 1939," 46:4 (November 1966), 409-428.

Despite the wealth of historical literature on Columbus and the voyages of discovery, some basic questions remain unanswered. The birthplace and burial place of Columbus have still not been identified beyond doubt, the authorship of the biography attributed by some to Columbus' son is still in doubt, as are the routes Columbus followed. The controversy seems likely to continue despite the succession of excellent historical studies, each hailed as "definitive" in its turn.

- 88 TORODASH, MARTIN. "Magellan Historiography," 51:2 (May 1971), 313-335.

Many records of Magellan's voyage exist and have been published, despite the low evaluation of him by both Spanish and Portuguese historians. A special emphasis is made on works published in the twentieth century.

- 89 VIGNERAS, L.A. "New Light on the 1497 Cabot Voyage to America," 36:4 (November 1956), 503-509.

This newly discovered letter is from an English merchant named John Day to the Grand Admiral of Castile, Don Fadrique Enríquez, in answer to a request for information concerning English voyages. Although it bears no date and does not mention John Cabot by name, it undoubtedly refers to Cabot's 1497 voyage.

- 90 VIGNERAS, LOUIS-ANDRÉ. "The Three Brothers Guerra of Triana and their Five Voyages to the New World, 1498-1504," 52:4 (November 1972), 621-641.

Documents from the Archivo de Protocolos make it possible to unravel the chronology of the Andalusian voyages. The Guerra brothers sailed on five of the eleven known voyages. The brothers invested in the voyages for profit, having gotten their capital from supplying flour and hardtack. The documents detail financial arrangements, courses of the voyages, and cargo collected for the return trip, expanding the information available on the early exploratory voyages.

- 91 WOODWARD, MARGARET L. "The Spanish Army and the Loss of America, 1810-1824," 48:4 (November 1968), 586-607.

The success of the independence movements in Spanish America, especially in South America, may in part be explained by the widespread discontent among the Spanish military forces. Expeditionary forces were reluctant to undertake such a long voyage and potentially extended campaign, and among those that did sail from Spain mutiny was not uncommon. When troops did arrive in America, often with many men



incapacitated by scurvy and malnutrition, they found themselves heartily despised by the populace. Under such conditions, the soldiers were reluctant to risk their lives, and desertion, even by officers, further depleted the strength of the Spanish forces.

- 92 YOUNG, RAYMOND A. "Pinckney's Treaty—A New Perspective," 43:4 (November 1963), 526-535.

A detailed recounting of the diplomatic positions taken by Thomas Pinckney and Manuel de Godoy from June to October, 1795, based on original Spanish documents from the National Historical Archives, Madrid. Emphasis is placed on the conditions surrounding Godoy's decision to meet Pinckney's treaty demands.

### West Indies

- 93 ARTILES, JENARO. "The Office of Escribano in Sixteenth Century Havana," 49:3 (August 1969), 489-502.

The office of *escribano*, originating in Spain, in America was changed and adapted to the exigencies of conquest and early colonial administration. The functions of the office in Havana reflect these changes. There were four separate types of *escribano*, whose offices were an integral part of the royal bureaucracy and could be sold after the confirmation of the appointee.

- 94 BARTLETT, CHRISTOPHER J. "British Reaction to the Cuban Insurrection of 1868-1878," 37:3 (August 1957), 296-312.

The Cuban insurrection of 1868-1878 raised British fears that her commercial interests were in danger and that acquisition of Cuba by the United States would swing the balance of power in the Caribbean and the Gulf of Mexico too decisively in favor of the United States. British intervention convinced United States officials that unless they were prepared to go to war, they must compromise. The precarious Spanish hold on Cuba was recognized, but Britain was not prepared to seek a final solution. British policy thus contributed to the postponement of a solution of the Cuban question until 1898.

- 95 CLINE, HOWARD F. "The *Relaciones Geográficas* of the Spanish Indies, 1577-1586," 44:3 (August 1964), 341-375.

This careful description and compilation of the documentary reports on conditions in most but not all parts of the Spanish Indies ordered by Philip II reviews both the extant materials and those "lost" to the investigator. Much of this literature is little known, and an appendix provides a complete translation of the basic questionnaire which calls for information on Spanish towns, Indian places, inland communities, and ports. A second appendix illustrates the documents on the region of New Spain alone, showing the three archival repositories in Texas and Spain. Three advantages derive from this survey: (1) their standardized topical coverage; (2) a verbally contemporaneous compilation; and (3) graphic and cartographical materials included with the statistics.

- 96 CORBETT, THEODORE G. "Migration to a Spanish Imperial Frontier in the

Seventeenth and Eighteenth Centuries: St. Augustine," 54:3 (August 1974), 414-430.

Study of cathedral records of St. Augustine reveal that immigrants came both from Iberia and from Mexico and the Antilles. Later blacks entered via the islands. The typical immigrant was single, tied to projects of defense, and had come from an urban background. Usually he was part of a surplus population who had been involved in crime and consequently was conscripted into frontier duty.

- 97 EGGERT, GERALD G. "Our Man in Havana: Fitzhugh Lee," 47:4 (November 1967), 463-485.

Fitzhugh Lee, appointed consul general to Cuba in 1896 by President Cleveland, attempted during his tenure to persuade the administration that intervention in Cuba was necessary. His purpose in so doing was to force annexation, which would enable him to make a fortune in real estate. Although Cleveland did not trust Lee, it seems that the consul general was sufficiently persuasive to prevent any understanding between Spain and the United States, even after a number of reforms in the Cuban government were proposed by Spain.

- 98 GOOCH, BRISON D. "Belgium and the Prospective Sale of Cuba in 1837," 39:3 (August 1959), 413-427.

The urgent need for funds created by Spanish fiscal chaos and military failure forced Queen-Regent María Cristina to consider the sale of Cuba in the mid-1830s. Evidence includes a previously unpublished and unconsulted letter written by Lord Palmerston to Sylvain Van de Weyer, Belgian Envoy to the British Government, in which Belgium's colonial ambitions toward Cuba are noted. The Belgium economy needed a market, and the needs of the Belgian and Cuban economies appeared to be complimentary. King Leopold's government began negotiations with Spain, but Palmerston's letter advised a more modest imperial beginning and Belgium's first colonial venture was thwarted.

- 99 HARING, C. H. "Trade and Navigation between Spain and the Indies: A Re-view—1918-1958," 40:1 (February 1960), 53-62.

The importance of maritime trade between Spain and the Indies in the 16th and 17th centuries has long been acknowledged. Early studies were those of Gervasio de Artiñano, C.H. Haring, Ramón Carande, and Earl J. Hamilton. Many more recent studies have been made of various aspects of the colonial trade, but the Chaunus have produced the "most continuous and relatively complete statistical elaboration ever made for a period so remote,...a signal contribution to the history of the Spanish empire in America...." Their work is an exhaustive study of every aspect of the Spanish trans-Atlantic trade from 1504 to 1600.

- 100 OGELSBY, J. C. M. "Spain's Havana Squadron and the Preservation of the Balance of Power in the Caribbean, 1740-1748," 49:3 (August 1969), 473-488.

Despite the loss of the French support and the superior size of the British force in the Caribbean, the Havana squadron managed to maintain its own operations. During this eight year period, the squadron



escorted three convoy shipments of gold and silver to Spain, as well as serving as a constant threat to British patrols and merchant fleets.

- 101 URBAN, C. STANLEY. "The Africanization of Cuba Scare, 1853-1855," 37:1 (February 1957), 29-45.

In 1854-1855 one of the attempts by the United States to detach Cuba from Spain occurred. The threat of Africanization, which meant the adoption of any system of labor which had for its ultimate aim the extinction of slavery, gave the crisis its peculiar character and intensity. Conservative planters felt that the consequences of Africanization would be a marked decline of productivity, racial warfare, extermination of the whites, and the end of civilization and Christianity. English humanitarianism and the vested interests of England and France in the free labor system of the Caribbean increased the complexity of the situation. The Africanization scare abated and the Cuban social order was deemed secure.

### Mexico

- 102 ARCHER, CHRISTON I. "To Serve the King: Military Recruitment in Late Colonial Mexico," 55:2 (May 1975), 226-250.

The defense of New Spain became a serious problem during the last half of the eighteenth century. Veracruz required the most serious defense against foreign invasion but yellow fever threatened the life of anyone stationed there. Forced levies met with opposition from employers, especially in the mining districts and families left behind suffered great deprivations. The result weakened the Royal army when the demand for independence came.

- 103 BOYD-BOWMAN, PETER. "A Spanish Soldier's Estate in Northern Mexico (1642)," 53:1 (February 1973), 95-105.

The estate of a Spanish officer of humble origins reveals some aspects of Northern frontier social and economic life. A Captain Francisco de Castro accumulated several major pieces of property, eighteen slaves, a silver refinery, was creditor for over 5,000 pesos, and was deeply involved in commerce. Castro had a net worth of about 50,000 pesos built up during his duty as a militia captain on the frontier.

- 104 BRADING, D. A. and HARRY E. CROSS. "Colonial Silver Mining: Mexico and Peru," 52:4 (November 1972), 545-579.

Comparative examination of colonial mining reveals that its structure was radically different than its European antecedents. Important variables include the geological formation, labor supply, available capital, fuel costs for pumps, and the supply of mercury, and the actions of the Crown. Data from mining in both New Spain and Peru reveal the structure of colonial mining.

- 105 BRADING, D.A. "Government and Elite in Late Colonial Mexico," 53:3 (August 1973), 389-414.

The independence of Mexico from Spanish rule and its resultant removal of the *peninsular* elite altered the pattern of entry into the upper strata of society and power. A new creole elite developed, drawing on

professional classes. Significantly, landownership, both under Spanish rule and after independence, was not a guarantee of elite social status.

- 106 BRADING, D. A. "Mexican Silver-Mining in the Eighteenth Century: The Revival of Zacatecas," 50:4 (November 1970), 665-681.

The revival of silver production in the abandoned, water-filled mines of Zacatecas was the result of innovation introduced by José de la Banda in the last half of the eighteenth century. He convinced the Spanish government that production could be resumed if governmental concessions such as tax reductions and lower prices on mercury were forthcoming, and his engineering ability, aided by these concessions, made Zacatecas a productive mining town. The success in Zacatecas in turn stimulated entrepreneurial and governmental interest in the revival of old mines.

- 107 BURRUS, ERNEST J., S.J. "Two Fictitious Accounts of Ortega's Third Voyage to California," 52:2 (May 1972), 272-283.

Francisco de Ortega is generally credited with three expeditions to Lower California in the early seventeenth century. The reports on the third voyage contain a large number of inconsistencies and illogical claims. The motivation for a false account may lie in Ortega's desire to maintain a monopoly on pearl fishing.

- 108 BURRUS, ERNEST J., S. J. "Rivera y Moncada, Explorer and Military Commander of Both Californias, in the Light of His Diary and Other Contemporary Documents," 50:4 (November 1970), 682-692.

Fernando de Rivera y Moncada, the detractors notwithstanding, was an honest, generous, and patriotic commander. Despite the conditions under which he had to govern Upper and Lower California between 1752 and 1781, he did his best, with the insufficient number of troops in his command, to safeguard the missions and settlements in the vast area of his administration.

- 109 CLINE, HOWARD F. "Problems of Mexican Ethno-History: The Ancient Chinantla, A Case Study," 37:3 (August 1957), 273-295.

The ethnohistory of the ancient Chinantla remains to be written. The sources are often unreliable, confusing, contradictory, and illiterate, yet there are several, diverse cartographic materials which do yield information. The two 1579-1580 reports on major Chinantec regions, Chinantla and Usila, the Yolox Congregation Manuscripts, the historical inserts in the 1883 *Cuadros Sinópticos*, and Espinosa's *Apuntes Históricos*, the *Lienzo de Tlacoatzintepec*, and the *Lienzo de Chinantla* are paramount. Supplementary data came from manuscript village landmaps. Further study can help clarify the numerous problems of interest to geographers, archeologists, anthropologists, and historians.

- 110 COCKCROFT, JAMES D. "Prescott and His Sources: A Critical Appraisal," 48:1 (February 1968), 59-74.

Prescott's *Conquest of Mexico*, while it is still a significant piece of scholarship, was conceived and executed in the manner of the romantic epic. Prescott's selection of sources clearly demonstrates his romantic bias. It was that bias that forced him to distort and misuse sources, such as

Bartolomé de las Casas, which contradict the romantic and chivalrous accounts of Bernal Díaz del Castillo, Francisco López de Gómara, and Hernán Cortés. In some cases, Prescott not only distorts Las Casas' narrative, but even uses false references to his work.

- 111 COOK, SHERBURNE F., and WOODROW BORAH. "The Rate of Population Change in Central Mexico, 1550-1570," 37:4 (November 1957), 463-470.

The publication of *El libro de las tasaciones de pueblos de la Nueva España* in 1952 has made it possible to calculate with considerable accuracy the rate of population change in Central Mexico in the middle years of the sixteenth century. Between 1550 and 1570 the number of tributaries decreased at the rate of 3.8 percent annually. There was a tendency for natives to leave the small villages and move to the larger towns, and the population of New Spain diminished at the rate of 2 to 4 percent a year, caused by factors other than the great epidemics.

- 112 DAVIDSON, DAVID M. "Negro Slave Control and Resistance in Colonial Mexico, 1519-1650," 46:3 (August 1966), 235-253.

Despite constant efforts by the viceregal administration and severe penalties imposed by the government and the Inquisition, slave revolts were common in Mexico during the period treated. Some escaped slaves managed to acquire official recognition of free settlements, and rumors of mass uprisings periodically alarmed even the capital city.

- 113 FAULK, ODIE B. "Ranching in Spanish Texas," 45:2 (May 1965), 257-266.

Acknowledging the general American interest in the Spanish heritage to the post-Civil War open range cattle industry, focus is laid specifically on the history of ranching in Spanish Texas in the late eighteenth and early nineteenth centuries. The growth of large numbers of cattle ranches after 1721, the problems of theft, strays, Indian and Spanish rustling, clerical opposition to certain laws, and questions of trail-driving and markets for horses and mules are treated here.

- 114 GIBSON, CHARLES. "Llamamiento General, Repartimiento, and the Empire of Acolhuacan," 36:1 (February 1956), 1-27.

A passage in the *Relación geográfica* of the city of Texcoco, written in 1582 by Juan Bautista Pomar, provides the point of departure for this study of fifteenth - and sixteenth - century jurisdictions in central Mexico. Pomar contrasted conditions in Texcoco in the 1580s with those of the pre-conquest era, and concluded that in only one respect, namely in the *llamamientos generales*, was the ancient dominion of Texcoco still recognizable. Archival sources suggest that the word *llamamiento* refers to convocations of natives for labor projects, or to the region occupied by laborers under the authority of an officer in town. Examinations of these sources also serve to confirm that the fifteenth-century native empires determined the geographical structuring of Spanish labor *repartimientos* in Central Mexico.

- 115 GIBSON, CHARLES. "Writings on Colonial Mexico," 55:2 (May 1975), 287-323.

Research of the last thirty years has greatly expanded our knowledge of colonial Mexico. Special areas of new information include demographic

studies, land tenure patterns, and colonial Indians, knowledge of the seventeenth century is still relatively sparse when compared with the sixteenth and eighteenth centuries.

- 116 GILMORE, N. RAY. "The Condition of the Poor in Mexico, 1834," 37:2 (May 1957), 213-226.

The British Foreign Office, seeking Mexican trade advantages, instructed its diplomatic representative to procure accurate information on the social, economic, and political conditions in Mexico. This document is in the form of a questionnaire in which the British minister was asked specific, extensive, and penetrating questions. His answers, however, biased against the laboring groups, clearly reveal the conditions of the poor in Mexico in 1834.

- 117 GLASS, JOHN B. "The *Relación de Michoacán*," 38:4 (November 1958), 550-551.

The *Relación de Michoacán* is the most valuable single document for the study of the history and ethnology of the Tarascan Indians. The present edition with transcription, prologue, introduction, and notes by José Tudela (Madrid: Aguilar, 1956) is published in a sumptuous folio form, corrects the errors of the previous editions, contains previously omitted drawings, standardizes the orthography of the transcription, modernizes the punctuation, renders abbreviations in full, and contains extensive footnotes and various indices.

- 118 GOLD, ROBERT L. "The Settlement of the Pensacola Indians in New Spain, 1763-1770," 45:4 (November 1965), 567-576.

When the Floridas fell to Great Britain after the Treaty of Paris (1763), loyal Indian converts were removed along with the rest of the Florida residents. This demonstrated a sense of responsibility on Spain's part, and the relatively small number of Indians were relocated near Veracruz in New Spain. Spain provided for a planned Indian Village called San Carlos (in Tempoala), and a set of eleven safeguarding regulations offered them government, protection, and social and religious organization. Despite economic and religious problems, the small colony seemed securely established by 1770.

- 119 GREENLEAF, RICHARD E. "Viceregal Power and the Obrajes of the Cortés Estate, 1595-1708," 48:3 (August 1968), 365-379.

In 1593 control of the Cortés estate was returned to the grandson of the conqueror. The extent of that control over the operation of *obrajes* on the estate became the subject of a jurisdictional dispute between the *obrajeros* and viceregal officials that lasted over a hundred years. The Hapsburgs and their viceroys tried to impose strict regulations on the *obrajes*, but the Bourbon kings openly tolerated them as necessary parts of the colonial economy.

- 120 HAMNETT, BRIAN R. "Dye Production, Food Supply, and the Laboring Population of Oaxaca, 1750-1820," 51:1 (February 1971), 51-78.

During the eighteenth century the bulk of land in Oaxaca was held by the indigenous population in communal tenure. This resulted in considerable legal conflict between Indians and elites. Scarlet cochineal



dye was the principle market product of Oaxaca. Dropping dye prices on the world market, famines, and new taxes on dye production increased the conflict between *hacendados* wanting to raise the level of dye production and Indians who owned the best land. Prices of foods exhibited extreme variations due to drought, frost, and the Hidalgo revolt. The interplay of these variables gives a picture of economic life and conflict in Oaxaca during this period.

- 121 LAVRIN, ASUNCIÓN. "The Execution of the Law of Consolidación in New Spain: Economic Aims and Results," 53:1 (February 1973), 27-49.

The Law, decreeing the forced sale of clerical property, was aimed at reducing Church power and obtaining money for the Crown. The major effort was to deplete pious funds of some clerical and civil corporations and of many charities. Church funds had long been used as a source of loan capital for wealthy laymen. Many, heavily in debt to pious funds, renegotiated repayment. Interest on the funds contracted by the Crown defaulted, leaving many pious institutions short.

- 122 LAVRIN, ASUNCIÓN. "The Role of the Nunneries in the Economy of New Spain in the Eighteenth Century," 46:4 (November 1966), 371-393.

The funds controlled by convents were received in various forms, including dowries of professing nuns and inheritances made over to the convents. The funds were used to acquire both urban and rural properties, and to make loans, all such transactions being handled by an appointed administrator whose fee was a percentage of rents and interest collected.

- 123 LEONARD, IRVING A. "Sigüenza y Góngora and the Chaplaincy of the Hospital del Amor de Dios," 39:4 (November 1959), 580-587.

The celebrated Mexican savant Carlos de Sigüenza y Góngora (1645-1700) was always in financial difficulties despite his many titles and offices. The most remunerative post he held was that of chaplain in the Hospital de Amor de Dios. Little is known of the Hospital or his relationship to it, but an incomplete file of documents of minor importance throw some new light on the subject.

- 124 LEVIN, DAVID. "History as Romantic Art: Structure, Characterization, and Style in *The Conquest of Mexico*," 39:1 (February 1959), 20-45.

In the "prose epic" of *The Conquest of Mexico* William H. Prescott found an ideal subject both for the romantic historian and for his own particular style. The great virtue of the work is its brilliant design, its "unity of interest," that of Cortés' progress toward his goal. His characterization, however weak and shallow, complements the historical action. Prescott's prose style illustrates the triumph of excellent design over faulty detail. In *The Conquest of Mexico* it is often the conception, control, and organization which renders a passage effective.

- 125 LIEBMAN, SEYMOUR B. "The Jews of Colonial Mexico," 43:1 (February 1963), 95-108.

While the first Jew known to have reached Mexico was with Cortés in 1521, no history of the role of the Jews in Mexico has appeared. Sources are scarce, but the records of the Inquisition are useful in establishing cases against Jews and in revealing facts of their lives, origin, location,

occupation, customs, and other basic data. Over 1,100 Jews appeared before the Mexican Inquisition, and another 200 probably could be added to that figure. The author estimates between two and three thousand Jews in a white population of about 20,000 in New Spain, and he discusses the various written sources on their trials and treatment in colonial Mexico.

- 126 LOVE, EDGAR F. "Marriage Patterns of Persons of African Descent in a Colonial Mexico City Parish," 51:1 (February 1971), 79-91.

During the colonial period 200,000 African slaves were brought into Mexico. Parish records of Santa Veracruz (Mexico City) covering the period 1646-1746 indicate 1662 legal marriages in which at least one person was of African descent. The patterns of marriage differed based on sex, racial background, and social status with preference given to lighter skin color and free-status.

- 127 McALISTER, L. N. "Social Structure and Social Change in New Spain," 43:2 (August 1963) 349-370.

This is a theoretical treatment of the basic nature of Spanish social structure, of certain changes occurring at the time of the conquest, of the complicating factors of *hidalguía*, *limpieza de sangre*, and new ethnic relationships involving Indian and African in the New World. Europeans, castes, and Indians are presented in terms of the main elements in their social classification and the internal structure of each group. Changes emerging from social mobility, the rise of an urban colonial mercantile patriciate, progressive miscegenation, new economic factors, and egalitarian attitudes are all discussed as a background for the revolutionary period.

- 128 McQUOWN, NORMAN A. "The *General History of the Things of New Spain*, by Bernardino de Sahagún," 38:2 (May 1958), 235-238.

The *Florentine Codex, General History of the Things of New Spain*, by Fray Bernardino de Sahagún, translated by Arthur J. O. Anderson and Charles E. Dibble in eight books (Salt Lake City: University of Utah Press and School of American Research, 1950-1957), is the most recent edition of the work of the "first ethnographer." This edition of the treatise on the life of the Aztecs carries us a long way toward a critical compilation of the works of Sahagún.

- 129 MOORHEAD, MAX L. "The Private Contract System of Presidio Supply in Northern New Spain," 41:1 (February 1961), 31-54.

Strategically-located presidios were important in the protection of the frontier of northern Mexico and of what are today the southwestern United States. In order to correct some of the financial abuses of captains and paymasters and to stimulate the economy of the provinces, in 1781 the provisioning of troops was placed in the hands of merchants on a competitive contract basis. Several conclusions are valid: the provisions were not so much for the arming and outfitting of the troops as for the clothing and maintenance of their families; the authorities at all levels were primarily concerned with the welfare of the soldiers and their families and only secondarily with the commercial prosperity of the provinces; these officials were not only willing to undertake piece-meal reforms but also fundamental changes in the system; finally, the reforms of the eighteenth century failed to improve actual conditions.



## Guide to the Hispanic American Historical Review

- 130 MÖRNER, MAGNUS, and CHARLES GIBSON. "Diego Muñoz Camargo and the Segregation Policy of the Spanish Crown," 42:4 (November 1962), 558-568.

Commentary on a royal cédula of June 14, 1589, which is reproduced in Spanish and which illustrates the general legislation regarding segregation of Indians from non-Indians. Diego Muñoz Camargo was a mestizo historian with interests and duties in Tlascala where his activities led to this order for his expulsion.

- 131 OSBORN, WAYNE S. "Indian Land Retention in Colonial Metztitlán," 53:2 (May 1973), 217-238.

The Indians of colonial Metztitlán did a better job of defending their land against Spanish absorption than did Indians of northern Mexico. That is attributable to sufficient population centers and traditions of comprehensive land utilization that gave social cohesion to the Indian communities that didn't exist in northern areas. In this manner Indian land retention in Metztitlán resembles that of Oaxaca.

- 132 SIMMONS, CHARLES E.P. "Palafox and His Critics: Reappraising A Controversy," 46:4 (November 1966), 394-408.

Bishop Palafox is defended against modern critics. He is shown to have been an able and active reformer whose objectives, in the mid-seventeenth century, resemble those of the eighteenth-century Bourbon reforms. Palafox tried to force the Jesuit estates to pay the tithe, from which the order considered itself exempt, and removed Viceroy López Pacheco, Duque de Escolona, from office as a suspected traitor to the Crown.

- 133 SPELL, J.R. "The Historical and Social Background of *El Periquillo Sarniento*," 36:4 (November 1956), 447-470.

This partially autobiographical novel by José J. Fernández de Lizardi was first published in part in Mexico City in 1816. Although the author did not intend to leave to posterity a physical description of his native city, in the incidental references to contemporary persons and events, to numerous institutions, streets, squares, and buildings of the capital, there is a wealth of background material.

- 134 TAYLOR, WILLIAM B. "Landed Society in New Spain: A View from the South," 54:3 (August 1974), 387-413.

The validity of generalizations about haciendas in the New World require case studies based on geographical variety. In the south of New Spain Indians were very conscious of property. Rural life made indigenous communities difficult to control and required colonial government to rule with an element of appeasement in areas of light colonization.

- 135 WEBER, FRANCIS J. "The Pious Fund of the Californias," 43:1 (February 1963), 78-94.

This article reviews the various stages in the development of the Pious Fund after 1697, through its assumption by the Republic of Mexico, its seizure and sale of properties under Santa Anna, and the litigation and payment of interest by Mexico after 1877. A second litigation before the Hague Tribunal brought resumption of Mexican payments in 1903, but

the payments were suspended in 1915 and remain suspended even though overtures were made to Mexico as recently as 1944.

*Cross References: Colonial History, Mexico*

- 3 BLUMBERG, ARNOLD. "A Swedish Diplomat in Mexico, 1864."
- 4 BURRUS, ERNEST J., S. J. "A Forged Commentary on Zárate's *Relaciones del Nuevo México*."
- 7 BURRUS, ERNEST J., S. J. "Two Lost Mexican Books of the Sixteenth Century."
- 11 COOPER, DONALD B. "A Selective List of the Colonial Archives of the Department of Health and Welfare, Mexico City: A Newly Discovered Source for Religious and Architectural History."
- 12 COSTELOE, MICHAEL P. "Guide to the Chapter Archives of the Archbishop of Mexico."
- 13 COSTELOE, MICHAEL P. "The Mexican Church and the Rebellion of the Polkos."
- 23 KROEBER, CLIFTON B. "La Biblioteca Pública del Estado de Jalisco, Guadalajara."
- 25 LIEBMAN, SEYMOUR B. "The Abecedario and a Check-List of Mexican Inquisition Documents at the Henry E. Huntington Library."
- 27 MCDANIEL, JANE G. "Julius A. Skilton Papers."
- 39 STROUT, CLEVY LLOYD. "Literary-Historical Treasures in the Thomas Gilcrease Institute of American History and Art."
- 66 CUSHNER, NICHOLAS P., S.J. "Merchants and Missionaries: A Theologian's View of Clerical Involvement in the Galleon Trade."
- 95 CLINE, HOWARD F. "The *Relaciones Geograficas* of the Spanish Indies, 1577-1586."
- 171 THURMAN, MICHAEL E. "The Establishment of the Department of San Blas and Its Initial Naval Fleet: 1767-1770."
- 191 HAMILL, HUGH M., JR. "Early Psychological Warfare in the Hidalgo Revolt."

**Central America**

- 136 FLOYD, TROY S. "The Guatemalan Merchants, the Government, and the *Provincianos*, 1750-1800," 41:1 (February 1961), 90-110.

Central American trade with Spain during the 18th century was a monopoly of the merchants of the capital city of Guatemala in coalition with the merchants of Cádiz. Such advantages as greater population, superior location, a terrain with less barriers to overland passage, and a

free port nullified the efforts of the crown to diminish the power of the Guatemalan monopolists. Governmental efforts to implement Bourbon economic reforms during the last quarter of the century led to an unsuccessful struggle by the government to break the monopoly by multiplying the trade routes between Spain and all of Central America and to curtail the merchants' power by price control and the establishment of a revolving fund for indigo planters. Central American commerce during this period resisted all government attempts to change it, suggesting the possibility that the efficacy of Bourbon economic reforms elsewhere in Spanish America may have been exaggerated.

- 137 RADELL, DAVID R. and JAMES J. PARSONS. "Realjo: A Forgotten Colonial Port and Shipbuilding Center in Nicaragua," 51:2 (May 1971), 295-312.

The Pacific port of Realjo was a major center through which slaves, timber, dyewood, naval stores, and food was shipped to Peru. Forgotten today, the Nicaraguan shipbuilding center lay five miles up a mangrove estuary. Abundant construction materials lay close by, including pine, pitch, and resins. Cotton for sails and fiber for rope were indigenous to the area. Negro slaves were imported to augment Indian labor. After the mid-eighteenth century the port began to decline, but remained open until the establishment of Corinta in 1859 at the mouth of the estuary.

- 138 SHERMAN, WILLIAM L. "Indian Slavery and the Cerrato Reforms," 51:1 (February 1971), 25-50.

Indian slavery was most pernicious in Central America and the indigenous population was often shipped to Panama and Peru for service. New laws were published in 1542-1543 to correct the worst abuses, but lay idle until López de Cerrato, a friend of Las Casas, was appointed president of the Audiencia in 1547. Cerrato found incumbent administrators negligent in applying the laws and ordered slaves freed where owners held no papers. His administration is significant because of his almost singular success as a reformer.

- 139 SMITH, ROBERT S. "Indigo Production and Trade in Colonial Guatemala," 39:2 (May 1959), 181-211.

After the sixteenth century the export of indigo largely financed Guatemala's import trade. Major plantations located on the Pacific coast depended upon slaves, wage labor, tenants, or share croppers, and because land was abundant but labor and capital were scarce, various subterfuges were pursued for the employment of Indians. Output declined toward the end of the eighteenth century due to a decrease in the labor supply. Indigo enjoyed a wider market than many colonial products, but after the middle of the eighteenth century the prices received by the Guatemalan growers were fixed. In the final colonial decades, increasing Spanish regulations disenchanting many growers, and indigo became foremost among the economic problems between Spain and Guatemala. As a result, sympathy in Guatemala for the revolts in New Spain and Central America was foremost in the indigo-growing provinces.

- 140 WOODWARD, RALPH LEE, JR. "Economic and Social Origins of the Guatemalan Political Parties (1773-1823)," 45:4 (November 1965), 544-566.

From the earthquakes of 1773 to the end of the Iturbide annexation in 1823, great economic and social change was reflected in aristocratic political factions. The Aycinenas led "the family," who were indigo planters and merchants, *ayuntamiento*-centered, and capital city residents. A second sector was composed of merchants and aristocrats unacceptable to the family, *consulado*-centered, and both capital and provincial in location. Ultimately, "the family" gravitated to the liberals who championed their anti-government position and the opposing merchants supported the conservative pro-Spanish cause. The struggle for power and the long-standing economic differences made for continuing turbulence in political life after independence in 1823.

- 141 WOODWARD, RALPH LEE, JR. "The Guatemalan Merchants and National Defense: 1810," 45:3 (August 1965), 452-462.

In the name of defense against increasing problems of yellow fever, piracy, the European maritime blockade, and a possible Napoleonic invasion, the *ayuntamiento* of Guatemala wanted arms and needed funds with which to pay for them. They wanted trade outside the Spanish monopoly. The *consulado* disagreed for their own reasons, but in the clash of interests lay a fundamental division between the creole-dominated *ayuntamiento* and the *peninsular*-oriented *consulado*. Two documents in Spanish explain the proposal and the *consulado* report in May, 1810.

#### *Cross References: Colonial History, Central America*

- 4 BUMGARTNER, LOUIS E. "The Attempted Assassination of Honduran President Dionisia de Herrera, November 3, 1826."
- 37 SMITH, ROBERT M. "Forced Labor in the Guatemalan Indigo Works."
- 44 CLINE, HOWARD F. "Ethnohistory: A Progress Report on the Handbook of Middle American Indians."

#### **Spanish South America and the Guianas**

- 142 BARBIER, JACQUES A. "Elite and Cadres in Bourbon Chile," 52:3 (August 1972), 416-435.

The Bourbon reforms sought to centralize colonial administration and guarantee its loyalty to the Crown. In Chile the creole elite, through cooptation and marriage, tied the Spanish cadre to the interests of the elite. The Chilean elite can be divided into two groups, membership dependent on the reception of honors before or after 1755. After 1755 the "old" elite, which formerly had consistently intermarried, now established unions with the Bourbon administrators. The cooptation pattern formed during this period established a style which continued after independence.

- 143 BLANK, STEPHANIE. "Patrons, Clients, and Kin in Seventeenth-Century Caracas: A Methodological Essay in Colonial Spanish American Social History," 54:2 (May 1974), 260-283.



The computer is a valuable tool for the purpose of reducing vast amounts of data to a usable form. By the aggregation of data from marriage and baptismal records the formal system based on patron-clientelism can be discerned. Indices of wealth, power, and social position were computed from notarial archives. The revealed social structure indicates a system of linkages that regulated political, economic and social resources of colonial Caracas.

- 144 BURKHOLDER, MARK A. "From Creole to Peninsular: The Transformation of the Audiencia of Lima," 52:3 (August 1972), 395-415.

During the 1740s creoles, and especially *limeños*, obtained appointments that led to their dominance of the Audiencia of Lima. Beginning in 1776 a new crown policy began to reestablish a *peninsular* majority and stricter royal control over the audiencias. This brought reaction and protest from creoles. This creole attitude on the eve of independence reflected elite interests rather than revolutionary beginnings.

- 145 CAMPBELL, LEON G. "A Colonial Establishment: Creole Domination of the Audiencia of Lima During the Late Eighteenth Century," 52:1 (February 1972), 1-25.

*Limeños* were not excluded from positions of power and authority during the late eighteenth century. Their dominance of the Audiencia of Lima became so great as to challenge the power of the Spanish Visitor General. There was a resultant effort by the crown to reduce the size of creole representation after 1780. Creoles maintained their power through marriages and favors that tied them to *peninsular* officials. Furthermore, creole wealth added to their power. The creole establishment remained strong enough to challenge official efforts to restrict their power until independence.

- 146 CLAYTON, LAWRENCE A. "Local Initiative and Finance in Defense of the Viceroyalty of Peru: The Development of Self Reliance," 54:2 (May 1974), 284-304.

The Spanish Crown gave low priority to defense of Peru from foreign raiders during the seventeenth century. A major increase in buccaneering in the 1680s found the Peruvians unable to defend themselves and cost two-thirds of the local merchant marine. In the face of viceregal refusal to act, merchants banded together to organize and finance a protective armada. The estrangement of the merchants and citizenry from royal policies helped develop political independence and maturity in the colony.

- 147 CUSHNER, NICHOLAS P., S. J. "Slave Mortality and Reproduction on Jesuit Haciendas in Colonial Peru," 55:2 (May 1975), 177-199.

Records from colonial Jesuit haciendas show a high mortality rate for slave children and generally low birth rates. A constant resupply from Africa was necessary to maintain adequate labor force. Data details daily activities, occupational categories, epidemics, and hacienda sizes.

- 148 DE GRUMMOND, JANE. "The Venezuelan Sesquicentennial Publications, Part III," 45:1 (February 1965), 88-98.



Commentary is continued on Vols. 34-44 of the sesquicentennial publications and on five other volumes produced from a Round Table on the emancipation movement in Latin America. The Round Table was held in 1960 in collaboration with the Comisión de Historia of the Instituto Panamericano de Geografía e Historia and as part of Venezuela's celebration. The former volumes include works on the Caracas cabildo, letters of famous men, memoirs, diplomatic negotiations, and constitutional thought from independence until 1830. The Round Table volumes contain the proceedings and papers by guests at the meeting, and a doctoral dissertation on Miranda.

- 149 FISHER, JOHN. "The Intendant System and the Cabildos of Peru, 1784-1810," 49:3 (August 1969), 430-453.

The establishment of the intendant system in Perú, far from suppressing the cabildos, actually revitalized the already decaying institution. The new autonomy accorded to the cabildos by most of the intendants, together with the reorganization of municipal finance, made the municipal offices more attractive. Thus the positions which in the middle of the eighteenth century had been impossible to fill were, by the end of the century, staffed by upper class citizens interested in an even greater degree of autonomy.

- 150 FISHER, JOHN, "Silver Production in the Viceroyalty of Peru, 1776-1824," 55:1 (February 1975), 25-43.

Contrary to accepted opinion Peruvian silver production rose after the loss of Upper Peru and remained at the increased level until 1812. The loss of Upper Peru, consequently, could not be responsible for the economic problems of Lima. Fluctuations in silver production were tied to the supply of mercury and of capital. While economic difficulties may have fueled Creole discontent, silver mining was not a cause but a mitigative factor in a larger condition of commercial disruption.

- 151 FRIEDE, JUAN. "Demographic Changes in the Mining Community of Muzo After the Plague of 1629," 47:3 (August 1967), 338-343.

The depopulation of Indian communities in the sixteenth and seventeenth centuries is attributable to overwork, malnutrition, and to the ill-treatment of the Indians by the Spaniards rather than to epidemic disease. Depopulation by epidemic is characteristically followed by petitions for new encomiendas and increased tribute. In the New Granada Province of Muzo, the epidemic of 1629 had very little effect on the mine force, and mining operations continued uninterrupted. But by 1642, the Indian community of Muzo had become extinct, primarily because of labor conditions and maltreatment.

- 152 FRIEDE, JUAN. "Fray Pedro Aguado, con Ocasión del 450° Aniversario de su Nacimiento," 44:3 (August 1964), 382-389.

This commentary in Spanish deals with the earliest chronicler of New Granada and Venezuela, with his birthplace at Valdemoro, Spain, his birth in 1513, his career, and his manuscript on the "Recopilación Historial" of that region where he resided in the New World from 1561-1575. The "Odyssey" of the ill-fated manuscript is described down to the

first "complete," but actually incomplete, edition at Madrid in 1916-1918, and the truly complete version published in Colombia in 1956.

- 153 GÓNGORA, MARIO. "Urban Stratification in Colonial Chile," 55:3 (August 1975), 421-448.

"Cities" in colonial Chile encompassed both the urban center and the surrounding rural areas. Urban society was of two types, the mining centers with ruling encomenderos and other cities dominated by all landowners as a class. The aristocracy were concerned with mercantile and business enterprise, and merchants invested in land. All the social classes aspired to the basic values of the upper class.

- 154 JACOBS, BERENICE A. "The *Mary Ann*, An Illicit Adventure," 37:2 (May 1957), 200-212.

In 1799 a royal edict closed Spanish colonial ports to neutral vessels, but neutral trade continued. The case of the American ship, *Mary Ann*, was one of those rare instances in which smugglers kept records. The ship carried British goods to Buenos Aires in 1800, the illegal cargo was confiscated, and the ship was detained for several years. The papers of the *Mary Ann* reveal that the venture was illicit from the outset, that other ships were also engaged in smuggling, and that Spain's system of mercantilist barriers had nearly collapsed.

- 155 JARA, ALVARO. "Una investigación sobre los problemas del trabajo en Chile durante el período colonial," 39:2 (May 1959), 239-244.

Despite its fragmentary character the documentation of certain aspects of Indian labor in Chile during the colonial period is quite complete. Some of these aspects include the functioning of the *encomiendas*, the *asientos*, the renting of Indian labor, Negro slavery, the *obrajes*, the work of artisans, salaried work, the history of prices, and summaries of legislation. Much work remains to be done to complete, revise, and remove the subjective element from this period of Chilean history.

- 156 KLEIN, HERBERT S. "Structure and Profitability of Royal Finance in the Viceroyalty of the Río de la Plata in 1790," 53:3 (August 1973), 440-469.

Examination of the *cajas-reales* accounts of the Viceroyalty in 1790 reveals that costs often exceeded income and that profitability for the Crown was very limited. Military and clerical costs were high and the colony barely covered expenses. Profit of the colonial structure came as a source to the Spanish economy of markets and raw materials. Additionally, the Crown obtained taxes on shipping and industry that was tied to colonial trade.

- 157 KUETHE, ALLAN J. "The Pacification Campaign on the Riohacha Frontier, 1772-1779," 50:3 (August 1970), 467-481.

The Guajiro Indians in the area of Riohacha, part of the Commandancy General of Cartagena in New Granada, constituted a serious menace to the security of the viceroyalty. They were well armed by English and Dutch merchants and outnumbered by far the available Spanish military forces. Unable to defeat the Indians, the Spanish government in New Granada combined military pressure with colonization and proselytization. But the ability of the Indians to resist

was too great, and after a disastrous uprising in 1775-1776, the attempt at pacification was abandoned. The course of the campaign, despite Spanish defects, is illustrative of increasing reliance on military force by the Spanish government.

- 158 LOHMANN VILLENA, GUILLERMO. "Notes on Prescott's Interpretation of the Conquest of Peru," 39:1 (February 1959), 46-80.

William H. Prescott's *History of the Conquest of Peru* has a distinguished place in and is a landmark of historical literature. His sources of information were superior, and he made the best possible use, consistent with his concept of history, of these manuscripts. Prescott repeatedly demonstrated his ability to combine imaginative capacity with the most rigorous dependence on documents. His concept of history as serving a didactic and moralistic purpose and his view of the historian's task as an expression of the clear and simple course of events without penetrating deeply into the causes are reflected in the whole of his work. His general idea of the Inca Empire bears little resemblance to historical reality, and his judgment was conditioned by his hostile prejudices toward the conquest.

- 159 MARZAH, PETER. "Creoles and Government: The Cabildo of Popayán," 54:4 (November 1974), 636-656.

The cabildo was designed to represent the interests of a town's elite. Tracing elite families of Popayán shows that often the cabildo was only a form rather than a functioning institution. The longitudinal survey shows that the elite had other avenues to express their interests and that only during a few periods was the cabildo effectively utilized.

- 160 NOWELL, CHARLES E. "The Defense of Cartagena," 42:4 (November 1962), 477-501.

The opening of the War of Jenkins' Ear in 1739 turned British attention to the strategic Spanish installations at the mainland port of Cartagena. Admiral Edward Vernon, naval commander in the West Indies, initially captured and destroyed fortifications at Portobelo, and Britain began to build a powerful force of men and ships for their main assault on Cartagena in 1741. Although Spanish authorities suffered from provision shortages, dissension between commanders, and a considerably smaller force, the British assault was poorly executed. The blow was successfully warded off by Viceroy Sebastián de Eslava and the important attempt to split the empire failed.

- 161 PHELAN, JOHN L. "The History of Potosí of Bartolomé Arzáns de Orsúa y Vela," a review article, 47:4 (November 1967), 532-536.

Commentary on the *Historia de la Villa Imperial de Potosí* as compiled by Arzáns (1676-1736) and republished and synthesized by Lewis Hanke and Gunnar Mendoza. The commentary used two manuscript copies which were sent to Spain by the original author.

Titles: Bartolomé Arzáns de Orsúa y Vela's *History of Potosí* by Lewis Hanke  
*Historia de la Villa Imperial de Potosí*, 3 vols., edited by Lewis Hanke and Gunnar Mendoza

- 162 RODRÍGUEZ, MARIO. "Dom Pedro of Braganza and Colônia do Sacramento, 1680-1705," 38:2 (May 1958), 179-208.

The establishment of Colônia do Sacramento in 1680, across the estuary from Buenos Aires, opened a new era in the Spanish-Portuguese rivalry over what is now Uruguay and the Brazilian states of Rio Grande de Sul and Santa Catarina. Contraband trade, the agricultural potential, the mineral and human resources of the area, and defense were factors entering into the decision to establish a Portuguese settlement on the Río de la Plata. Much of the program failed, but the encouragement given to the mining search in the 1670s contributed to the gold finds of the 1690s and served as an impetus for agricultural expansion into Santa Catarina and Rio Grande do Sul.

- 163 RODRÍGUEZ, MARIO. "The Genesis of Economic Attitudes in the Río de la Plata," 36:2 (May 1956), 171-189.

With the reoccupation of the southern Plata region and the permanent establishment of Buenos Aires in 1580, early colonists in the Paraguay and Paraná River area sought to tap the wealth of Potosí and the interior. Unable to stem the contraband at Buenos Aires, the frustrated colonists became hostile toward outsiders who usurped the traffic. This study analyzes the anti-contraband sentiment of Platine cattlemen from the era of Hernandarias de Saavedra to the development by the Portuguese of Colônia do Sacramento, in order to account for Spain's strength in that area and the fierce resistance of her colonists to Portuguese aspirations.

- 164 ROWE, JOHN HOWLAND. "The Incas Under Spanish Colonial Institutions," 37:2 (May 1957), 155-199.

Inca resistance to the Spanish invasion was bitter, obstinate, and frequently effective. Viceroy Francisco de Toledo completed the Spanish conquest of Peru, but the Incas continued to protest against Spanish rule. All Spaniards from the king down participated in the exploitation of the Indians, and royal protestations of solicitude for the Indians cannot be accepted as giving an adequate picture. The colonial system permitted and even encouraged the exploitation by civil and religious officials and by miners, manufacturers, merchants, and soldiers. Inconvenient laws and instructions were freely ignored.

- 165 SHARP, WILLIAM F. "The Profitability of Slavery in the Colombian Chocó, 1680-1810," 55:3 (August 1975), 468-495.

Slaves were used to extract gold in the Chocó. Costs of maintenance limited the slave population as owners had to import or raise food in addition to other costs. Calculations, based on archival data, shows that legal, minimal profitability was often close to ten percent. Illegal gold production and the use of manumission were methods used to increase profitability.

- 166 SMITH, ROBERT S. "The Consulado in Sante Fe de Bogotá," 45:3 (August 1965), 442-451.

Bogotá had a *consulado* with a brief, but unimpressive record from 1695 until its *asiento* was cancelled, mainly for financial maladministration, in 1713. When the crown began to create new *consulados* to supplement



those of Mexico and Lima in the 1790s, a Consulado of Cartagena was formed in 1795 and Bogotá petitioned for the re-establishment of its earlier body in a memorial dated in 1804. The memorial gives the justification for such a petition, and it is reproduced here in Spanish.

- 167 SOCOLOW, SUSAN MIGDEN. "Economic Activities of the Porteño Merchants: The Viceregal Period," 55:1 (February 1975), 1-24.

During the last of the eighteenth century an extensive commerce developed trading European manufactured goods for Argentine agricultural products. The result was a relative increase in the power of the *comerciantes* of Buenos Aires and a decline of local industries of the nation's interior. Merchants accumulated large capital reserves and became financiers of economic enterprise. Cattle hides became the most important export. The structure of trade determined post-Independence society and economy in Argentina.

- 168 SPALDING, KAREN. "*Kurakas* and Commerce: A Chapter in the Evolution of Andean Society," 53:4 (November 1973), 581-599.

*Kurakas*, chiefs of Inca kinship groups, were retained by the Spanish conquerors as a means of control over the Andean society. *Kurakas* were given special social status in return for functioning as middlemen in obtaining and marketing Indian textiles and in ensuring drafts of Indian labor. The *Kurakas* served as the link between the Spanish colonial and mercantile system and local Andean villages.

- 169 SPALDING, KAREN. "Social Climbers: Changing Patterns of Mobility Among the Indians of Colonial Peru," 50:4 (November 1970), 645-664.

The traditional values and means of expression of those values in Indian society were not immediately destroyed by the Conquest and the imposition of Spanish values and systems. Indians incorporated into the Spanish administrative system used their position to acquire traditional Indian symbols of status, despite frequently severe penalties for doing so. This participation in the Spanish system allowed ambitious Indians and mestizos to acquire social status once only available through the complex kinship system of Inca society. By the end of the seventeenth century, however, this avenue of mobility was becoming restricted as the Spanish population increased their economic demands upon the Indian communities.

- 170 TAPSON, ALFRED J. "Indian Warfare on the Pampa during the Colonial Period," 42:1 (February 1962), 1-28.

The primary cause for the slow expansion of white settlement into the Pampa from the 16th century to 1815 is attributed to the Indians. The terrain of the Pampa, the physical characteristics of the Pampas Indians, their numbers, their use of horses and cattle, their weapons and tactics, and the conflict between the Indians and frontiersmen all led to reciprocal hatred and war down through the end of the 17th century. In the 18th century, the whites intensified their penetration in search of salt beds, unbranded cattle, and land, while the Indians raided Spanish herds. By mid-century a system of frontier forts was authorized, and a gradual stabilization of relationships led to a general peace lasting to 1815.



- 171 THURMAN, MICHAEL E. "The Establishment of the Department of San Blas and Its Initial Naval Fleet: 1767-1770," 43:1 (February 1963), 65-77.

In response to the Russian penetration of the Pacific Coast, Spain moved to assert her earlier claims there. José de Gálvez was given the task of locating a permanent naval installation, and he selected San Blas, of Nueva Galicia, in 1768. This port became the site of a naval station and supply center, and important shipbuilding and exploratory activities were sustained from that location to support Gálvez' inspection of Nueva España in the period down to 1770. The Naval Department of San Blas was the only major naval station on the Pacific by 1774.

- 172 WAIT, EUGENE M. "Mariano Moreno: Promoter of Enlightenment," 45:3 (August 1965), 359-383.

Mariano Moreno was actively involved in the ideas of the Enlightenment during his days as a law student. Influenced by Montesquieu, Rousseau, Jovellanos, and others, he came to apply their ideas to conditions in his native Buenos Aires. As a lawyer and consultant to the cabildo, his main preoccupations were law and family from 1805 to 1809. After that time he was drawn increasingly into political life, and in 1810, when the Spanish Central Junta fell, Moreno emerged as an influential secretary to the Junta of Buenos Aires. Moreno controlled political and military matters for the Junta, but his real contribution came in his writings on cultural, economic, and political topics as editor of the official *Gazeta de Buenos-Ayres*. His strong pronouncements aroused Saavedra and led to his downfall and departure on a diplomatic mission to Brazil and Great Britain in 1811.

- 173 WHITAKER, ARTHUR P. "Antonio de Ulloa, the Délivrance, and the Royal Society," 46:2 (November 1966), 357-370.

The *Noticias secretas de América* was not acquired by the British when they arrested and held Antonio de Ulloa. The report he had finished at that time was a scientific report and travelogue, of which an abstract was read before the Royal Society in London. That organization made Ulloa a member, and when the report was published in Spain after his return there, he presented a copy of it to the Society.

- 174 WOODHAM, JOHN E. "The Influence of Hipólito Unánue on Peruvian Medical Science, 1789-1820: A Reappraisal," 50:4 (November 1970), 693-714.

The immensely influential Peruvian writer and teacher, Hipólito Unánue, while attempting to push Perú into world prominence in medical science, actually destroyed any chance for the success of his project. His insistence on the influence of climate as a cause of disease became dogmatic among his followers. As a consequence, since Peru's climate was unique, Peruvian medicine was effectively isolated from the available scientific information in European schools. Unánue's distrust of chemistry and surgery had the effect of enforcing reliance on authority, which also contributed to the noticeable decline of medical science following his death.

*Cross References: Colonial History, Spanish South America*

- 1 ARNADE, CHARLES W. "A Historical Institute in Potosí."
- 2 COLOM, FRANCISCO SEVILLANO. "Lista del Contenido de los Volúmenes Microfilmados del Archivo Nacional de Asunción."
- 14 COX, HENRY BARTHOLOMEW. "Reasons for Joel R. Poinsett's Refusal of a Second Mission to South America."
- 18 FOX, K. V. "Pedro Muñiz, Dean of Lima, and the Indian Labor Question (1603)."
- 24 LANGLEY, HAROLD D. "Bolívar as Seen by an American Sailor."
- 32 PATTERSON, JERRY E. "Manuscripts Relating to Peru in Yale University Library."
- 53 STEVENSON, ROBERT. "Music in Quito: Four Centuries."
- 59 ALDEN, DAURIL. "The Undeclared War of 1773-1777: Climax of Luso-Spanish Platine Rivalry."
- 137 RADELL, DAVID R. AND JAMES J. PARSONS. "Realjo: A Forgotten Colonial Port and Shipbuilding Center in Nicaragua."

**Brazil**

- 175 ALDEN, DAURIL. "Manoel Luís Vieira: An Entrepreneur in Rio de Janeiro During Brazil's Eighteenth Century Agricultural Renaissance," 39:4 (November 1959), 521-537.

Between the late 1750s and 1770s Portugal experienced a severe and prolonged depression caused by declining production of Brazilian gold, diamonds, and sugar. To ease this problem she exploited neglected Brazilian resources — particularly fibers, hardwoods, dyestuffs, and cereals. To encourage these potential revenue producers the crown granted special privileges such as monopolies, customs exemptions, and price supports. Of the new cultures, rice was among the earliest, and Manoel Luís Vieira was prominent in developing its commercial possibilities. He purchased the first rice mill built in Rio, an unprofitable enterprise which he turned into a successful operation. His problems were illustrative of those encountered by eighteenth-century Brazilian entrepreneurs. However, the rice industry continued to grow in importance until at least the end of the colonial period.

- 176 ALDEN, DAURIL. "The Population of Brazil in the Late Eighteenth Century: A Preliminary Survey," 43:2 (May 1963), 173-205.

Focusing primarily on the period from 1772 to 1782, the author presents the size, distribution, racial composition of the colonial Brazilian captaincies from census data not previously studied. The problems of census-taking fell heavily on local militia commanders and on parish priests, but despite the chaotic nature and lack of uniformity much useful

information was compiled. The sources of data for each captaincy are carefully presented, and maps and charts illustrate the prevailing conditions as documented in various years for the Portuguese crown. Comparison with several contemporary assessments of population reveals that the numbers presented in this study are considerably lower than those of other estimates. A fuller understanding of colonial social history will await closer examination of the kind of colonial census material presented in this preliminary study and supplemented by other corroborative evidence suggested here.

- 177 BOXER, C. R. "Brazilian Gold and British Traders in the First Half of the Eighteenth Century," 49:3 (August 1969), 454-472.

In this period, the value of the annual illegal shipments of gold from Portugal to England may have been between one and two million pounds sterling; that is, from one-third to one-half of the total estimated annual production of the Brazilian mines. The gold was smuggled out of Portugal by British merchant vessels and Royal Navy ships. By 1761, when production in the Brazilian mines began to decline due to the exhaustion of the alluvial deposits, the illegal gold shipments had helped to make London the most important financial center in Western Europe.

- 178 BURNS, E. BRADFORD. "The Role of Azeredo Coutinho in the Enlightenment of Brazil," 44:2 (May 1964), 145-160.

José Joaquim de Cunha de Azeredo Coutinho (1742-1821) is portrayed as one of the most active agents of the Enlightenment in Brazil. He published three important economic essays on Brazilian sugar (1791), economy (1794), and mines (1894). These essays focused attention on Brazil and Brazilian conditions, and in 1800 he founded the Seminary of Olinda for the training of Brazilian students along enlightened lines. As Bishop of Pernambuco, and later of Braganza, Miranda, and Elvas, and General Inquisitor of the Realm, Azeredo Coutinho contributed ideas and students to the establishment of regional independence and republicanism.

- 179 MAXWELL, KENNETH R. "Pombal and the Nationalization of the Luso-Brazilian Economy," 48:4 (November 1968), 608-631.

As Secretary of State for Foreign Affairs and War, Sebastião José Carvalho e Mello, later Marquis of Pombal, determined to reduce Portugal's economic dependence on Great Britain. In 1755 he helped to organize the Company of Grão Pará and Maranhão, whose twenty-year monopoly guarantee was designed to make the company competitive with the British trading companies. The creation of other Brazilian trading companies was opposed by commercial religious fraternities as well as by British merchants, with the result that the fraternities were suppressed. This process was completed in 1759 with the expulsion of the Jesuits.

- 180 SCHWARTZ, STUART B. "Magistracy and Society in Colonial Brazil," 50:4 (November 1970), 715-730.

The magisterial bureaucrats of the colonial era functioned as both a governmental and social entity. The statistical similarity of the

magistrates' socioeconomic background and their effective social isolation tended to create among them a cohesive social group which perpetuated itself in the expanding bureaucracy. Pluralism, venality, and patronage were common, as were infractions of the laws forbidding magistrates to marry or invest in business ventures within the area of their responsibility.

- 181 SCHWARTZ, STUART B. "The Manumission of Slaves in Colonial Brazil: Bahia, 1684-1745," 54:4 (November 1974), 603-635.

Data from Bahian *cartas de alforria* reveals some of the characteristics of the *libertos* and of the patterns of the manumission process in colonial Brazil. Over half the manumission came by purchase and many others were conditional. Women were twice as apt to be manumitted than men in Bahia. The data also reveals many inconsistencies in the system of slavery which still need to be answered.

- 182 SMITH, DAVID GRANT. "Old Christian Merchants and the Foundation of the Brazil Company, 1649," 54:2 (May 1974), 233-259.

Standard interpretations of Luso-Brazilian commerce held that Jews or New Christians dominated trade and business. Closer examination, using the Companhia Geral do Comércio do Brasil as a case study, shows that the major investors and directors of that important enterprise included many Old Christians. Further evidence reveals that commerce was a method of social mobility for ambitious citizens.

- 183 SOEIRO, SUSAN A. "The Social and Economic Role of the Convent: Women and Nuns in Colonial Bahia, 1677-1800," 54:2 (May 1974), 209-232.

Convent archives are among the only records of the role of colonial women. Leading families preferred that daughters enter a nunnery rather than contract an undesirable marriage. Indications exist that convent life was less socially restricting than marriage. Placing a daughter in a convent reduced the need for dowry monies and increased the availability of credit, as the nunneries acted as lending institutions. The convents were a profitable extension of colonial social and economic institutions.

#### *Cross References: Colonial History, Brazil*

- 59 ALDEN, DAURIL. "The Undeclared War of 1775-1777: Climax of Luso-Spanish Platine Rivalry."
- 162 RODRÍGUEZ, MARIO. "Dom Pedro of Braganza and Colônia do Sacramento, 1680-1705."
- 163 RODRÍGUEZ, MARIO. "The Genesis of Economic Attitudes in the Río de Plata."

### **Revolutionary Period**

- 184 ANNA, TIMOTHY E. "Economic Causes of San Martín's Failure in Lima," 54:4 (November 1974), 657-68.



After a year long blockade of Callao and a landing at Pisco, San Martín entered Lima unopposed. However, he lacked the resources to conquer Peru and remained governing a city without an adequate financial base. Attempts at heavy taxation, currency reform, and paper money all failed to solve the problem. Financial failure was a major causative agent that eventually led to San Martín abandoning leadership of the Peruvian independence movement.

- 185 ANNA, TIMOTHY E. "Francisco Novella and the Last Stand of the Royal Army of Spain," 51:1 (February 1971), 92-111.

Although much of the royal army did not support the viceregal government against the army of Iturbide, the army under Francisco Novella continued to support the Crown. A military coup had forced the resignation of Viceroy Juan Ruiz de Apodaca and made Novella head of the government. He instituted a series of emergency measures, seeking to stem the tide of the rebellion but the population of Mexico City did not support these efforts. After the Treaty of Córdoba in August 1821 which surrendered most of Mexico to Iturbide, Novella continued to hold the capital. Finally on September 23rd, Novella and the remaining royal army agreed to leave Mexico City, departing Mexico via Veracruz.

- 186 BOWMAN, CHARLES H., JR. "The Activities of Manuel Torres as Purchasing Agent, 1820-1821," 48:2 (May 1968), 234-246.

Bolívar's rebel army in Gran Colombia was supplied with arms, ammunition, uniforms, and equipment by Jacob Idler, a Philadelphia merchant, through the agency of Manuel Torres, a former revolutionary and resident of Philadelphia. Torres, though apparently on friendly terms with John Quincy Adams, was unsuccessful in his attempts to persuade the U. S. government to supply much larger quantities of arms to Gran Colombia.

- 187 CRISCENTI, JOSEPH T. "Argentine Constitutional History, 1810-1852: A Re-examination," 41:3 (August 1961), 367-412.

The Argentine view of the early history of the Río de la Plata centers on the belief that the patria or fatherland was born on May 25, 1810, followed by a political debate in the next 60 or 70 years over whether Argentina should have a monarchical, federal, or centralized form of government and whether Buenos Aires would dominate the economy of the interior provinces. Such an interpretation fails to take into consideration the fact that at the time of independence Argentina was economically torn in three directions and that the initial tendency was not to unite but rather to separate into several quasi-independent provinces or states. Argentine national consciousness was not particularly strong even as late as 1850, for no compelling economic force had brought the provinces together.

- 188 DE GRUMMOND, JANE. "The Venezuelan Sesquicentennial Publications, Part II," 42:4 (November 1962), 544-557.

A continuation from *HAHR* 42:1 (February 1962), of the description and commentary on ten more publications of the *Academia Nacional de la Historia* in 1960. These include volumes of periodical publications, penal processes against rebels, a defense of church practices, and eyewitness histories, all of the independence era.



- 189 GILMORE, ROBERT L. "The Imperial Crisis, Rebellion, and the Viceroy: Nueva Granada in 1809," 40:1 (February 1960), 1-24.

Napoleon's invasion of Spain precipitated a crisis not only in the Iberian peninsula but also in Spanish America. In Nueva Granada two opposing groups, the peninsulars and the *criollos*, devised rival plans of action. The fall of the legitimate government in Spain undermined the authority of the viceroy, weakened the position of the peninsulars, and gave the *criollos* an opportunity. A rebellion broke out in Quito on August 10, 1809, because of rumors that the peninsulars were planning to betray the colony to the agents of Napoleon and that the upper class in Spain had gone over to the French. It was a revolt of the *criollos* in defense of king, religion, and country, and it stressed loyalty to Ferdinand VII. The rebellion, however, was unpopular, and the rebels found themselves isolated, blockaded, besieged, and eventually defeated.

- 190 HAIGH, ROGER M. "The Creation and Control of a Caudillo," 44:4 (November 1964), 481-490.

In this study of the political career of Martín Güemes (1785-1821) as governor of Salta, the thesis is presented that the caudillo was created, controlled, and possibly betrayed by a coterie of four leading *salteño* families. The events of 1815-1821 are shown to reflect directly the interests of the family kinship group—to which Güemes was himself related—and the proposition is sustained that the caudillo was the pawn and not the master of the power structure in its political, economic, and military dimensions.

- 191 HAMILL, HUGH M., JR. "Early Psychological Warfare in the Hidalgo Revolt," 41:2 (May 1961), 206-235.

The six-week period leading up to the battle of Las Cruces (October 30, 1810) witnessed the outbreak of ideological warfare in which each side attempted to gain the allegiance of various uncommitted sectors of New Spain's population. The crucial factor proved to be the *criollos*. The insurgents tried to gain their support by assuring them that no harm would come to their persons or their property and by arousing their hostility against the *gachupines*. The royalists who possessed the printing presses, controlled the greater area of the country, and had the official backing of the Church, gained the support of the *criollos* by holding out the prospect of representation in the Spanish Cortes and by emphasizing the destructive qualities of Hidalgo's rebellion and the violence attendant upon class warfare.

- 192 HAMILL, HUGH M., JR. "Royalist Counterinsurgency in the Mexican War for Independence: the Lessons of 1811," 53:3 (August 1973), 470-489.

Between the Hidalgo revolt of 1810 and Iturbide's victory in 1821, Royalist forces developed a complex strategy of counterinsurgency. Central to the strategy was a program of local defense and considerable regional autonomy. A mobile royalist army denied the rebels a permanent base of operations and major urban areas require residents to carry special identification. Terror and propaganda were also used. Such tactics contained the pro-Independence forces for over a decade.

- 193 HUMPHREYS, R. A. "The Historiography of the Spanish American Revolutions," 36:1 (February 1956), 81-93.

The literature relating to the fall of the Spanish American empire and to the establishment of the independent republics is already vast and is rapidly increasing. This progress, however, is uneven. The publication of new source materials has been progressing steadily, and new sectors of inquiry are being explored. A review of recent historiographical work suggests that the gains of the past are being consolidated, and the general history of the revolutionary era is being re-interpreted and re-written. A full and adequate general synthesis of the period would now be useful.

- 194 KENYON, GORDON. "Mexican Influence in Central America, 1821-1823," 41:2 (May 1961), 175-205.

Historians have failed to give adequate recognition to Gabino Gaínza, Spain's last captain-general of Guatemala, and to Vicente Filísola, appointed captain-general of Guatemala by Iturbide shortly before he abdicated. Gaínza was responsible for Central America's peaceful attainment of independence from Spain and astutely guided Central America's union with Mexico. Filísola suppressed the separatist movement of El Salvador, recognized Central America's right of self-determination, and generally followed a policy of moderation and good will toward Central America. It was largely because of Mexican efforts that Central America did not disintegrate immediately after independence.

- 195 TIMMONS, W. H. "José María Morelos—Agrarian Reformer?" 45:2 (May 1965), 183-195.

The fame of Morelos as an agrarian reformer was based on a document purported to be his work—*Medidas políticas que deben tomar los jefes de los ejércitos americanos* . . . Without attempting to reduce the stature of Morelos, this study traces the evolution of his identification with radical agrarian reform in Mexico. The author contends that Morelos did not write the *Medidas políticas* but that the document came from a member of the secret Guadalupe society in October-November, 1812, and that the reputation of Morelos as an agrarian reformer has been built by historians on a myth.

- 196 TIMMONS, WILBERT H. "Tadeo Ortiz, Mexican Emissary Extraordinary," 51:3 (August 1971), 463-477.

Tadeo Ortiz, best known for his intellectual accomplishments, took an active role in the cause of Mexican independence. Unable to return from residence in Spain to Mexico, Ortiz went to Philadelphia and other U.S. cities to gain support for Hidalgo. Later he went to the major cities of the Caribbean, Colombia, Western South America and Buenos Aires. He wrote a proposal inviting British capital for Latin American economic development and took it to London. With Iturbide's victory he returned to Mexico and plunged into producing proposals and books pointing to Mexico's development.

- 197 TUTINO, JOHN M. "Hacienda Social Relations in Mexico: The Chalco Region in the Era of Independence," 55:3 (August 1975), 496-528.

The haciendas of the Chalco region, tied to the markets of Mexico City, developed an interdependant social hierarchy that bound urban-Spanish

culture to that of the rural-Indian. Independence changed this relationship as estate operators had great financial difficulties while Indian villagers increased their autonomy.

- 198 WORTMAN, MILES. "Government Revenue and Economic Trends in Central America, 1787-1819," 55:2 (May 1975), 251-287.

In pre-Independence Central America, tax receipts consistently fell as the general economy declined. To offset the decline, local merchants and producers sought non-Spanish markets. At the same time the Crown demanded greater contributions from its colonies in the fight against Napoleon. The result was a weakening of local government and increased pressure for independence.

### *Cross Reference: Revolutionary Period*

- 91 WOODWARD, MARGARET L. "The Spanish Army and the Loss of America, 1810-1824."

## **National Period**

### **General and Background**

- 199 BARNHART, EDWARD N. "Citizenship and Political Tests in Latin American Republics in World War II," 42:3 (August 1962), 297-332.

Prior to 1939 no Latin American republic provided constitutionally for loss of nationality by the native-born for political beliefs or activity, although Peru took action against Japanese residents by executive decree. Seven states adopted measures providing for denaturalization for various political activities. Wartime measures were directed at the native-born and naturalized citizens in Guatemala, the Central American states, Mexico, and Peru. Naturalized citizens and those in the process of naturalization from Axis states were treated severely by all twenty republics in three main ways. Few basic nationality and naturalization laws were actually amended during the war, but a widespread disregard for the rights of nationality and citizenship occurred in the name of national security.

- 200 BASTERT, RUSSELL H. "A New Approach to the Origins of Blaine's Pan American Policy," 39:3 (August 1959), 375-412.

In a study of the genesis of Blaine's Pan Americanism, little exists to support the contentions that he received his inspiration from Simón Bolívar, Henry Clay, his own interests in Latin America, or a wish to foster an American Continental system for New World peace and commerce. Instead, his policy arose in response to conditions in Latin America and as a result of his experience in the State Department. Blaine's inter-American conference was called to seek machinery for keeping the peace so as to minimize embarrassing difficulties for American diplomacy. It was also intended to bring pressure to bear on Mexico and especially on Chile for the purpose of forcing them to agree to a peaceful

and just solution of their disputes, to prevent European interference in the affairs of the Americas, and to forward hemispheric trade, although commerce was always subordinate to the basic consideration of peace.

- 201 BORAH, WOODWARD. "A French View of Latin America," 45:2 (May 1965), 287-292.

The work of Jacques Lambert on *Amérique Latine: Structures sociales et institutions politiques* is recommended to Latin Americanists as an excellent and thoroughly French effort in philosophical and tightly analytical treatment of a vast area of knowledge. The main concerns and analytical contributions are reviewed, and the inclusion of analysis using current ideas in political science of dysfunction and the role of elites is commended. Lambert also builds on Johnson's work on the middle sectors and Lieuwen's on the military to include them in a coherently whole sense. The recommendation that a version in English is needed was fulfilled later in the translation by Helen Katel.

- 202 CLINE, HOWARD F. "The Conference: A Fecund Decade, 1954-1964," 45:3 (August 1965), 434-441.

A careful recounting of the important developments of the Conference on Latin American History—noting activities of its chairmen, the *HAHR*, ratification of its present Constitution, incorporation in the District of Columbia, establishment of the Hispanic Foundation as its secretariat, inauguration of prizes, committees, publication series, and a sketch of a proposed summary work on Latin American history.

- 203 DEALY, GLEN. "Prolegomena on the Spanish American Political Tradition," 48:1 (February 1968), 37-58.

The frequently repeated statement that the Spanish American nations have failed to establish functioning democracies is predicated upon the assumption that such a system was the intent of Spanish American legislatures. This is not the case. Spanish American constitutions were and are founded in Spanish colonial traditions and deliberately avoid such declarations of rights as are contained in the United States Constitution. With few exceptions, Spanish American constitutions have been designed to eliminate factionalism by the unification of opinion and suppression of dissent, and most are easily amended to provide for the exigencies of political division. In most cases, deputies represent, in fact, not a particular district but the nation as a whole.

- 204 JOHNSON, JOHN J. "Middle Groups in National Politics in Latin America," 37:3 (August 1957), 313-329.

One of the most significant developments in Latin America has been the union of important segments of the traditional middle groups with major elements of the new middle groups to form highly effective political amalgams. The growing influence of the urban middle groups in the twentieth-century has produced a new set of national concerns in which socio-economic issues have supplanted politico-religious ones. The middle groups may choose between several alternative courses, but they have become an active force in the political process and will continue to affect the development of Latin America.



- 205 McALISTER, LYLE N. "The Military and Government: *Arms and Politics in Latin America*, Edwin Lieuwen," 40:4 (November 1960), 582-590.

Latin American historians have generally denounced rather than explained militarism when they mentioned it at all. Systematic studies are of recent vintage. Edwin Lieuwen attributes the rise of militarism to the movement of military leaders into the vacuum created by the collapse of royal authority and the subsequent withdrawal of professionals and their replacement by power-seeking amateurs. Since the 1930s, at least, younger officers have often intervened in politics on the side of the reformers. The question is raised as to the appropriateness of the term "militarism" to define the role of the military in Latin America, for militarism means more than government by generals. Praetorianism is a more suitable term. Professionalism in the Latin American armies does not mean the decline of militarism.

- 206 NEWTON, RONALD C. "On 'Functional Groups,' 'Fragmentation,' 'Pluralism' in Spanish American Political Society," 50:1 (February 1970), 1-29.

The fragmentation of society into "institutionalized functional groups," as opposed to the pluralism of North America, is a characteristic of the Latin American nations. The development of a modern urban society in Latin America has coincided with the elaboration of these special interest groups into a complex system of secondary and "created" groups. All of the interest groups made demands on the state and society which support them, and the inability of the government to comply with conflicting demands complicates the political process, sometimes at the expense of national development.

- 207 RATLIFF, WILLIAM E. "Chinese Communist Cultural Diplomacy Toward Latin America, 1949-1960," 49:1 (February 1969), 53-79.

During the decade of the 1950s, Chinese cultural diplomacy, including visits by Latin Americans to China and tours by Chinese dance and athletic groups to this hemisphere, was an effective instrument of foreign policy. The Chinese apparently expected to find anti-American sentiment in Latin America and were encouraged by the reception given to then Vice-President Richard Nixon. The Chinese diplomatic offensive in Latin America reached a peak of intensity in 1959 and 1960, dropping off sharply in 1961. The decline probably was due to increasing friction between China and the Soviet Union and the resultant militancy of Chinese foreign policy.

- 208 SCHOONHOVEN, JAN, and CASPER TYMEN DE JONG. "The Dutch Observer at the Congress of Panama in 1826," 36:1 (February 1956), 28-37.

In February, 1826, Colonel Jan Verveer of the Dutch General Staff was commissioned by Royal Decree to act as an unofficial observer for the Netherlands Government at the Congress of Panama. Except for a British observer at Panama and an American delegate who arrived late in Mexico, Verveer was the only foreigner present at both Panama and Tacuba. Analysis of his reports suggests that he played a significant role in the establishment of the first contacts between the Netherlands and the new American republics.



## Guide to the Hispanic American Historical Review

- 209 VAN AKEN, MARK J. "University Reform Before Córdoba," 51:3 (August 1971), 447-462.

An International Congress of American Students convened in early 1908 at Montevideo with 113 representatives from most of South America. Resolutions adopted and subjects discussed antedated Córdoba by a decade. Results included proposals for student voice in university control, items of student welfare, and a permanent organization. Two more congresses were sponsored, meeting in 1910 and 1912. The Córdoba program was a refinement and evolution of the Montevideo proposals.

- 210 VANGER, MILTON I. "Politics and Class in Twentieth Century Latin America," 49:1 (February 1969), 80-93.

Reliance upon the techniques of class analysis cannot explain political reality in Latin America. In the United States, the middle class includes a number of occupations once thought of as belonging to the laboring classes. In Latin America, these same occupations still exhibit the attributes of laboring classes, which are incompatible with middle class concerns. In addition, the lack of political awareness, which is accepted as characteristic of the lower classes, also exists within the group usually considered as middle class. Furthermore, the use of social origin as an explanation of political ideology is unsatisfactory since it cannot resolve the seeming contradiction of middle class leadership of revolution.

## West Indies

- 211 APPEL, JOHN C. "The Unionization of Florida Cigarmakers and the Coming of the War with Spain," 36:1 (February 1956), 38-49.

Historians have generally overlooked the role of American labor in creating sentiment favorable to support of Cuba's struggle for independence. The Cigarmakers International Union exercised an important influence in support of the "Cuba Libre" movement. Recognizing that the influx of Cubans into New York and Florida might dilute the progress of labor organization, the Union concluded that attempts should be made to establish unionism in Cuba, to tighten existing immigration laws, and to help Cubans gain independence. After Cuban independence, the Florida unionization problem was less acute since many Cuban workers were union members before they came to Florida.

- 212 BENJAMIN, JULES R., "The *Machadato* and Cuban Nationalism, 1928-1932," 55:1 (February 1975), 66-91.

Cuban nationalism grew to major proportions during the *Machadato*. The increasingly repressive nature of the government of President Gerardo Machado and the drop in the value of sugar due to world depression made the U.S. a focus of a growing nationalism. Cuban discontent was organized among labor, students, artists and even the middle class. Machado fell from power when the U.S. withdrew its support. The result was a splitting of the anti-Machado nationalist forces into two groups in terms of their view of the U.S.

- 213 CORBITT, DUVON C. "Carlos J. Finlay, Cuban Physician," 45:3 (August 1965), 439-441.

In reviewing the main points of Finlay's contribution to end yellow fever, the author shows how American physicians and general publications unjustly relegated him to a secondary position. It is suggested that on the fiftieth anniversary of his death (1965) it would be appropriate to rectify this through cooperation by writers and publishers in a commemorative bibliography of writings about Finlay. It is being prepared by the Office of the Historiador de Salubridad in Havana.

- 214 CORBITT, DUVON C. "Cuban Revisionist Interpretations of Cuba's Struggle for Independence," 43:3 (August 1963), 395-404.

Until the year 1927 Cuban history texts tended to a traditionally soft position toward the United States and American participation in the independence movement of 1898. Critical writing gained momentum under men like Herminio Portell Vilá and Emilio Roig de Leuchsenring, and Roig became the leading revisionist figure of Cuba. In interpreting the final struggle for independence, revisionists assert that Cubans had effectively won the war when the United States appeared and robbed them of independence. The United States was, then, the enemy of Cuban independence, and this view was strongly embraced and propagated with the rise of the Castro government in 1959.

- 215 CRONON, E. DAVID. "Interpreting the New Good Neighbor Policy: The Cuban Crisis of 1933," 39:4 (November 1959), 538-567.

The Good Neighbor Policy faced its first major test in the Cuban crisis of 1933 which led to demands for old-style American intervention to restore order. Two opposing views of the Good Neighbor Policy emerged within the Roosevelt Administration. In Havana, Ambassador Sumner Welles construed nonintervention to include paternalistic meddling in Cuban political affairs. In Mexico, Ambassador Josephus Daniels, on the other hand, favored a policy of strict nonintervention in Cuba, and urged that the Monroe Doctrine be converted into a multilateral hemispheric policy. President Roosevelt's actions demonstrated that he leaned more toward Daniels' view of the Good Neighbor Policy than toward Welles'. He publicly offered to consider changes in the Platt Amendment, and he renounced unilateral American intervention in Latin America.

- 216 GATELL, FRANK OTTO. "From Problem Children to *Wunderkinder*: Some New Books on Puerto Rico," 41:1 (February 1961), 114-119.

A review article.

- 217 GATELL, FRANK OTTO. "Independence Rejected: Puerto Rico and the Tydings Bill of 1936," 38:1 (February 1958), 25-44.

In 1936 Puerto Ricans were forced to do some serious thinking about their future relationship with the United States. The cause of the island's agitation was the Tydings independence bill, and the choice involved independence and economic isolation or dependence and economic prosperity. After the Tydings bill had been rejected, the vigor and initiative which had been expended on polemics urging independence were applied to the task of raising the island from its economic morass.

- 218 JUÁREZ, JOSEPH ROBERT. "United States Withdrawal from Santo Domingo," 42:2 (May 1962), 152-190.

In 1920 public attention was focused on the United States' intervention in Santo Domingo as interest shifted from the war in Europe. Wilson made overtures toward withdrawal, and Dominican propaganda was intensified in favor of freedom from intervention. By 1924 the Dominican withdrawal took place after effective legal argumentation, the waning of missionary zeal, the rise of Latin American support of the Dominicans, and a declining strategic need for the eastern portion of the island. Haitian withdrawal was delayed until 1934.

- 219 MINGER, RALPH ELDIN. "William H. Taft and the United States Intervention in Cuba in 1906," 41:1 (February 1961), 75-89.

A revolution against the Cuban government in 1906 prompted President Tomás Estrada Palma to ask the United States for assistance in accordance with the provisions of the Platt Amendment. Theodore Roosevelt sent his Secretary of War, William H. Taft, to Cuba in an attempt to straighten out a difficult situation. He became temporary governor, disbanded the insurgent army, restored peace, and declared a total amnesty. Attempting to minimize adverse political criticism, he tried to make the intervention government as acceptable as possible to the Cubans, viewing it as merely a temporary trusteeship.

- 220 MUNRO, DANA G. "The American Withdrawal from Haiti, 1929-1934," 49:1 (February 1969), 1-26.

During this period the United States legation in Haiti, of which the author was a member, was required to mediate between the U.S. State Department and the Haitian government. The problem was compounded by occasional misunderstandings between the State Department and the legation as well as the general intransigence and occasional hostility of nationalist Haitian officials. The result of the lengthy negotiations for United States withdrawal was a series of compromise agreements which succeeded in creating a stable political order but failed completely to ameliorate the pressing social problems and the fiscal ill health of the Haitian government.

- 221 PÉREZ, LOUIS A., JR. "Scholarship and the State: Notes on *A History of the Cuban Republic*," 54:4 (November 1974), 682-690.

The need for authoritative studies following the 1959 revolution brought the reprinting of Charles E. Chapman's *A History of the Cuban Republic*, first published in 1927. The book had been written at the request of U.S. State Department officials. The object was a statement of U.S. policy orientation and an effort to influence Cuban authorities. Despite conflict between the author and the State Department over content, *The Cuban Republic* reflects a conformity to U.S. policy imperatives.

- 222 PÉREZ, LOUIS A., JR. "Supervision of a Protectorate: The United States and the Cuban Army, 1898-1908," 52:2 (May 1972), 250-271.

At the end of the Spanish-American War, Cubans expected to receive independence. U.S. authorities felt that Cuba must remain under occupation and tutelage for an indefinite period. The Liberation Army was disbanded by use of jobs and bonuses, and an American-sponsored

*Guardia Rural* was created to enforce internal security. The *Guardia* was unable to support the Provisional Government when, following the Platt Amendment, U.S. troops withdrew. Eventually a Permanent Army under Cuban control was established which gave a basis for an independent Cuban government.

- 223 SMITH, ROBERT FREEMAN. "Twentieth-Century Cuban Historiography," 44:1 (February 1964), 44-73.

Building on a rich colonial historical literature, Cuban historiography has followed three main directions in this century. The generation that achieved independence was dominant for two decades. In the 1920s, historians such as Emilio Roig de Leuchsenring and Herminio Portell Vilá began a revisionist school, while a second older, scientific tradition in the Academy of History adopted a milder approach. Finally, the Castro Revolution replaced promising growth with intense emotionalism after 1959, a position most easily accepted by those revisionists who remained in Cuba. Colonial history to 1800 is still the greatest gap in historical writing, while the 19th century has been most heavily worked. The Spanish-Cuban-American War needs revision to include Cuban participation, and the neglected era of the republic is vital to an understanding of Cuba.

*Cross References: National Period, West Indies*

- 34 RATLIFF, WILLIAM E. "A New Old Che Guevara Interview."  
234 GILMORE, N. RAY. "Mexico and the Spanish-American War."

**Mexico**

- 224 ANDERSON, RODNEY D. "Mexican Workers and the Politics of Revolution, 1906-1911," 54:1 (February 1974), 94-113.

Most studies have characterized the basis of pre-Revolutionary labor protest as class based and the result of imported European socialist philosophy. On closer examination of the writings from Mexican labor, the evidence shows that their protests derived from indigenous Liberal ideals and a return to lawful rights guaranteed under the Constitution of 1857.

- 225 BEEZLEY, WILLIAM H. "State Reform During the Provisional Presidency: Chihuahua, 1911," 50:3 (August 1970), 524-537.

Abraham González, appointed by Madero as provisional governor of Chihuahua in November 1911, immediately began a comprehensive reform program. Using almost dictatorial powers given him by the state legislature, González reevaluated property holdings to reach a more equitable taxation system, tried to stop the alienation of state owned lands, and regulated or cancelled concessions and tax exemptions to private companies. González also abolished the office of *jefe político* and consistently sided with labor in disputes with management. The progress of the program ended when González went to Mexico City to serve in Madero's cabinet.



- 226 BERGE, DENNIS E. "A Mexican Dilemma: The Mexico City Ayuntamiento and the Question of Loyalty, 1846-1848," 50:2 (May 1970), 229-256.

The invasion by the United States and the defeat of Santa Anna's forces at Cerro Gordo produced a crisis in Mexican politics. The municipal government of Mexico City, under the control of United States forces, was naturally suspect, and *puro* and *moderado* groups repeatedly charged each other with treason. During the occupation, the Mexico City Ayuntamiento was controlled successively by *puros* and *moderados*, and both groups defied the national government, acting in what seemed the best interests of the city itself.

- 227 BLUMBERG, ARNOLD. "The Italian Diplomacy of the Mexican Empire, 1864-1867," 51:3 (August 1971), 497-509.

Italian King Victor Emmanuel II had a reputation for liberalism that made him suspect in neighboring conservative Europe. The Austrian Hapsburgs had refused Italy recognition and occupied portions of Northern Italy but France wanted accommodation with King Victor Emmanuel and pressed for Italo-Mexican rapprochement. The Italians wanted Maximilian's support to gain the Austrian-held territories. As a result diplomatic relations were consummated in 1864. Maximilian's anti-Austrian, anti-Vatican policies brought him into line with Italian and French policy, so much so that the Italian foreign minister talked of sending Italian troops to support Maximilian. Maximilian sought Italian help in gaining U. S. recognition. U. S. pressure and the Seven Week War stopped the Italian initiative to Mexico. Peace brought Italian success and undercut their interest in Mexico, bringing an end to Italian-Mexican diplomatic cooperation.

- 228 BORAH, WOODROW. "The Séptima Mesa Redonda of the Sociedad Mexicana de Antropología," 38:1 (February 1958), 48-50.

The seventh round table of the Sociedad Mexicana de Antropología was held in September, 1957, in Oaxaca. Aboriginal cultures and the process of cultural adaptation, particularly among the Mixtecs and Zapotecs, was the theme. It was agreed that the next meeting should be held in the summer of 1959 in San Cristóbal-Las Casas and that the theme should be the aboriginal cultures of Chiapas.

- 229 BROUSSARD, RAY F. "Vidaurre, Juárez and Comonfort's Return from Exile," 49:2 (May 1969), 268-280.

Ignacio Comonfort's efforts to return to Mexico legally were frustrated by the Congress, by President Benito Juárez, and by the state governors who were directed to arrest him. But Santiago Vidaurre, an old and close friend of Comonfort, disobeyed the arrest order and protected the former president while intervening on his behalf with the national government. Finally, the impossibility of forcing Vidaurre's compliance and the invasion of México by French forces induced Juárez to grant Comonfort amnesty and appoint him commander of military forces for Nuevo León and Coahuila.

- 230 BUTTREY, T. V., and ADON GORDUS. "The Silver Coinage of Zapata, 1914-1915," 52:3 (August 1972), 456-462.



Examination of Zapatista coinage by neutron bombardment, a method that does not injure the coins, reveals aspects of revolutionary monetary policy. The examination reveals that the value content was equal to Federal coinage, that the different mints were unequal in quality, and that Zapata used at least four different mints. The coinage was designed to elicit confidence by delivering face values.

- 231 COATSWORTH, JOHN H. "Railroads and the Concentration of Landownership in the Early *Porfiriato*," 54:1 (February 1974), 48-71.

During the last decades of the nineteenth century major investments went into railroads. The result was a change in agricultural marketing and hence the value of land along the railroads. This led to assaults on Indian property holding and concentration of land near the railroads into a few hands. Landgrabbing led to Indian protest and insurrection in the affected areas. Government cooperation with entrepreneurs allowed public lands to become large private haciendas in the North. Railroad construction also is linked to the last stages of the Yucatan Caste War.

- 232 CUMBERLAND, CHARLES C. "The Sonora Chinese and the Mexican Revolution," 40:2 (May 1960), 191-211.

The hostility of Mexicans toward Chinese was quiescent prior to the Torreón Massacre of 1911, which initiated a series of hostile actions against the Chinese on the part of the revolutionaries of Sonora, Baja California, and Sinaloa. In 1916 Sonora passed discriminatory legislation amounting to legalized persecution. The depression of 1929 resulted in a series of laws in 1930 and 1931 in Sonora to give employment to Mexicans at the expense of the Chinese. In September, 1931, the Chinese began to leave the state in large numbers, and by October the "Chinese problem" had been eliminated. The effects of this exodus were felt immediately in a shocking loss of revenue. Economic, political and psychological factors are among the reasons for this anti-Chinese feeling.

- 233 ELLIS, L. ETHAN. "Dwight Morrow and the Church-State Controversy in Mexico," 38:4 (November 1958), 482-505.

A proclamation of 1926 announced that the episcopate, the clergy, and the Catholics of Mexico would not recognize several articles of the constitution. Dwight Morrow, the United States ambassador, devoted much of his time during 1928 and 1929 to an attempt to reach an amicable compromise between Church and State. An agreement in which two conflicting and proud interests were harmonized was reached in 1929. Morrow's achievement was in bringing the State to a point in which discussion was possible and in modifying the extreme position of the State to a point where the Church could accept it. In this respect his diplomacy succeeded admirably.

- 234 GILMORE, N. RAY. "Mexico and the Spanish-American War," 43:4 (November 1963), 511-525.

At the outbreak of war, the Díaz government was friendly toward the United States and strictly neutral. While this policy continued until the end of hostilities, a number of problems arose that were beyond the scope of government neutrality. Americans in Mexico found Mexican sympathy

for Spain strong, and they faced the hostility of a large and articulate Spanish colony. Spanish *juntas patrióticas* remained in control of ports and commerce, and they were successful in the early days of the conflict when the American blockade was not complete in Cuba. Throughout the war Mexican, Norwegian, British, and other vessels, carried supplies from Veracruz to aid Spain in Cuba. The Mexican press furthered Spanish efforts to incite ill feeling against Americans.

- 235 GORDON, LEONARD. "Lincoln and Juárez—A Brief Reassessment of Their Relationship," 48:1 (February 1968), 75-80.

Politicians in both Mexico and the United States have perpetuated the tradition of a friendship between Lincoln and Juárez, even though it is known that they never met. Despite thorough investigations in both countries, no evidence has been found that the two men were friends or that they ever corresponded.

- 236 HALE, CHARLES A. "José María Luis Mora and the Structure of Mexican Liberalism," 45:2 (May 1965), 196-227.

José María Luis Mora was the significant liberal spokesman who, writing mostly in the period of 1821-1837, set down the basic condition that the Old Regime had to be liquidated if individualism was to have meaning. Political privilege was attacked fairly effectively, but economic individualism and privilege were not. Lucas Alamán epitomized conservatism in many ways, and his conservative thought is used to show the opposing attitudes in economics, social attitudes, the Indian question, and on the Church. The chief issue in conflict was anticlericalism, with liberals seeing the secularization of society as a key to the solution of other evils.

- 237 HALE, CHARLES A. "The Liberal Impulse: Daniel Cosío Villegas and the *Historia moderna de México*, 54:3 (August 1974), 479-498.

The genesis of the *Historia moderna* came from a desire of Cosío Villegas to find lessons from Mexican history applicable to the national political crisis of the 1940s. His hope comes from the Restored Republic of Benito Juárez and its philosophy of political liberalism. Cosío also analyzes the *porfiriato* revising history by breaking the conceptual monolith into its components. The *Historia moderna* gives new life to the professional study of Mexico.

- 238 HU-DEHART, EVELYN. "Pacification of the Yaquis in the Late *Porfiriato*: Development and Implications," 54:1 (February 1974), 72-93.

Longstanding Yaqui intransigence against the Mexican government came to a head when their land was wanted for development in the *porfirian* scheme. To repress the guerrilla rebellion of the Yaquis, Díaz supporters initiated a policy of deportation of the Indians to Yucatan. Conflict then developed between anti-Díaz hacendados and mine owners who used Yaqui labor, and the central government who used the deportees to develop henequen production. Federal policy, clashing with local interests, led to those interests alliance with anti-Díaz forces in the Revolution.

- 239 HUTCHINSON, C. A. "Valentín Gómez Farías and the 'Secret Pact of New Orleans,'" 36:4 (November 1956), 471-489.

The "Secret Pact of New Orleans" is the name given by Father Mariano Cuevas in his *Historia de la iglesia en México* to an alleged meeting of a New Orleans council called by General José Antonio Mejía in 1835. It was this council, according to Cuevas, that invited Valentín Gómez Farías to New Orleans. Cuevas states that Gómez Farías was one of those conspiring to invade Mexico and free Texas. Opinion has been divided on Cuevas' accusations. The author feels that the "Secret Pact" has become a black legend in embryo, but that it deserves serious analysis.

- 240 KAHLE, LOUIS G. "Robert Lansing and the Recognition of Venustiano Carranza," 38:3 (August 1958), 353-372.

When Robert Lansing became Secretary of State in 1915, the Mexican problem was being eclipsed as a major foreign policy issue by events in Europe. He felt that a solution to the Mexican question was essential since Germany was attempting to stir up trouble for the United States in Latin America, and Mexico was the most logical locale for such activities. A study of this problem convinced him that eventually Venustiano Carranza would have to be recognized. His perseverance led to the recognition of Carranza, not so much because Carranza seemed the legitimate heir to Madero's authority, but because Lansing felt that prolonged difficulties in Mexico might deprive the United States of an effective voice in Europe.

- 241 KATZ, FRIEDRICH. "Labor Conditions on Haciendas in Porfirian Mexico: Some Trends and Tendencies," 54:1 (February 1974), 1-47.

Labor conditions differed in the North, Central, and South of pre-Revolutionary Mexico. In the Center former landowners wanted the return of communal land; in the South, revolution was initially repressed, only to eventually emerge with the most radical program; in the North, support for the Revolution grew out of unemployment. In each case the actions and their differences are traceable to differing conditions of debt peonage, economic well-being, geographical situations, and authority relationships.

- 242 KNOWLTON, ROBERT J. "Chaplaincies and the Mexican Reform," 48:3 (August 1968), 421-437.

The drafting of effective legislation governing the disentailment of the capital endowing chaplaincies was made more difficult by the legislators' attempt to distinguish between the different types of chaplaincies. Liberal doctrine required the destruction of the chaplaincies as corporate institutions, but the capital that had endowed them was private property and as such could not be nationalized. It was for this reason that elaborate precautions were taken to ensure that the chaplains could retain their capital.

- 243 KNOWLTON, ROBERT J. "Some Practical Effects of Clerical Opposition to the Mexican Reform, 1856-1860," 45:2 (May 1965), 246-256.

Liberalism during the Reform encountered Church opposition, particularly over the Lerdo law and the federal Constitution of 1857. Viewing these as attacks on their God-given rights, Catholic bishops

challenged the Reform. The majority of places in Mexico took the oath to the Constitution, and the Church seemed saved only with the outbreak of the three-year war against Juárez. The liberals turned increasingly anticlerical, and conservatives were pressed to alienate Church property, levy taxes, and even seize precious silver objects.

- 244 LEVENSTEIN, HARVEY A. "The AFL and Mexican Immigration in the 1920s: An Experiment in Labor Diplomacy," 48:2 (May 1968), 206-219.

After World War I, Samuel Gompers and AFL lobbyists in Washington attempted to have Mexico included in proposed legislation to restrict immigration. Gompers and his successor, William Green, also attempted, through the Mexican Union, CROM, to persuade the Mexican government to impose severe restrictions on emigration to the United States. The compromise agreement between the AFL and the CROM had little or no effect, however, since the CROM lost most of its influence after the assassination of President Alvaro Obregón.

- 245 McNELLY, JOHN H. "Origins of the Zapata Revolt in Morelos," 46:2 (May 1966), 153-169.

The State of Morelos, like Chihuahua, was a major center of resistance during the 1910 Revolution. The state had already a long history of village opposition to acquisition of communal land by hacendados, and the Zapata revolt began when lands belonging to the villages of Yautepec and Anenecuilco were annexed by haciendas. Zapata and his supporters were pushed into a revolutionary movement when successive governments failed or refused to deal directly with illegal acquisitions of land.

- 246 MEYER, MICHAEL C. "A Venture in Documentary Publication: Isidro Fabela's *Documentos Históricos de la Revolución Mexicana*," 52:1 (February 1972), 123-129.

Isidro Fabela's *Documentos Históricos* is remarkable in Latin American historiography for its completeness and size. Sources cover a number of major archives including the author's own. Reproduction has been faithful, the only major limitation, the slighting of evidence that would put the Huerta regime in a good light. The greatest need is a cumulative index. The volumes are a major achievement and of immense value for both the teacher and the scholar.

- 247 MILLER, ROBERT RYAL. "Matías Romero: Mexican Minister to the United States During the Juárez-Maximilian Era," 45:2 (May 1965), 228-245.

As the official representative of the Mexican government recognized by the United States in the conflict with Maximilian, Matías Romero had to conduct his diplomacy, seek loans, purchase munitions, direct Mexican propaganda efforts, and counter those of Maximilian's forces. He became politically effective and held high positions for nearly a quarter of a century. While his success was not complete in these areas, Romero's stature as a diplomat was great, and he succeeded in contributing to the acquisition of arms, munitions, funds, and good will from unofficial sources to a remarkable extent.

- 248 PHELAN, JOHN LEDDY. "*México y lo Mexicano*," 36:3 (August 1956), 309-318.



An examination of this collection of twenty-three titles illuminates many facets of the contemporary Mexican mind. The contributors, who are specialists in a wide variety of disciplines, share a common objective—to discover the national ethos of Mexican culture. Their efforts are a synthesis of prior investigation, revisions of previously printed works, or hypotheses for future research. The contributors avoid the extremes of either pessimism or optimism, self-pity or self-adulation. The accomplishments of this collection, to date, represent an evocative achievement with a meaning that transcends the frontiers of Mexico.

- 249 POTASH, ROBERT A. "Historiography of Mexico Since 1821," 40:3 (August 1960), 383-424.

Mexican writers have contributed most of the historical works on Mexico. Europeans have been interested mainly in the colonial period and the Maximilian era, while U. S. historians have stressed diplomatic relations, political history, biography, and the Revolution of 1910. Mexican writers of the national period have been influenced by two epic struggles—the Reform and Intervention, and the Revolution of 1910. The writers between 1920 and 1940 stressed the Revolution, the Church-State conflict, agrarian reform, Indianismo, and diplomatic relations. Since 1940 Mexican historians have been able to write with greater detachment than before, a trend aided by Spanish refugee historians. Since the founding of El Colegio de México in 1940 historical writing has been more professional; in 1951 the Colegio began *Historia Mexicana*, "the most significant journal devoted to Mexican history to appear in the present century." In recent years there has been a marked increase in social, economic, and agricultural history. The growth of intellectual history is reflected by the works of Leopoldo Zea, a "philosopher with a historian's conscience." Another recent trend is objective history, best represented by the *Historia moderna de México*, a collaborative work under the direction of Daniel Cosío Villegas.

- 250 POWELL, T. G. "Mexican Intellectuals and the Indian Question, 1876-1911," 48:1 (February 1968), 19-36.

Treatments of the *porfiriato* commonly discuss, at varying length, the oppression of Indians under Díaz as if it were an accepted policy of the government. But Díaz' policies, which were more neglectful than actively oppressive, were opposed by a number of intellectuals throughout the *porfiriato*, some of whom claimed that the Indians were actually superior to the "white" races. The appreciation, although romanticized, of Indian culture and tradition means that revolutionary indigenism did not suddenly appear in 1910, but was a product of the resistance to Díaz policy since 1876.

- 251 RAAT, WILLIAM D. "Leopoldo Zea and Mexican Positivism: A Reappraisal," 48:1 (February 1968), 1-18.

Zea's work suffers from a certain imprecision in definition, even though he may have been aware of the distinctions which he failed to make clear. Zea treated positivism as a method, equating it with scientism and assuming that all authors who adopted a scientific method were influenced by Auguste Comte. The use of such ill-defined terms as "the Mexican mind" and "the Spirit of the Age," as well as Zea's misuse of the



term "positivism" is deleterious to analysis in intellectual history, and a number of simple suggestions for more rigorous definitions can help intellectual historians to avoid similar mistakes.

- 252 RAUSCH, GEORGE J., JR. "The Exile and Death of Victoriano Huerta," 42:2 (May 1962), 133-151.

For a year following his exile in July, 1914, Huerta seemed to have sought an opportunity to return to Mexico through armed revolt. He resided seven months in Barcelona where German officials offered their support, and in 1915 he traveled to New York City where plotting for munitions and financial backing began. When Huerta departed for El Paso at the end of June, 1915, the Wilson government charged him with conspiracy to violate United States neutrality, and Huerta was finally confined to Ft. Bliss in military quarters awaiting trial in 1916. His cause seemed popular at first, but stability in Mexico, recognition of Carranza by Wilson, the withdrawal of German support, and finally death in El Paso ended his exile in January, 1916.

- 253 ROSS, STANLEY ROBERT. "Cosío Villegas' *Historia Moderna de México*," 46:3 (August 1966), 274-282.

Despite critical comments about the size and weight of the multi-volume *Historia Moderna de México*, Cosío Villegas' work has generally been well received. Reviewers have been impressed with the extent and intensiveness of the research accomplished by the team of investigators and assistants at El Colegio de México, covering the period from 1867 to 1911, as well as by the lucidity and unity of the work.

- 254 ROSS, STANLEY ROBERT. "Dwight Morrow and the Mexican Revolution," 38:4 (November 1958), 506-528.

Some on both sides of the border have charged that Dwight Morrow called to a halt the Mexican Revolution. The conservatism and cynicism of the Mexican revolutionary leaders of this period and the reactionary aspects of the *callista* machine have been blamed on him. The charges are particularly appealing when one considers Morrow's background as a corporation lawyer and Morgan partner. But he did not stop the revolution. The world depression and the growing cynicism of the Mexican leaders must be considered when weighing his role in the slowing down of the Mexican revolution.

- 255 SANDOS, JAMES A. "German Involvement in Northern Mexico, 1915-1916: A New Look at the Columbus Raid," 50:1 (February 1970), 70-88.

It appears possible that Pancho Villa's raid on Columbus, New Mexico, was inspired by German agents associated with Villa. Dr. Lyman B. Rauschbaum served as Villa's physician, translator, and advisor. The doctor also may have collaborated with a German army officer and undercover agent, Felix Sommerfeld, whose assignment was to try to cause a war between the United States and Mexico. If so, the raid on Columbus may have been intended to provoke the United States to send an expedition into Mexico with the expectation that a full scale war might result.

- 256 SCHIFF, WARREN. "German Military Penetration into Mexico During the Late Díaz Period," 39:4 (November 1959), 568-579.

In 1900 Germany sent instructions to her minister to Mexico to strengthen the Díaz regime militarily, and two Mexican army officers were attached to the German General Staff. Later diplomats pressed for the introduction of German officers to train the Mexican army. The German government was both anxious to avoid difficulties with the United States and fearful that French influence would continue in Mexican military affairs. But although German-style spiked helmets and Mauser rifles became popular in Mexico, German influence remained weak.

- 257 SCHMITT, KARL M. "The Díaz Conciliation Policy on State and Local Levels, 1876-1911," 40:4 (November 1960), 513-532.

Prior to the Díaz era, Liberal reformers on the federal and state levels attempted to impose restrictions on the church which had become a corporate body within the state. The Díaz regime, however, adopted a policy of conciliation toward the church. Catholic-Protestant relations proved to be the only area of serious conflict. State enforcement mirrored the activities of the national government only in a broad sense, with protection to Protestant missionaries being the most striking departure of the states. The more remote or isolated the district the more lax usually was the enforcement of legal restrictions on the church. Conservative governors generally did not enforce anti-clerical laws, while Jacobin governors enforced them strictly.

- 258 SCHOENHALS, LOUISE. "Mexico Experiments in Rural and Primary Education: 1921-1930," 44:1 (February 1964), 22-43.

One of the major aspects of revolutionary Mexico in the 1920s was educational reform, and despite limitations of experience and funds great gains were made. José Vasconcelos dominated the first part of the decade from his post as Secretary of Education. After 1924 the leading role fell to Sub-secretary Moisés Sáenz, whose work under John Dewey at Columbia impelled him to pursue a "schools of action" approach. The gains of these educators and their various programs in school construction, cultural missions, rural normal schools, open air schools, and circuit schools made significant inroads in rural and indigenous education.

- 259 SINKIN, RICHARD N. "The Mexican Constitutional Congress, 1856-1857: A Statistical Analysis," 53:1 (February 1973), 1-26.

Factor analysis of key votes during the Constitution Congress reveals five underlying issues that influenced balloting. These were Law and Order, Power of Congress, a centralist-federalist split, the powers of Congress versus the President, and the death penalty. The Church-State issue was only part of a bigger issue and the moderate-liberal split is not well defined.

- 260 SMITH, RALPH A. "Indians in American-Mexican Relations Before the War of 1846," 43:1 (February 1963), 34-64.

In the prewar decade, Indian raids complicated Mexican life when centralism, clericalism, militarism, and American imperialism already

were weakening the republic. Among the numerous tribes involved, the Apaches, Navajos, Utes, Comanches, and Kiowas were the most bothersome. They intensified their traditional attacks along well established plunder trails, and Mexican officials retaliated with a scalp bounty and war after 1831. Mexico had limited success with treaty arrangements between 1841 and 1845, but by 1846 the Indians still were able to create general consternation and serious damage through their raiding activities.

- 261 SPELL, LOTA M. "Gorostiza and Texas," 37:4 (November 1957), 425-462.

Manuel Eduardo Gorostiza, one of Spain's leading dramatists, was Mexican by birth. His liberal politics brought him royal disfavor after the Riego revolt of 1820. En route to Paris he met Lucas Alamán, who in 1824 secured Gorostiza an appointment as special Mexican agent. In 1833, after serving in various capacities in London, Gorostiza went to Mexico and served under Vice President Gómez Farías. In 1836 he was made minister plenipotentiary to the U. S. to handle all matters concerning Texas. His efforts to protect Mexican rights and his treatment by officials and the press made him a bitter enemy of the U. S. He served three times as Mexican secretary of the treasury, but when war came he trained and led troops. After the defeat he was totally out of favor, with the result that he is remembered only for his plays.

- 262 SPELL, LOTA M. "Notes on Gorostiza," 38:2 (May 1958), 256-259.

A recent publication, *Teatro Selecto. Manuel E. de Gorostiza*, edited with prologue and notes by Armando María y Campos (México, 1957), contains many conflicting statements and flagrant errors concerning the life and work of Gorostiza. The real facts should be determined about a man who deserves better treatment.

- 263 STEWARD, LUTHER, JR. "Spanish Journalism in Mexico, 1867-1879," 45:3 (August 1965), 422-433.

Two newspapers were the progenitors of Spanish journalism in independent Mexico. *La Iberia* (1867-1876) under Anselmo de la Portilla offered balanced and moderate news, while *La Colonia Española* (1873-1879), edited by Adolfo Llanos y Alcaraz, risked the anger of Mexicans and some Spaniards with its caustic observations about Mexican life. Their policies, main difficulties, and services to the social and business interests of the Spanish colony made for a lively existence, but they failed because they were financially vulnerable in a foreign land. Portilla died in Mexico, while Llanos was deported for his troublesome views.

- 264 TISCHENDORF, ALFRED P. "The Anglo-Mexican Claims Commission, 1884-1895," 37:4 (November 1957), 471-479.

In 1867 President Benito Juárez announced that his government would have no communications with nations that had recognized the Maximilian regime. Only in 1884 when relations between Britain and Mexico were resumed did private British claims against the Mexican government receive notice. A Claims Commission was established, and its report was submitted to the British government in 1889. By 1890 satisfactory settlements had been made with British landholders, and British-Mexican relations improved.

- 265 TURNER, FREDERICK C. "Anti-Americanism in Mexico, 1910-1913," 47:4 (November 1967), 502-518.

Anti-Americanism during the Mexican Revolution, based on charges of American racism, imperialist foreign policy, and the possibility of military invasion of Mexico, was an expression of Mexican nationalism and of the accumulated grievances of Mexicans against United States business and governmental influence in Mexican affairs. It provided a means of unification and the strengthening of nationalism in Mexico and was used for that purpose by the government and by revolutionaries who denounced U. S. imperialism while negotiating with the United States for aid and arms purchases.

- 266 VANDERWOOD, PAUL. "Genesis of the Rurales: Mexico's Early Struggle for Public Security," 50:2 (May 1970), 323-344.

The famous Mexican police force known as the Rurales was created by decree in 1861, on the pattern of the Guardia de Seguridad, established in 1857. The large numbers of men released from military service after the War of the Reform were recruited into the newly formed Rurales, and some entire military units were simply redesignated as police units. The size of the rural police force was repeatedly increased, as was the salary scale, which by 1891 was twice the amount paid to a regular army member. Although Porfirio Díaz made very effective use of the Rurales, he did not appreciably increase their number or drastically change their function.

- 267 WEBER, FRANK G. "Bismarck's Man in Mexico: Anton Von Magnus and the End of Maximilian's Empire," 46:1 (February 1966), 53-65.

Maximilian, despite traditional treatment of him as a visionary fool, was a thoroughly competent ruler and administrator. His failure in Mexico was due to the active interest of Bismarck and his agent Anton Von Magnus, whose object was to prevent Maximilian's succession to the Austrian Empire and to prevent any lasting alliance between the Mexican Empire and France. Maximilian and Carlotta were persuaded to rely on Prussian assistance, which was then withheld.

### *Cross References: National Period, Mexico*

- 36 ROSS, STANLEY R. "Bibliography of Sources for Contemporary Mexican History."
- 269 BENSON, NETTIE LEE, and CHARLES R. BERRY. "The Central American Delegation to the First Constituent Congress of Mexico, 1822-1823."

### **Central America**

- 268 ALLEN, CYRIL. "Félix Belly: Nicaraguan Canal Promoter," 37:1 (February 1957), 46-59.

Félix Belly, French litterateur and savant, arrived in Costa Rica in 1858 amid rumors that he was to unite Central America against the United States, to build a canal through the isthmus, or a secret agent of Emperor Napoleon III. During 1856-1857, he had obtained financial backing and completed the initial arrangements for a canal project in Europe. But his inability to choose scrupulous associates contributed to his failure in the



canal project. His financial backing collapsed at a critical time, Louis Napoleon failed to support a venture to which he had given his consent, and the United States opposed the scheme.

- 269 BENSON, NETTIE LEE, and CHARLES R. BERRY. "The Central American Delegation to the First Constituent Congress of Mexico, 1822-1823," 49:4 (November 1969), 679-702.

Deputies elected from the Central American provinces participated in the first Congress in independent Mexico. However, in some of the provinces the union with Mexico was effected only reluctantly, since provincial interest was in conflict with both Mexico and Guatemala City. Shortly after the Congress was reinstalled following the revolution of Casa Mata, Vicente Filisola asserted his area's independence of Mexico and convoked a Guatemalan Congress. A number of deputies to the Mexican Congress then were elected to the Guatemalan Congress, but were not permitted to leave Mexico until the latter body had formally declared independence from Mexico.

- 270 CLEGERN, WAYNE M. "A Guatemalan Defense of the British Honduras Boundary of 1859," 40:4 (November 1960), 570-581.

The Anglo-Guatemalan boundary dispute stems largely from the treaty of 1859. Guatemala regards the treaty as a simple cession, while Britain views it as a simple boundary definition. Guatemala's many documentary publications contain no defense of the treaty. A document dated January 4, 1860, presumably by Guatemalan Foreign Minister Pedro de Aycinena and quoted in this article provides the Guatemalan defense of the treaty. British aid against filibusters, particularly William Walker, was Guatemala's price for conceding her rights to Belize.

- 271 CROWELL, JACKSON. "The United States and a Central American Canal, 1869-1877," 49:1 (February 1969), 27-52.

Despite President Grant's interest in the construction of an interoceanic canal, the negotiations for international control of a canal site in Nicaragua proved fruitless. The major factors contributing to the breakdown of negotiations with the Nicaraguan government were that nation's demands for concessions, the insistence by the United States on international control which would have sacrificed Nicaraguan sovereignty, and the lukewarm interest in the project of Grant's Secretary of State, Hamilton Fish. It is conceivable that similar difficulties could frustrate the construction of the sea-level canal proposed by President Johnson in 1964.

- 272 FLEMION, PHILIP F. "States Rights and Partisan Politics: Manuel José Arce and the Struggle for Central American Union," 53:4 (November 1973), 600-618.

Manuel José Arce has been viewed as a traitor to his Liberal supporters. In reality, Arce is shown to have sought the unification and integration of Central America. This view cost the support of nationalist Guatemalan Liberals. The issue was one of nationalism versus federation and not Liberal versus Conservative.

- 273 GRIFFITH, WILLIAM J. "The Historiography of Central America Since 1830," 40:4 (November 1960), 548-569.

Central American historians of the 19th century were either Liberal or Conservative. The Liberal writers gained general acceptance of their interpretation. Recent authors have shown a preference for the period from independence to the collapse of the Federation. With few exceptions modern interpretative histories of the individual countries are lacking. Political and military themes have predominated. Only lately has economic history attracted writers. The Guatemalan revolution of 1944 and its aftermath have also been the subjects of recent books. Thorough research and analysis is under way, partly as a result of the improvement of archival depositories and the publication of documents in journals, and partly because universities have lately emphasized professional standards in research and writing. But the loss of the Federation archives in the San Salvador fire of 1889 and those of Nicaragua in a similar catastrophe in 1931 are serious obstacles.

- 274 GRIFFITH, WILLIAM J. "Juan Galindo, Central American Chauvinist," 40:1 (February 1960), 25-52.

Juan Galindo, an Irish fugitive, was prominent in Central American political life in the second quarter of the nineteenth century. He tried to halt the inroads of the British around Belize by acquiring a land grant in the disputed area, but he soon clashed with English authorities over the boundary between Belize and Central America. Galindo posed as an outspoken defender of his country's territory against foreign encroachment, but at the same time he looked after his own interests. Goaded by Galindo, the Central American government pushed to have the boundary dispute with Britain settled and appointed Galindo as its representative in London. Galindo next embarked on another colonization scheme at Boca del Toro in Costa Rica. His brief public career in Central America proved disastrous because he misconceived the role of power in international politics, because he was misinformed on the current status of boundary issues, and because he compounded his personal interests with public affairs.

- 275 MUNRO, DANA G. "Dollar Diplomacy in Nicaragua, 1909-1913," 38:2 (May 1958), 209-234.

Many Nicaraguans resented the fact that their railroad, customs service, and new National Bank were managed by foreigners, and blamed Dollar Diplomacy. The directors of U. S. foreign policy were less interested in the protection of American investors than in the attainment of political objectives. It was thought that the financial reforms instigated by Dollar Diplomacy would bring political stability to Nicaragua. But whatever the motives, the results of the Taft administration's policies proved unfortunate. They were unfair to the Nicaraguan Liberals, caused distrust and resentment in other Latin American countries, and, after the Marines had been withdrawn in 1925, resulted in a bloody civil war which culminated in another intervention.

- 276 NAYLOR, ROBERT A. "The British Role in Central America Prior to the Clayton-Bulwer Treaty of 1850," 40:3 (August 1960), 361-382.

The signing of the Clayton-Bulwer Treaty in 1850 marked the end of Great Britain's almost unchallenged supremacy among foreign powers in Central America. Contrary to the traditional interpretation, British policies in Central America were determined not by political considerations but rather by commercial interests, and to a considerable degree were formulated by British merchants in Central America, Belize, and Great Britain rather than by functionaries in the Foreign Office. The political disputes over the claims of British subjects against the Central American governments, the future of the Bay Islands, the sovereignty and boundaries of Belize, and the nature and extent of the Mosquito Kingdom were the consequence of the circumstances surrounding the commercial relations between the two countries.

- 277 REY, JULIO ADOLFO. "Revolution and Liberation: A Review of Recent Literature on the Guatemalan Situation," 38:2 (May 1958), 239-255.

The overthrow of President Jacobo Arbenz of Guatemala in 1954 has given rise to a large body of literature dealing with events since 1944 in the country. The Guatemalan Revolution reflected deep-seated ideological, social, and political issues, and the number of books that have appeared and the diversity of views expressed attest to the international attention that has been focused on the country. Major works on the period are described here.

- 278 SALISBURY, RICHARD V. "Domestic Politics and Foreign Policy: Costa Rica's Stand on Recognition, 1923-1934," 54:3 (August 1974), 453-478.

Despite big power hegemony, smaller Latin American states can create independent foreign policies. Costa Rica promoted a stringent recognition policy in hopes of strengthening legitimate governments against possible coups. The policy worked in Honduras but was held only with difficulty when applied to a U. S. backed Nicaraguan government. The policy was eventually dropped by Costa Rica when it ran counter to Costa Rican political interests in the recognition of an El Salvadorean government that assumed power as the result of a coup.

- 279 SCHEIPS, PAUL J. "Gabriel Lafond and Ambrose W. Thompson: Neglected Isthmian Promoters," 36:2 (May 1956), 211-228.

The Chiriqui region of the American Isthmus in the mid-nineteenth century was the locale of interoceanic transit and colonization projects under Gabriel Lafond and Ambrose W. Thompson, a French promoter and an American. Though the efforts of these early promoters were unsuccessful, their projects to exploit an Isthmian region which now embraces rich agricultural lands and one of Costa Rica's most important ports deserve more attention than they have received.

- 280 SMITH, ROBERT S. "Financing the Central American Federation, 1821-1838," 43:4 (November 1963), 483-510.

Financial insecurity is offered as a contributing factor to the failure of union in Central America from 1821 to 1838. The Constitution of 1824 provided for ample funds to maintain the central government, but the authority to borrow led to an immense expansion and ultimate default on foreign loans. Taxes imposed on the states were not collected, and the National Assembly sought alternative sources of revenue all of little

benefit to the federal treasury. Finally, financial paralysis was avoided only through domestic loans, issues of paper money, and levies on the states. Efforts were made to increase production of indigo, cochineal, cacao, olives, silk, and other products, while immigration was encouraged.

- 281 STANISFER, CHARLES L. "E. George Squier and the Honduras Interoceanic Railroad Project," 46:1 (February 1966), 1-27.

Ephraim George Squier, during his diplomatic assignment in Central America in 1850, conceived the idea of a railroad line to run from the Bay of Honduras to the Bay of Fonseca. The plan also included large-scale land speculation, based on his belief that Honduras and perhaps all of Central America would be annexed by the United States. Execution of the project was delayed by Santos Guardiola's ouster of President Cabañas, by the trepidation of his European financiers, and was finally rendered hopeless by the completion of the U. S. transcontinental railroad in 1869.

- 282 VAN AKEN, MARK J. "British Policy Considerations in Central America Before 1850," 42:1 (February 1962), 54-59.

A documented disclaimer to the position of Robert A. Naylor in "The British Role in Central America Prior to the Clayton-Bulwer Treaty of 1850," that commercial policies determined the policies of the Foreign Office in London.

- 283 WADDELL, DAVID A. G. "More on the Belize Question," 40:2 (May 1960), 230-233.

Three recent books and one reprint of an older work present the Guatemalan side of the Belize question. "To the historian it can only be a matter for regret that although much time and money continue to be spent in adding to the already voluminous literature of this dispute, so much about its true origins is allowed to remain obscure, while the same incomplete facts and the same inconclusive documents are trotted out again and again."

- 284 WHETTEN, NATHAN L. "The Seminario de Integración Social Guatemalteca," 38:1 (February 1958), 45-47.

The *Seminario* was organized in 1955 as an autonomous unit of the Guatemalan government for the purpose of making known to interested Guatemalans the methods and techniques of analysis in the social sciences and to translate and publish studies previously unavailable in Spanish. The Seminario is performing a valuable service, as noted in the first five publications described here.

- 285 WRIGHT, THEODORE P., JR. "Honduras: A Case Study of United States Support of Free Elections in Central America," 40:2 (May 1960), 212-223.

American concern for free elections in Honduras was a byproduct of the Central American Treaty of 1907, which opposed recognition of any government that came into power by unconstitutional methods. United States policy had been to recognize new governments however they came into power. Before the treaty was signed Miguel Dávila ousted President Manuel Bonilla, but on his promise to hold elections, the United States recognized him. The treaty's goals of stability and democracy were



incompatible, and the American policy of supporting free elections proved unable to produce either democracy or stability.

### Venezuela, Colombia, Ecuador, and Panama

- 286 AMERINGER, CHARLES D. "Philippe Bunau-Varilla: New Light on the Panama Canal Treaty," 46:1 (February 1966), 28-52.

The role of Phillipe Bunau-Varilla in the independence of Panama and the negotiation of the 1903 Panama Canal Treaty was effectively that of a third party, resulting in a bad bargain for Panama. Although Bunau-Varilla is supposed to have actually effected U. S. recognition of Panama, *de facto* recognition was granted before his appointment as minister; he then immediately began negotiations for the Treaty, and they were completed before the government of Panama was able to enforce restrictions on his authority.

- 287 BARNHART, DONALD S. "Colombian Transport and the Reforms of 1931: An Evaluation," 38:1 (February 1958), 1-24.

The Colombian Republic experienced a remarkable development from 1923-1948. The country's economy at the beginning of this period was devoted almost exclusively to the production of coffee for export. A joint effort by engineers, who insisted that improvements be separated from politics, and politicians, who were concerned with national rather than local considerations, to meet and overcome problems was made in the second quarter of this century. Their efforts culminated in a series of reform laws in 1931 which enabled the country to meet the rising demands and expectations of its commercial, industrial, and agricultural interests.

- 288 BUSHNELL, DAVID. "Voter Participation in the Colombian Election of 1856," 51:2 (May 1971), 237-249.

Recent Colombian elections present a paradox: a low participation level alongside a high level of party identification. The historical explanation for this paradox lies in nineteenth century Colombia. Good election data exist for the period 1853 to the end of the decade. Extrapolating from the 1851 census and using the 1856 presidential election gives a 40 percent voter participation, a high level for that period. This period may have played an important part in the development of the Colombian party system.

- 289 COLETTA, PAOLO. "William Jennings Bryan and the United States-Colombia Impasse, 1903-1921," 47:4 (November 1967), 486-501.

In 1913 Woodrow Wilson and his Secretary of State, William Jennings Bryan, inherited from the Taft administration a deadlock on a proposed treaty with Colombia. Despite Bryan's conciliatory efforts, the treaty was opposed by Colombia, which demanded large reparations and an apology for the separation of Panama in 1903, and by the U.S. Senate, which, under the influence of Theodore Roosevelt and public opinion, hesitated to pay such reparations. The treaty was not approved by the Senate until 1921, after Roosevelt's death.

- 290 DALLETT, FRANCIS JAMES. "Páez in Philadelphia," 40:1 (February 1960), 98-106.

In the 1850s José Antonio Páez spent part of his exile in Philadelphia, where he attracted much favorable attention.

- 291 DE GRUMMOND, JANE LUCAS. "The Venezuelan Sesquicentennial Celebration Publication," 42:1 (February 1962), 29-36.

Brief commentary on the first 20 volumes of this commemorative publication of official texts, acts of congress, constitutions, contemporary propaganda, periodicals, and a history of the First Republic, published by the *Academia Nacional de la Historia* in 1959.

- 292 DELPAR, HELEN. "Aspects of Liberal Factionalism in Colombia, 1875-1885," 51:2 (May 1971), 250-274.

During the 1870s the Liberal party, then controlling the Colombian government, broke apart due to internal disputes. One faction rallied around Rafael Núñez and another around Aguileo Parra. In 1873 Núñez became a presidential candidate of the *costeños* who felt excluded by the oligarchs who backed Parra. During the 1880s a realignment within parties took place. Eventually the Liberal party reconstituted itself, but the factionalism made possible the regeneration of the Conservative party.

- 293 GILMORE, ROBERT LOUIS. "Nueva Granada's Socialist Mirage," 36:2 (May 1956), 190-210.

Among the major developments which affected the Western world at mid-nineteenth century were the ideological movements concerned with human rights and the elimination of social evils. The educated people of Nueva Granada were in close contact with developments in Europe, and the Granadian scene quickly acquired a mask of European dialectic. Though the resulting furor over the dangers of communism and socialism had little basis, it produced an intense intellectual activity which armed the political parties with unyielding convictions and helped to mold a generation of political leaders.

- 294 HENDRICKSON, EMBERT J. "Roosevelt's Second Venezuelan Controversy," 50:3 (August 1970), 482-498.

The conduct of Venezuelan foreign policy was a constant irritant to Theodore Roosevelt and to his Secretaries of State even after the "claims controversy" of 1902-1903 had been settled. The Castro government's harassment of United States business interests and the seizure of industrial properties perpetuated a state of tension which Roosevelt was inclined to resolve by armed intervention. Roosevelt was restrained by his fear of public reaction to such a solution, but when the Netherlands sent a naval force to the Caribbean, the United States assured the Hague government that intervention would not be opposed so long as it did not involve permanent occupation. The show of force and Castro's departure for Europe for medical treatment dissolved the intransigent government, and by 1908 the crisis was over.

- 295 LANGLEY, LESTER D. "Negotiating New Treaties with Panamá: 1936," 48:2 (May 1968), 220-233.

Yankeeophobia, as an expression of Panamanian nationalism, was the principal issue in the 1932 presidential elections, and Harmodio Arias, once elected, was forced by public opinion to fight for revision of the Hay-

Bunau-Varilla Treaty of 1903. The intransigence of the nationalist position coincided with the slight softening of the Latin American posture of the U.S. under Franklin Roosevelt's Good Neighbor Policy. Although defense of the canal and its environs, as defined by the U.S. War Department, was the main concern of the U.S., some concessions were finally granted to Panamá in treaties ratified in 1939.

- 296 LOMBARDI, JOHN V. "Manumission, Manumisos, and Aprendizaje in Republican Venezuela," 49:4 (November 1969), 656-678.

The wording and construction of the 1821 law supposedly designed to end slavery gradually in Venezuela allowed violation of its spirit with complete impunity. Until 1854, all legislation and decrees on slavery were variations of the 1821 law. This provided for the establishment of a *Junta de Manumisión*, which was to use taxes collected on inheritances to purchase slaves from their owners. But tax collection was inefficient and the Junta possessed no means of coercive enforcement, either against tax debtors or slave owners. Only two or three slaves, in a population of about 40,000, were freed in each province annually, and the wording of the law was such that many of these remained bound to their masters.

- 297 LOY, JANE MEYER. "Primary Education during the Colombian Federation: The School of Reform of 1870," 51:2 (May 1971), 275-294.

Despite the general political confusion of the Colombian Federation one major accomplishment did occur: the Organic Decree of November 1, 1870, establishing a system of national, obligatory, lay education. The reform had Liberal and major Catholic support, but opposition came from states-rights and religious-minded Conservatives. In 1872 German educators were imported to establish normal schools. The government organized the General Directorate of Public Instruction which coordinated primary education, commissioning textbooks, distributing curricular materials, and maintaining communications with schools. Capitalizing on the secular nature of Reform, Conservatives fanned the religious conflict into a civil war. A result was destruction of the educational system through neglect by the Núñez government, and the signing of a Concordate with the Vatican in 1860. Colombian education, to this day, remains under ecclesiastical domination.

- 298 NAVA, JULIAN. "The Illustrious American: The Development of Nationalism in Venezuela under Antonio Guzmán Blanco," 45:4 (November 1965), 527-543.

From 1870 to 1888 Venezuela was dominated by Guzmán Blanco. Working through the Liberal party, he attempted to improve both natural and human resources. In no other Latin American country has militarism loomed larger in national life. There was racial tolerance, with the Negro favored and the Indian remaining aloof from society. Concubinage and illegitimate births strongly marked the family institution. European fashions reached the women, but no feminist movement appeared. The theater, construction of public buildings, foundation of learned societies, fostering of the arts, and advancement of education all appeared under Guzmán Blanco, a caudillo who helped the country come of age in many respects.

- 299 SAFFORD, FRANK. "In Search of the Practical: Colombian Students in Foreign Lands, 1845-1890," 52:2 (May 1972), 230-249.

During the middle of the nineteenth century upper-class Latin Americans developed an interest in technical studies and began sending their sons to the U.S. and Europe for education in practical skills. Using records and correspondence on some of the Colombian students, the distribution and background of a sample can be reconstructed. The eventual careers of a number of these students is traced, outlining their eventual contributions to Colombian life.

- 300 SMITH, PETER H. "The Image of a Dictator: Gabriel García Moreno." 45:1 (February 1965), 1-24.

In tracing the image of García Moreno from his own times until the present, it is made clear that his memory is related to the existing political conditions at any given time. Only the liberals opposed him in his own periods in office, while the remainder of the upper classes and the military supported his regime. Upon his downfall the liberals underwent a cycle of changing interpretations—from objection to him as a dictator, through acceptance of dictatorial rule, to his rejection as a person who simply was a bad dictator. The conservatives adopted a changing position too. Each group steadfastly opposed the other and made the major doctrinal argument one of the relationship of Church and State.

- 301 SZÁSZDI, ADAM. "The Historiography of the Republic of Ecuador," 44:4 (November 1964), 503-550.

A distinct tendency to polarize around a few doctrinal schools seems to mark the historiography of Ecuador during the republican period. Division over the origin of nationality appears in one school. The next pole of historical consensus was Monseñor Federico González Suárez (1844-1917) who based his interpretations on Christian predestination of history. The tendency to polarize studies created a conservative hero in Gabriel García Moreno, while the Liberals exalted Eloy Alfaro. Biography was emphasized to the near-exclusion of institutional studies, except for international boundary disputes, and indigenist writings without real sociological or archeological study continue. Finally, historical inquiry has emphasized prehistory and colonial history, and republican history has tended to be limited to the pre-1912 period.

*Cross References: National Period, Venezuela, Colombia, Ecuador, Panama*

- 22 JONES, JAMES P., and WILLIAM WARREN ROGERS. "Across the Isthmus in 1850: The Journey of Daniel A. Horn."
- 33 PARKS, E. TAYLOR, and ALFRED TISCHENDORF. "Cartagena to Bogotá, 1825-1826: The Diary of Richard Clough Anderson, Jr."
- 53 STEVENSON, ROBERT. "Music in Quito: Four Centuries."



Peru and Bolivia

- 302 ARNADE, CHARLES W. "The Historiography of Colonial and Modern Bolivia," 42:3 (August 1962), 333-384.

Bolivian historiography is noted for two basic characteristics: first, historians using archival sources are rare, and second, a superabundance of interpretive history using only secondary sources and aimed politically at socioeconomic analysis. Colonial historians were few while the revolutionary period produced writings on guerrilla activities by elites and an aristocratic Aymará Indian who was Bolivia's first historian. Sterility in historical production was relieved only by the exemplary efforts of Gabriel René-Moreno and men he inspired. In the 20th century the traditional beliefs about Indian life and national problems gradually gave way to a small group of research historians after 1930. Both technically trained historians and writers in auxiliary fields now seek to achieve the excellence of René-Moreno and his nearest modern peer, Gunnar Mendoza.

- 303 BLASIER, COLE. "The United States, Germany, and the Bolivian Revolutionaries (1941-1946)," 52:1 (February 1972), 26-54.

During the second World War the United States feared possible Nazi influence in Latin America. In 1941 the U. S. minister in La Paz gave the Bolivian foreign minister a photocopy of a letter indicating a planned Nazi-backed putsch in Bolivia. Recently released documents implicate British intelligence in forging the letter which successfully destroyed German influence in Bolivia and helped isolate Argentina diplomatically. The letter also reinforced U. S. against the MNR which was suspected of Nazi sympathies.

- 304 BRONNER, FRED. "José de la Riva-Agüero (1885-1944), Peruvian Historian," 36:4 (November 1956), 490-502.

José de la Riva-Agüero was born into one of the oldest and wealthiest Peruvian families. He became a reactionary and embraced fascism in the 1930s. Despite a commanding aloofness, he possessed immense personal charm, courage, sincerity, and zeal. His supreme vocation was that of man of letters; and his prose exhibited stylistic, speculative, and argumentative mastery. His greatest contribution was his renovation of Peruvian historiography in *La historia en el Perú*.

- 305 CHAVARRÍA, JESÚS. "The Intellectuals and the Crisis of Modern Peruvian Nationalism: 1870-1919," 50:2 (May 1970), 257-278.

The origins of Peruvian nationalism may be found in the movement for social and political reform led by a number of disaffected intellectuals. The ideological direction of the reform movement was strongly influenced by the principles of Positivism. One of the major sources of this influence was San Marcos University, where Comte's doctrine was propagated by faculty members. Graduates of San Marcos led the movement for reform and were prominent members of Progressive party leadership.

- 306 DAVIES, THOMAS M., JR. "The *Indigenismo* of the Peruvian Aprista Party: A Reinterpretation," 51:4 (November 1971), 626-675.

*Apra* has been the subject of intense ideological debate that has been reflected in academic studies of the party. This is especially true of its *indigenismo* policy. Examining the voting patterns, *Apra* is shown to have consistently gotten its support in the North and in coastal urban areas reflecting the basic middle and upper class backing of the party.

- 307 KLAIBER, JEFFREY L., S.J. "The Popular Universities and the Origins of Aprismo, 1921-1924," 55:4 (November 1975), 693-715.

The original intent of the Peruvian Popular Universities was to further the aims of the university reform movement by benefiting the poor and uneducated. Suppression of the Universities by the government in 1924 resulted in turning the reform movement political. The Rector, Haya de la Torre, organized *Apra* and later the Aprista Party.

- 308 KLEIN, HERBERT S. "David Toro and the Establishment of 'Military Socialism' in Bolivia," 45:1 (February 1965), 25-52.

Out of the Bolivian defeat in the Chaco War there arose strong currents of socialist and indigenist ideologies. From 1936 to 1939 a power vacuum existed because the *generación del Chaco* was too disoriented to formulate its ideas. Into that vacuum stepped an Army Junta under Colonels David Toro and Germán Busch with a government of "military socialism", and their transitional rule paved the way for a modern revolutionary ideology and party structure. The details of the period under Toro are analyzed in terms of his political astuteness and ultimate influence on Busch, and the fifteen months are characterized as the most fruitful and bewildering in Bolivian political life.

- 309 KLEIN, HERBERT S. "Germán Busch and the Era of 'Military Socialism' in Bolivia," 47:2 (May 1967), 166-184.

The weakened allegiance to the three traditional political parties which had dominated Bolivia politics prior to the Chaco War made possible the dictatorship of Germán Busch, but also limited the effectiveness of reforms he published as presidential decrees. Busch's inability to organize a unified party isolated him from the population and from the rest of the government, frustrated him to the point that he committed suicide in August, 1939.

- 310 LOFSTROM, WILLIAM. "Attempted Economic Reform and Innovation in Bolivia Under Antonio José de Sucre, 1825-1828," 50:2 (May 1970), 279-299.

Antonio José de Sucre's primary objective as President of Bolivia was the creation of a revenue system which would reflect republican ideals and increase revenue. The system of expenditures was also to be revised in order to effect basic social reform. The legislation embodying these principles was resisted by the privileged classes who would have borne the weight of such innovations as direct and income taxation. This resistance, together with the sudden collapse of English mining associations then rebuilding the silver mines at Potosí, so effectively cut off governmental revenue that the ambitious reform program was abandoned.

- 311 MATHEW, W.M. "Foreign Contractors and the Peruvian Government at the Outset of the Guano Trade," 52:4 (November 1972), 598-620.

The favorable position of British merchants in the guano trade was not due so much to their strength but to the weakness of the contract system. The Peruvian government rewrote the contracts several times, each time in order to obtain short-term gain, resulting in unfavorable consequences in the long run. War with Bolivia during the 1840s necessitated acquisition of advanced cash which only contracts with British merchants could supply. Otherwise Peru could have marketed the guano and reaped profits over time.

- 312 PIKE, FREDRICK B. "Heresy, Real and Alleged, in Perú: An Aspect of the Conservative-Liberal Struggle, 1830-1875," 47:1 (February 1967), 50-74.

The influence on liberal doctrine of the Enlightenment and the inclusion in that doctrine of aspects of Jansenism and Gallicanism caused the condemnation as heresy of liberalism by the Church in post-independence Perú. The result was an alliance between conservatives and the clergy, who used excommunication as a political weapon.

- 313 TIGNER, JAMES LAWRENCE. "The Ryukyuans in Bolivia," 43:2 (May 1963), 206-229.

The Japanese subjects of the Ryukyu Islands reached Bolivia in 1910, where they served as laborers in the rubber boom. Ryukyuans were few in numbers prior to the Second World War, but their colonization in Bolivia was remarkable because of their assimilation. Upon a strong base of cordiality that survived the war years, an agreement was signed in 1953 by President Víctor Paz Estenssoro to bring 3,000 Ryukyuan families to Bolivia to expand agricultural production in the Santa Cruz area. From 1954 to 1962 this project brought more than 2,874 immigrants and their efforts had shown encouraging prospects for success on that agricultural frontier.

### *Cross References: National Period, Peru and Bolivia*

- 29 OVANDO-SANZ, GUILLERMO. "British Interests in Potosí, 1825-1828: Unpublished Documents from the Archivo de Potosí."
- 335 SMITH, GEOFFREY S. "The Role of José M. Balmaceda in Preserving Argentine Neutrality in the War of the Pacific."

### **Paraguay and Uruguay**

- 314 DAHL, VICTOR C. "Uruguay Under Juan Idiarte Borda: An American Diplomat's Observations," 46:1 (February 1966), 66-77.

Granville Stuart, an appointee of Grover Cleveland, served as minister to Uruguay and Paraguay from May 1894 to January 1898, during which time the "progressive" Uruguayan government of Juan Idiarte Borda, harassed by conservative dissent, became increasingly repressive. The government began to lose control in 1897, repeated attempts were made to assassinate Idiarte Borda, and the one made on August 25, 1897, Uruguay's Independence Day, was successful.

- 315 FLICKEMA, THOMAS O. "'Sam Ward's Bargain': A Tentative Reconsideration," 50:3 (August 1970), 538-542.

The role played by Sam Ward in the discussions between the United States and Paraguay after that country's expulsion of the United States and Paraguay Navigation Company is made more complex by the fact that the company knew of his connection with the Paraguayan government when he was hired to represent their interests.

- 316 HOROWITZ, IRVING LOUIS. "Carlos Vaz Ferreira: A Review of His Collected Works," 40:1 (February 1960), 63-69.

The collected works of the Uruguayan Carlos Vaz Ferreira (1872-1958) are primarily in philosophy and education. The set is of interest to historians and philosophers.

- 317 SAEGER, JAMES SCHOFIELD. "Origins of the Rebellion of Paraguay," 52:2 (May 1972), 215-229.

The early eighteenth century Rebellion of Paraguay grew out of conflict between Governor Diego de los Reyes y Balmaseda and the established group of encomenderos and merchants led by José de Avalos y Mendoza. Reyes was in league with the Jesuit interests to the detriment of Avalos and his friends. They forced the removal of Reyes. Open warfare broke out in 1724 when friends of Reyes assembled two thousand Guarani soldiers from Jesuit reductions in an attempt to bring him to power. The basic origins of the rebellion lay in conflicting economic interests that could not be peaceably resolved.

- 318 WILLIAMS, JOHN HOYT. "Paraguayan Isolation under Dr. Francia: A Re-evaluation," 52:1 (February 1972), 102-122.

The isolation of Paraguay under Dr. Francia is accepted history. A closer look at the evidence indicates that the Francia regime allowed considerable trade via export to Corrientes and reexport from there to Buenos Aires. Other trade was carried on through Pilar, Ytapúa and the Brazilian trade center at São Borja. Francia made Paraguay an asylum for many hundreds of foreigners, especially Brazilians, slaves, and *correntinos*. Franciata isolation was not irrational but a carefully administered policy to establish Paraguayan sovereignty.

## Argentina

- 319 ANSEL, BERNARD D. "European Adventurer in Tierra del Fuego: Julio Popper," 50:1 (February 1970), 89-110.

During the last two decades of the nineteenth century, Julio Popper, a Rumanian adventurer, inventor, and entrepreneur, became an almost legendary figure in Argentina. He developed a gold mining operation in Tierra del Fuego, acquired huge tracts of land for colonization, and bought and outfitted ships for the purpose of exploring lands claimed by Argentina in the Antarctic. Despite his ambitious projects, he died penniless and in debt in 1893. Some of Popper's friends claimed he was murdered, but the cause of his death was officially recorded as being heart failure.

- 320 BARAGER, JOSEPH R. "The Historiography of the Río de la Plata Area Since 1830," 39:4 (November 1959), 588-642.



Two traditions, urban-liberal and rural-conservative, have dominated the Río de la Plata region. The first major trend after 1830 was the attempt to blame Spanish colonial rule for post-independence difficulties. In the second half of the 19th century a group of historians emphasized subjective interpretation and literary style in opposition to emphasis on research. Between 1880 and 1900 there were attempts to revise the interpretation of Rosas, and Ernesto Quesada's *La época de Rosas* is still the best synthesis of the era. In the 20th century Emilio Ravignani and Ricardo Levene played outstanding roles. The most fruitful years of Argentine historical writing were 1930-1945. In the following decade valuable studies by Argentines in exile were published abroad. Since 1930 there has been a strong interest in historiography, a "New School" of historical writing, and ultra-nationalism and pro-Rosas revisionism.

- 321 BOSCH, BEATRIZ. "La mediación del coronel Yancey entre la Confederación Argentina y el Estado de Buenos Aires (1859)," 44:4 (November 1964), 568-582.

Col. Benjamin Yancey, minister plenipotentiary of the United States to the government of the Argentine Confederation, held that post from 1858 until 1859. The tensions of the Confederation reached the breaking point in 1859, and Yancey offered to mediate the dispute. Yancey failed in his attempt, and the many details of his efforts are noted here along with the problems and personalities involved in the dispute.

- 322 DUSENBERRY, WILLIAM. "Juan Manuel de Rosas as Viewed by Contemporary American Diplomats," 41:4 (November 1961) 495-514.

Since it has been difficult to establish an unbiased judgment of Rosas and his place in Argentine history, historians have resorted to the views of contemporary foreigners for their insights into that era. The diplomatic dispatches of American diplomats used here vary from extreme adulation to utter condemnation. The various impressions recorded here cast light on such factors as physical characteristics of Rosas, his administrative policies and methods, international relations, internal conditions of Argentina, trade, and similar topics from 1829 until 1852.

- 323 FALCOFF, MARK. "Raúl Scalabrini Ortiz: The Making of an Argentine Nationalist," 52:1 (February 1972), 74-101.

Raúl Scalabrini Ortiz was a leading figure among the middle-class intellectuals of the 1930s who promoted an economic nationalism for Argentina and through their writings destroyed the waning political power of the conservatives. His *Historia de los ferrocarriles argentinos* questioned the need of British capital, saying that the British used the railroads to politically and economically control Argentina. With others Scalabrini organized FORJA, an organization of young Radicals. His newspaper *Reconquista* promoted a nationalist policy. He became attached to the actions of Juan Perón and became a close advisor, finally retiring to literary activity. Scalabrini represents the intellectual sector who expressed the transition from conservative power and British investment of the early 1930s to the economic nationalism of Perón in the 40s.

- 324 GLAUERT, EARL T. "Ricardo Rojas and the Emergence of Argentine Cultural Nationalism," 43:1 (February 1963), 1-13.

Ricardo Rojas devoted a lifetime to the promotion of cultural nationalism, and he was a key figure in the changing periods of its development in Argentina. Four stages of cultural nationalism occur and three major schools arose with liberal, anti-liberal, and *peronista* orientation. Argentine writing tended to emphasize nativist or criollo as well as democratic themes, although the three groups chose to interpret these themes differently. The "spirit of May" was the key for liberals, while anti-liberals chose the cult of the sword or of Juan Manuel de Rosas. The *peronista* school shifted its cultist emphasis to Perón.

- 325 GOLDWERT, MARVIN. "The Rise of Modern Militarism in Argentina," 48:2 (May 1968), 189-205.

The Argentine military revolution of 1930 was the beginning of military domination of the government, but it also was the culmination of a process begun in 1869, when the Colegio Militar was established by President Domingo Sarmiento. The professionalization and modernization of the army created an officer corps staffed with career men which eventually acquired a corporate identity and a determination to perpetuate and even increase its autonomy. Despite the near absolute authority of the executive, control of military promotions was in 1910 shifted from the presidency to an army tribunal, so that the military became the only institution in the nation not directly controlled by the executive.

- 326 HODGE, JOHN E. "Carlos Pellegrini and the Financial Crisis of 1890," 50:3 (August 1970), 499-523.

During the two years in which Carlos Pellegrini served as President of Argentina, he worked constantly to reduce the effects of the financial crisis he had inherited in August of 1890. Despite a wave of bank failures and the collapse of Argentine currency, Pellegrini managed to maintain debt service on foreign loans and thus prevented the complete destruction of the Argentine economy. When Pellegrini left office in 1892, the national revenue was showing a small surplus.

- 327 HOFFMANN, FRITZ L. "Perón and After: A Review Article," 36:4 (November 1956), 510-528.

The overthrow of Perón in September, 1955, resulted in the publication of many previously withheld works on the regime. The desire of the Argentine population for information about the twelve years of the Perón era caused almost all the books noted and briefly reviewed here to be well received. A surprising amount has been written about Perón and his rule, but much still remains to be written along lines noted in this review.

- 328 HOFFMANN, FRITZ L. "Perón and After, Part II (Conclusion)," 39:2 (May 1959), 212-233.

Since the appearance of the first review article, many more pamphlets and books dealing with the Perón era have appeared. The impression left is that the more recent literature is of a more serious and higher caliber. The earlier writings consisted of accounts by correspondents and political

opponents and were preoccupied with the more sensational events and tinged with emotion. The more recent authors have written more calmly and objectively.

- 329 JONES, WILBUR DEVEREUX. "The Argentine British Colony in the Time of Rosas," 40:1 (February 1960), 90-97.

William Gore Ouseley, minister to Buenos Aires in the Rosas era, requested several British residents to describe the situation of the British colony. Some of the replies, which are in the Aberdeen Papers, are extremely informative.

- 330 LITTLE, WALTER. "Party and State in Peronist Argentina, 1945-1955," 53:4 (November 1973), 644-662.

The Peronist movement has never succeeded in forming a coherent party structure. Despite the fact that labor unions have arrogated to themselves many functions normally performed by parties, the basic problem has been the personalist nature of Peronism. Furthermore, Peronism represented a coalition of frustrated interests who had no common program except opposition to the traditional liberal politics of Argentina.

- 331 MERKX, GILBERT W. "Recessions and Rebellions in Argentina, 1870-1970," 53:2 (May 1973), 285-296.

Economic recessions have been hypothesized as the cause of revolution. Comparison of data on changes in the economic conditions with rebellions in Argentina shows that down turns in the economy usually preceded armed uprising. The Argentine case corroborates the hypothesis and further suggests that economic development leads to the growth of new social groups.

- 332 SCHIFF, WARREN. "The Influence of the German Armed Forces and War Industry in Argentina, 1880-1914," 52:3 (August 1972), 436-455.

Argentine fears of Chilean and Brazilian aggression around the turn of this century led to increasing adoption of and dependence on German military technology and training. A German-dominated War Academy was established. Political conflict developed within the Argentine army as a nationalist faction feared German domination. The Germans became involved in the promotion of military hardware, including major contracts for warships and artillery. The German influence had a shaping part in the Argentine military but a corrosive effect on Argentine nationalism.

- 333 SCOBIE, JAMES R. "The Significance of the September Revolution," 41:2 (May 1961), 236-258.

This article provides further documentation of the *porteño* efforts in 1852-1853 to dominate the provinces. Upon overthrowing Rosas, Urquiza and his followers attempted to organize a government in which the wealth and power of the *porteño* city would assume an equal position alongside its sisters in an Argentine Confederation. This concept was embodied in the *Acuerdo de San Nicolás* of May, 1852, which was rejected by Buenos Aires. In September, when Urquiza tried to impose the agreement on the recalcitrant province, Buenos Aires rose in revolt. The new government

was in the process of attacking the forces of Urquiza when a revolt against it broke out among the caudillos of the province of Buenos Aires, the counterrevolt of December, 1852. The ensuing provincial civil war ended in victory for the city of Buenos Aires.

- 334 SCOBIE, JAMES R. "An Uneasy Triumvirate: Derqui, Mitre and Urquiza," 38:3 (August 1958), 327-352.

The defeat of the Buenos Aires forces in November, 1859, produced an uneasy balance of power in the Argentine Confederation. Derqui, Mitre, Urquiza, and their followers tested various political combinations between July, 1860, and April, 1861, but since Argentina still lacked a sense of national unity, personal or provincial loyalty took precedence. Unity could be obtained only by a military victory of one group; and the national reorganization, the union of the Argentine provinces, occurred in 1862 only after Mitre and the *porteños* decisively defeated Urquiza and the provincials and were able to impose their concept of unity on the country.

- 335 SMITH, GEOFFREY S. "The Role of José M. Balmaceda in Preserving Argentine Neutrality in the War of the Pacific," 49:2 (May 1969), 254-267.

When Chile precipitated the War of the Pacific in 1879, popular sentiment in Argentina made it appear likely that Bolivia and Peru would have Argentine support if not a military alliance. The first objective of Chilean policy was to prevent such support from being offered. José Balmaceda, negotiating from an almost hopeless position, managed to delay Argentine entry into the war long enough for the Chilean navy to win a decisive victory.

- 336 SMITH, PETER H. "The Social Base of Peronism," 52:1 (February 1972), 55-73.

The political basis for Peronism has long been argued. Correlating the percentage of votes for Perón with forty socioeconomic variables in 365 counties and using multiple regression techniques indicates that industrial workers in urban areas were not likely supporters of Perón. Furthermore, no socioeconomic variables are decisive, indicating that the Peronist coalition was a multifaceted and fragile alliance which dissolved in 1955 due to inflation and economic dislocations.

- 337 SOLBERG, CARL. "Immigration and Urban Social Problems in Argentina and Chile, 1890-1914," 49:2 (May 1969), 215-232.

Choosing to ignore the facts, Argentine and Chilean politicians, journalists, and novelists propagated the popular belief that crime and social unrest during this period was the result of the immigration of European undesirables. This belief, compounded by the growing xenophobic expression of an assumed biological superiority, became almost an article of faith. Sufficient numbers of the populations of both countries participated in these attitudes so that the solution to the problem was sought not in investigation of the causes of crime and unrest but in selective restrictions on immigration. Such restrictions were ineffective, however, and no serious attempt was made to reduce immigration drastically.



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- 338 SOLBERG, CARL. "Tariffs and Politics in Argentina," 1916-1930," 53:2 (May 1973), 260-284.

World War I disrupted the international trading system on which the Argentine economy depended. Domestic industry increased but required tariff protection after the war. Threat of closure of British markets, vital to the agricultural export industry, led to reduction of protective tariffs.

- 339 TIGNER, JAMES LAWRENCE. "The Ryukyuan in Argentina," 47:2 (May 1967), 203-224.

Japanese immigration in Argentina, beginning on a very small scale around 1900 as the result of re-emigration from Brazil and Peru, has been followed by very successful assimilation of Japanese in Argentine economic and social life. Since 1955, emigrants have been assisted by the Japanese government. The government of the Ryukyu Islands has also, since 1953, extended ten year loans to emigrants to Latin America. Although the Argentine people appear to readily accept the Japanese immigrants, the Argentine government limits Japanese immigration and actively promotes European immigration.

- 340 WALTER, RICHARD J. "The Intellectual Background of the 1918 University Reform in Argentina," 49:2 (May 1969), 233-253.

Although the student reform movement of 1918 was not widely supported by university professors, a few teachers did provide inspiration for the ideological development of the movement. The rather eclectic reform platform adorned eventually with some of the trappings of democratic social reform, drew upon the works of Alejandro Korn, Alfred L. Palacios, and José Ingenieros. Re-examination of the function of the university itself constituted a central part of the reform movement, and student advocacy of "extension" classes to educate urban laborers, among other aspects, attracted labor support and assistance. But perhaps the most significant aspect of the movement, as well as the most generally appealing, was the inclusion of nationalist ideology and the creation of an Argentine "mystique", in which the university was to participate.

### *Cross References: National Period, Argentina*

- 352 TALBOTT, ROBERT D. "The Chilean Boundary in the Strait of Magellan."

## Chile

- 341 BLAKEMORE, HAROLD. "The Chilean Revolution of 1891 and Its Historiography," 45:3 (August 1965), 393-421.

Analysis of the Revolution of 1891 and of its leading figure, José Manuel Balmaceda, continues examining the major interpretive schools with relation to responsibility for the revolution. These are seen to be "constitutional" historians and "economic" historians. Of the constitutionalists, Julio Bañados Espinosa's ideas are weighed and found to be sound. In the "economic" interpretation, Osgood Hardy and Hernán Ramírez Necochea are the most convincing, but each is subjected to considerable modification. A synthesis of these two schools will depend on future detailed studies of social structure, biography, the role of

professional men, the part played by foreign capital, regional studies, and Chile's place in the world economy.

- 342 BRAY, DONALD W. "Peronism in Chile," 47:1 (February 1967), 38-49.

The popularity of Peronist doctrine in Chile, as well as Perón's abortive attempt to influence Chilean politics, caused some governmental concern. The paradoxical acceptance of justicialism by the more nationalist groups in Chile, predominantly middle class, became the subject of an investigative commission after Perón's overthrow in 1955.

- 343 BROWN, JOSEPH R. "The Chilean Nitrate Railways Controversy," 38:4 (November 1958), 465-481.

The nitrate industry of Tarapacá was one of the major economic enterprises in South America in the late 19th century, and after 1870 rail facilities were necessary for the nitrate fields. The Nitrate Railways Company, Limited held a monopoly on these lines. A controversy between the government of Chile and the company arose because the railway charged high rates for the transportation of the nitrates which were detrimental to the industry and to the national interest; and, since nationalizing the railway was considered and rejected as a remedy, the destruction of the monopoly was the only other alternative.

- 344 BROWN, J. R. "Nitrate Crises, Combinations, and the Chilean Government in the Nitrate Age, 43:2 (May 1963), 230-246.

The Chilean Nitrate Age from 1880 to 1918 was a period of virtual monopoly of this important resource on the world market. Chilean producers gained immense profits, and the government derived the bulk of its income from taxes on nitrate exports. Finally, since nearly three-fourths of the output went to farmers, the industry fluctuated with world farming conditions. The attempts of the government and five successive combinations to adjust price and supply and to influence demand form the bulk of this article.

- 345 DRAKE, PAUL W. "The Chilean Socialist Party and Coalition Politics, 1932-1946," 53:4 (November 1973), 619-643.

The Chilean Socialist party never achieved power and influence independently but only through participation in governing coalitions. The party itself was Marxist oriented and included both a working class base and middle-class leadership. Institutionalized politics integrated lower-class voters into the Chilean system but prevented radical reforms advocated by the Socialist ideology.

- 346 GRIFFIN, CHARLES C. "Francisco Encina and Revisionism in Chilean History," 37:1 (February 1957), 1-28.

Francisco Encina's work, a twenty-volume *Historia de Chile*, disregards the rules of the professional historian's craft, attacks the whole liberal tradition in Chilean historiography, and appeals to those who share the author's faith in Chilean national destiny. This series of volumes is paradoxical, brilliant, and uneven; and the contribution which Encina has made will be variously estimated. But Encina's panoramic vision of the birth, growth, and development of the Chilean nation does make a contribution to the understanding of Chilean history.

- 347 KINSBRUNER, JAY. "A Comment on the Exclusiveness of Protection in Chilean Economics at Mid-Nineteenth Century." 45:4 (November 1965), 591-594.

With reference to Robert M. Will's article (*HAHR*, 44:1 [February 1964], 1-21) this commentary argues that free-trade sentiment was actually translated into legislation in Chile from about 1851 to 1855, countering Will's assertion that in terms of the tariff, protectionist sentiment prevailed. It rose in regard to Chilean coastal trade, not in the tariff.

- 348 NUNN, FREDERICK M. "Emil Körner and the Prussianization of the Chilean Army: Origins, Process, and Consequences, 1885-1920," 50:2 (May 1970), 300-322.

The Chilean army was remade into highly professional, efficient force by Emil Körner's instruction at the Chilean War Academy, founded in 1886. Körner also made the army a political force by taking part in the civil war of 1891. He created a very efficient general staff administration, which exerted pressure on the government for more money and better promotion and retirement systems. When these efforts failed, some of the staff officers organized secret military leagues to arrange a coup d'état. However, attempts both in 1911 and 1919 proved abortive.

- 349 NUNN, FREDERICK M. "Military Rule in Chile: The Revolutions of September 5, 1924 and January 23, 1925," 47:1 (February 1967), 1-21.

After the Civil War of 1891, the quasi-parliamentary government of Chile was incapable of dealing with existing social and economic problems. The demands of a group of junior army officers resulted in a reactionary coup and military regime against which they rebelled again, led by Carlos Ibáñez. The liberal military junta brought back President Arturo Alessandri Palma, exiled by the September coup, and reinstated civilian government, which drafted a new constitution, promulgated on September 18, 1925.

- 350 PIKE, FREDERICK B. "Aspects of Class Relations in Chile, 1850-1960," 43:1 (February 1963), 14-33.

After the 1850s it became apparent that there was an amalgam of middle- and upper-class interests that precluded provision for the lower classes in Chile. When Chile began to develop a modern semi-industrial urban life from the 1890s to 1920, a "hybrid aristocracy" emerged with the same stratification as before and including upper and middle classes, old and new, urban and rural, in an alliance that assumed aspects of a "class war" against the masses that lasted until 1960. This alliance contributed to a narrow unity that gave political and economic stability but caused socio-economic stagnation.

- 351 PIKE, FREDRICK. "Reply" to Gonzalo Vial, 46:3 (August 1966), 285-287.

Professor Pike replies that Professor Gonzalo Vial has misquoted his work, introduced terms that were not used by Professor Pike, and failed to understand Professor Pike's book because he did not read all of it.

- 352 TALBOTT, ROBERT D. "The Chilean Boundary in the Strait of Magellan," 47:4 (November 1967), 519-531.

Possession of parts of Tierra del Fuego, the islands of the Beagle Channel, and the Channel itself was disputed between Chile and Argentina throughout most of the nineteenth century. Complete agreement had not been reached even by 1967, and action on the Beagle Channel dispute was pending in the International Court of Justice.

- 353 THOMAS, JACK RAY. "The Revolution of a Chilean Socialist: Marmaduke Grove," 47:1 (February 1967), 22-37.

Marmaduke Grove Vallejo was prevented by his mother from joining a rebel army at the age of thirteen. His concern for order and efficiency in society was counterbalanced by a concern for social and economic reform which, although he once expressed admiration for the German monarchy, pushed him steadily toward a socialist position. Influenced, through H. G. Wells, by English Fabian Socialism, Grove was concerned with the practical problems of improving the condition of the lower classes and was neither a theoretician nor an effective politician.

- 354 VÉLIZ, CLAUDIO. "Egaña, Lambert, and the Chilean Mining Associations of 1825," 55:4 (November 1975), 637-663.

British investors lost heavily on Chilean mining ventures in the London Stock Exchange slump. Blame for the slump was put on Chilean mining techniques. Charles Lambert, however, made a fortune applying European technology in Chile. The British failure strengthened the position of nationalists like Don Mariano Egaña—who opposed foreign investments. Evidence demonstrates that the London slump led to the mining failure rather than the reverse.

- 355 VIAL, GONZALO. "Fredrick B. Pike's *Chile and the United States 1880-1962: A Dissenting View*," 46:3 (August 1966), 283-285.

Professor Gonzalo Vial charges Professor Fredrick B. Pike with a lack of critical sense, with careless research, and with a serious bias, all of which affect his work.

- 356 WILL, ROBERT M. "The Introduction of Classical Economics into Chile," 44:1 (February 1964), 1-21.

While Jean Gustave Courcella-Seneuil is usually credited with the founding of classical economics and Chilean economic liberalism after 1856, the work of Adam Smith and Say were known to some Chileans before 1819. Proponents of classical economic ideas on free trade and laissez-faire were, however, outside the main trend of neomercantilist and protectionist thought. Books, periodicals, and teaching were ineffective in propagating such views, and the Spanish neomercantilist policy prevailed until the 1850s. Chileans chose to believe that what was appropriate theory in Europe would not suit the distinct conditions of their state.

- 357 WRIGHT, THOMAS C. "Origins of the Politics of Inflation in Chile, 1888-1918," 53:2 (May 1973), 239-259.

Chilean cattlemen, through their National Agricultural Society, obtained a protective tariff against Argentine beef in 1888. The Democratic Party, which represented the working class and consumers,



organized opposition to the tariff and its inflating effect on food prices. The tariff was eventually placed on a sliding scale based on price levels. The conflict over inflation established basic patterns of Chilean politics.

- 358 YOUNG, GEORGE F.W. "Bernardo Philippi, Initiator of German Colonization in Chile," 51:3 (August 1971), 478-496.

German-born seaman Bernardo Philippi proposed to the Chilean government that Southern Chile would be ideal for German immigrant settlers. Philippi had been drawn to Chile as a result of association with a traveling German naturalist. A contract with a Berlin museum paid for Philippi's living while he explored the area, drew a map and wrote a proposal for immigration. Philippi was given a commission in the Chilean army and appointed governor of the Straits area. Resigning these positions, he returned to Germany to recruit immigrants. Philippi eventually returned to Chile where he died. However, the German colony in Chile can be traced to his vision and active recruitment.

*Cross References: National Period, Chile*

- 17 DONOSO, RICARDO. "Sociedad de Bibliófilos Chilenos."
- 335 SMITH, GEOFFREY S. "The Role of José M. Balmaceda in Preserving Argentine Neutrality in the War of the Pacific."
- 337 SOLBERG, CARL. "Immigration and Urban Social Problems in Argentina and Chile, 1890-1914."

**Brazil**

- 359 ALEXANDER, ROBERT J. "Brazilian 'Tenentismo,'" 36:2 (May 1956), 229-242.

One of the notable facts about recent trends in Latin American politics has been the rise of indigenous radical nationalist and reform parties. These parties have been represented in Brazil by the *Tenentes*. The *Tenentes*, a group of army officers who never formed a political party, have exercised a strong influence upon the Brazilian government since 1930. Their continued participation in politics reflects the persistence in Brazil of the ideas which gave rise to reformist political parties in other Latin American countries.

- 360 BARTLEY, RUSSELL H. "A Decade of Soviet Scholarship in Brazilian History: 1958-1968," 50:3 (August 1970), 445-466.

Soviet scholarship has only recently discovered the field of Latin American history. The direction of Soviet scholarship is necessarily influenced by Soviet philosophy and political ideology. Consequently, Soviet historical investigation on Brazil has emphasized contradiction of the conclusions of non-Marxist or "bourgeois" historians.

- 361 BOEHRER, GEORGE C. A. "The Church and the Overthrow of the Brazilian Monarchy," 48:3 (August 1968), 380-401.

Traditionally, the overthrow of the Brazilian monarchy in 1889 has been attributed to the withdrawal of support by the Church after the

imprisonment of two bishops in 1874. In fact, the conflict between monarchy and clergy was much older than is implied by this interpretation. Despite the existence of such hostility, the Church actually supported the monarchy against the Republican party, which was strongly anti-clerical and advocated religious toleration, secular education, and complete separation of Church and state.

- 362 BOEHRER, GEORGE C. A. "The Flight of the Brazilian Deputies from the *Cortes Gerais* of Lisbon, 1822," 40:4 (November 1960), 497-512.

The flight of the seven Brazilian deputies from the *Cortes Gerais* in October, 1822, emphasized the schism in the Portuguese world. The schism was brought into sharp focus when Antônio Carlos put forth the São Paulo plan which called for a dual monarchy. During the debates in the *Cortes* the Brazilian delegation was subjected to threats and insults and to various restrictions on its freedom of action. This led the Brazilian deputies to leave Portugal. The Portuguese attitude toward the flight of 1822 indicated that they were on the point of recognizing both the inevitability of Brazilian independence and their own impotence in preventing it.

- 363 CONRAD, ROBERT. "The Contraband Slave Trade to Brazil, 1831-1845," 49:4 (November 1969), 617-638.

According to the terms of an agreement between Great Britain and Brazil, trading in slaves was to cease on March 13, 1830. The next year the Brazilian General Assembly prohibited importation of slaves. Despite the efforts of both British and Brazilian governments, these prohibitions were completely unenforceable. The problem grew worse as government officials, seeing the continued prosperity of the traders, engaged in the traffic themselves. When the conservative Vascelos government took office in 1837, a number of its members advocated restoration of the slave trade. A more liberal government in 1840 made an attempt to enforce the 1831 prohibition, but by 1843 slave trading was again so common that the dealers made little or no attempt at concealment of their activities.

- 364 CONRAD, ROBERT. "Neither Slave nor Free: The *Emancipados* of Brazil, 1818-1868," 53:1 (February 1973), 50-70.

*Emancipados* were the result of blacks seized by authorities from slave traders. By law, administered by a joint Brazilian-British commission, they were assigned to labor service or apprenticeship for a maximum fourteen year period. In reality, the *emancipados* were left in a legal limbo and seldom obtained their freedom.

- 365 DEAN, WARREN. "Latifundia and Land Policy in Nineteenth-Century Brazil," 51:4 (November 1971), 606-625.

The Portuguese system of *sesmarias* grants in Brazil was ended in 1822. Much land was in *posse*, that is, possessed by rights of occupancy but without title. The development of an acceptable land policy became a major political conflict. Various bills were proposed and some enacted with a view to establishing a land-development policy that would encourage immigration, small landholding, and increased opening up of new land. When the Empire fell in 1889, all remaining public land was

turned over to state governments, the intentions of the national bureaucracy still unrealized. The powerlessness of the imperial government in land policy is indicative of the general irrelevance of that government to the real political life of the country.

- 366 DEAN, WARREN. "The Planter as Entrepreneur: The Case of São Paulo," 46:2 (May 1966), 138-152.

The economic strength of the modern state of São Paulo, despite the Paulista tendency to explain it in terms of cultural uniqueness, is probably due to the unique demands of coffee cultivation. A brief summary of economic development from the early nineteenth century to the mid-twentieth century demonstrates that coffee planting required a capitalist rather than a seigniorial type of agriculture and that the necessity of clearing virgin land stimulated innovation in transportation and industrial production.

- 367 DELLA CAVA, RALPH. "Brazilian Messianism and National Institutions: A Reappraisal of Canudos and Joazeiro," 48:3 (August 1968), 402-420.

The traditional interpretation of the popular religious movements in Brazil from approximately 1870 to 1930 assumes the existence of a dual society, and attributes the origin of such movements to religious fanaticism among rural populations. On both points, this is incorrect. The movements were messianic in nature and used popular religion as a means of political if not social protest. To do so, the centers of the messianic movements had to be closely involved in national politics and the national economy, as well as maintaining clearly defined ecclesiastical ties with the rest of the nation.

- 368 DONALD, CARR L. "The New Series on Brazilian *Municípios*," 38:4 (November 1958), 548-549.

The Instituto Brasileiro de Geografia e Estatística (IBGE) has stimulated studies of Brazilian *municípios*. Studies arranged into geographical and statistical divisions represent over twenty years of collecting data. Despite some minor limitations within the series, this informative data is valuable in appraising Brazilian local political and economic geography.

- 369 DUDLEY, WILLIAM S. "Institutional Sources of Officer Discontent in the Brazilian Army, 1870-1889," 55:1 (February 1975), 44-65.

After the Paraguayan War the financial and manpower needs of the Brazilian army were neglected. Promotion rates, especially for younger officers, were slow, pay and allowances lagged, and low appropriations for equipment all contributed to discontent with the empire. A generational gap developed between the younger professional officers and the older Paraguayan veterans who occupied the higher ranks. The failure of the government to support the senior officers brought their acquiescence when radicalized junior ranks organized the golpe.

- 370 DULLES, JOHN W. F. "Farewell Messages of Getúlio Vargas," 44:4 (November 1964), 551-553.

Detailed comment on both suicide messages, but particularly on the second, typed statement called the *carta-testamento*, which is felt to be

authentic, if not necessarily intended to be a suicide note when Vargas composed it.

- 371 <sup>v</sup> EISENBERG, PETER L. "Abolishing Slavery: The Process on Pernambuco's Sugar Plantations," 52:4 (November 1972), 580-597.

The abolition of slavery in Brazil was a gradual process that slowly shifted the basis of sugar production to free labor. The cut off of slave imports from Africa raised the price of slaves three and four times. The Free Womb Law increased the supply of cheap contract workers. Manumission and finally abolition meant that ex-slaves could be hired for less than the earlier maintenance cost of slaves. Tenant farming became a basis for part payment and the cyclic nature of sugar production meant that wages only need be paid during peak periods.

- 372 FLORY, THOMAS. "Judicial Politics in Nineteenth Century Brazil," 55:4 (November 1975), 664-692.

The means of political integration and stabilization of the Brazilian nation under the Empire lay not so much in the person of the monarch but in governmental structures. The professional magistracy was one institution that centralized monarchical authority. Appointment to the magistracy became an intensely political matter and judicial reform gave linkages between the government and local communities and their elites.

- 373 <sup>λ</sup> GALLOWAY, J. H. "The Last Years of Slavery on the Sugar Plantations of Northeastern Brazil," 51:4 (November 1971), 587-605.

At mid-century the slave population of Northeast Brazil was about 350,000 which remained stable for decades despite the end of the slave trade and the Law of Free Birth. Sugar plantations employed the majority of the slaves. Slaves often represented a major portion of *lavradores'* wealth; however, only 1½ percent of the population were slave-owners. Various schemes to replace slave labor were suggested but in the end the local free population filled the demand. The nature of the tenant class *moradores* was changed requiring them to work the landlords' fields in return for tenancy. Slavery was not an economic necessity but represented base of wealth and social life. The abolition of slavery, therefore, marked a step in the change of social structure in the Northeast.

- 374 <sup>v</sup> GRAHAM, RICHARD. "Causes for the Abolition of Negro Slavery in Brazil: An Interpretive Essay," 46:2 (May 1966), 123-137.

The causes of the abolition of slavery in Brazil have been treated only in a general way, usually emphasizing the effect of humanitarian sentiment. The causes may more likely be found in the continuing political pressure exerted by both the British government and Brazilian abolitionists as well as in the development of the export economy in Brazil which caused a dichotomous development between urban and rural areas.

- 375 GRAHAM, RICHARD. "Mauá and Anglo-Brazilian Diplomacy, 1862-1863," 42:2 (May 1962), 199-211.

Concerned over commercial and monetary losses which threatened to arise when an overzealous British minister provoked British reprisals against Brazilian shipping, Mauá successfully maneuvered between difficult positions taken by both governments. As a result, arbitration was



arranged, and Brazil emerged from an unfavorable power relationship in such a way as to reassert her independence of British diplomacy.

- 376 HAHNER, JUNE E. "The Paulistas' Rise to Power: A Civilian Group Ends Military Rule," 47:2 (May 1967), 149-165.

The election to the presidency of Brazil in 1894 of Prudente de Morais was in part the result of the unity of the São Paulo Republican party. While governor of the São Paulo, Prudente had struggled to keep the state free from the intervention of the military central government, creating a virtually autonomous political, economic, and military entity.

- 377 HAMILTON, CHARLES GRANVILLE. "English-Speaking Travelers in Brazil, 1851-1887," 40:4 (November 1960), 533-547.

Generally speaking, travel accounts of Brazil during the reign of Dom Pedro II can be differentiated on the basis of the decade in which they were written. The 1850s produced books that were both elementary and exhaustive, the 1860s works stressed flora and fauna, and the 1870s volumes were more prejudiced than many of their predecessors. The best books were those of the 1890s when writers had the works of the forerunners on which to build. The various authors of these accounts differed widely in background and occupation, with some authors displaying a pronounced anti-Latin, anti-Catholic bias. The outlook of the travelers was conditioned by nineteenth-century optimism which saw reason dissipating superstition and science dispelling ignorance.

- 378 HILTON, STANLEY E. "Military Influence on Brazilian Economic Policy, 1930-1945: A Different View," 53:1 (February 1973), 71-94.

During the 1930-1945 period the Brazilian military gave first priority to increased spending on armaments to update highly deteriorated equipment. They pressured Vargas to place arms contracts toward this end. The construction of a national steel industry was not only of interest to the military but also a long term plan of Vargas.

- 379 JOHNSON, H. B., JR. "The Donatary Captaincy in Perspective: Portuguese Backgrounds to the Settlement of Brazil," 52:2 (May 1972), 203-214.

The concept of the donatary captaincy has been misconstrued as a type of feudal institution. The historical basis for the captaincy system is to be found in the medieval Portuguese *senhorio*. The *senhorio* can be traced from a fourteenth century grant, to a sixteenth century grant in the Azores which carried with it the title of captain. The grants in Northeast Brazil followed the same pattern. The *sesmaria* system used in the Brazilian captaincies can be traced back to population practices in Portugal after the expulsion of the Moors.

- 380 JONES, WILBUR DEVEREUX. "The Origins and Passage of Lord Aberdeen's Act," 42:4 (November 1962), 502-520.

British designs on trade in the Plata and Brazilian regions were joined with her policy of eliminating the African slave trade in Brazil in the 1840s. Commercial and anti-slave trade treaties that has been concluded with Portugal and Brazil expired in that decade, and to Lord Aberdeen, as Prime Minister, fell the task of negotiating new ones. The background of

the diplomatic positions and the question of legality illustrated an unusual use of power by Aberdeen in the cause of limiting the Brazilian traffic in slaves under that act.

- 381 KENNEDY, JOHN NORMAN. "Bahian Elites, 1750-1822," 53:3 (August 1973), 415-439.

Careful study of colonial Bahian elites indicates that birthplace was not the determinant of social or political status. While there were tensions between European-born and the locally-born elites, both were well served by Portuguese administration. The elite was united to maintain their position in a society that was predominantly non-white and to retain their economic position.

- 382 KLEIN, HERBERT S. "The Internal Slave Trade in Nineteenth-Century Brazil: A Study of Slave Importations into Rio de Janeiro in 1852," 51:4 (November 1971), 567-585.

Abolition of the Atlantic slave trade in 1850 resulted in increased internal slave trade in Brazil. Data for this internal trade is available from port registrations which cover that trade into Rio de Janeiro which occurred by sea. Most other quantitative sources were destroyed. Most of the slaves were Brazilian-born male adults. Skilled artisans and domestic servants were traded on a higher percentage basis than their share of the slave population. Slave birthplaces are broken down on the basis of African tribal origin or Brazilian provinces and on the basis of the port of Brazilian exportation. Fieldhand labor was not supplied by the internal sea trade but by intra-regional sources. Numbers of slaves moved by sea were small and amounted to a minor role in interprovincial commercial trade.

- 383 LAFEVER, WALTER. "United States Depression Diplomacy and the Brazilian Revolution, 1893-1894," 40:1 (February 1960), 107-117.

The United States depression of 1893 coincided with the Brazilian naval revolt. Secretary of State Walter Quintin Gresham was deeply concerned with the revolt because of the 1891 reciprocity treaty with Brazil, for he feared that a rebel victory would hurt trade with Brazil. And although President Grover Cleveland declared that the United States had maintained a "firm attitude of neutrality," it is evident that American actions strengthened the government and weakened the rebels.

- 384 MARCHANT, ANYDA. "Dom João's Botanical Garden," 41:2 (May 1961), 259-274.

The flight of Dom João to Brazil saw a reversal in Brazil's position from that of backward colony to that of center of industry and trade. He established the Botanical Garden at Rio de Janeiro to serve as the focal point for the acclimatization and dissemination of plants useful in the Portuguese domains. The chief plants which Dom João had in mind for propagation in Brazil were those of the Far Eastern tropics—tea, cinnamon, camphor, nutmeg, and clove—which at the time supplied a lucrative trade with Europe. After independence, Brother Leandro, a Carmelite friar, was appointed director of the garden; he built picturesque monuments, organized the first catalog of plants then being cultivated, and rehabilitated the tea plantation.

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- 385 RAMOS, DONALD. "Marriage and the Family in Colonial Vila Rica," 55:2 (May 1975), 200-225.

The accepted view of Brazilian colonial life posits the supremacy of the patriarchal, extended family in social organization. Data from Vila Rica shows that such a view is limited and that many family types existed. The marriage rate was low and household size varied greatly with women heading many households. Marriage thus became a symbol of status differentiation.

- 386 RUSSELL-WOOD, A. J. R. "Black and Mulatto Brotherhoods in Colonial Brazil: A Study in Collective Behavior," 54:4 (November 1974), 567-602.

Lay Christian brotherhoods, based on corporate responsibility for the physical welfare of members and their dependents, developed among urban blacks and mulattos of colonial Brazil. Brotherhoods provided various services including burial, communal funds for purchasing certificates of freedom, social aid, and integration into the framework of Brazilian society.

- 387 SAUNDERS, J. V. D. "A Revolution of Agreement Among Friends: The End of the Vargas Era," 44:2 (May 1964), 197-213.

A series of background conditions and events in Brazil are presented in this description of the suicide of Getúlio Dornelles Vargas on August 24, 1954. Brazil was experiencing economic and political unrest. Investigation by the Air Force revealed an assassination plot to be within the presidential guard and at its center a man long known to Vargas and in his confidence. This added to the smoldering dissatisfaction, and a decision by the army that he should resign. His military supporters agreed to this "revolution of agreement among friends" to avert civil war. Vargas took his own life. A short, handwritten suicide note was left and is considered authentic; a second long, typed political manifesto was believed to be of doubtful authenticity.

- 388 SCHWARTZ, STUART B. "Francisco Adolfo de Varnhagen: Diplomat, Patriot, Historian," 47:2 (May 1967), 185-202.

Varnhagen is compared to Domingo F. Sarmiento, Euclides da Cunha, and Francisco Encina. He was an ardent patriot, a believer in progress, a monarchist, and an historian whose *Historia General do Brasil* was exhaustively researched and is still useful after more than a hundred years. Before his death in 1878 Varnhagen was rewarded by Don Pedro II with the title Visconde do Porto Seguro and a diplomatic post in Vienna.

- 389 SKIDMORE, THOMAS E. "The Historiography of Brazil, 1889-1964: Part I," 55:4 (November 1975), 716-748.

Scholarly analysis of Brazilian history has greatly expanded over the last fifteen years. Re-evaluations have been made of in most areas of political, social and economic history but several lacunae remain to be filled, especially in the post 1930 period.

- 390 STEIN, STANLEY J. "Freyre's Brazil Revisited: A Review of *New World in the Tropics: The Culture of Modern Brazil*," 41:1 (February 1961), 111-113.

A review article.

- 391 STEIN, STANLEY J. "The Historiography of Brazil 1808-1889," 40:2 (May 1960), 234-278.

Three trends appear for the period since World War I: the output of historical works has increased; economists, anthropologists, and sociologists are contributing to the writing of history; and "historical publications were more analytical than narrative, reflecting the growing professionalism of the historian's craft." Recent writers have sought social and economic causes in the revolutionary movements of the imperial era. The period 1849-1889 has attracted more writers than any other; until the 1920s the purpose was to attack the empire; since that time the purpose has been to defend it. Slavery has not been studied critically, and immigration was ignored until the recent investigations of Emilio Willems and Sérgio Buarque de Holanda. Gilberto Freyre and others turned to agricultural history but neglected industry and finance except in studies of Mauá. With regard to the empire's fall recent writers have de-emphasized militarism but have given much attention to the religious question.

- 392 TAMBS, LEWIS A. "Rubber, Rebels and Rio Blanco: The Contest for the Acre," 46:3 (August 1966), 254-273.

The beginning of rubber production and export antedated independence in Brazil and Spanish South America. Brazilian renunciation, after independence, of the 1777 Treaty of San Ildefonso began a diplomatic and military contest between Brazil and Bolivia for the Acre Territory that continued throughout the nineteenth century and into the twentieth. The dispute was settled in 1903 when the Brazilian Minister of Foreign Affairs, the Baron Rio Branco, after a military victory over Bolivian forces, finally acquired the Territory for Brazil.

- 393 TIGNER, JAMES LAWRENCE. "Shindō Remmei: Japanese Nationalism in Brazil," 41:4 (November 1961), 515-532.

Prior to the outbreak of World War II, the Japanese in Brazil tended toward cultural isolation. During the war years the colony was subjected to the same wartime restrictions as Germans and Italians, although few Japanese suffered any real hardship. A minority of nationalistic Japanese leaders created an organization to indoctrinate the passive majority in fidelity to the emperor and for their return to a triumphant homeland. The result was the *Liga do Caminho dos Súditos*, or Shindō Remmei (Way of the Subjects of the Emperor League), founded covertly in 1944 and intensified when news of the Japanese surrender in the Pacific reached them. Propaganda actually convinced many that Japan had won, racketeers extorted huge sums for victory expenses, medals, tickets for the voyage to Japan, and fictitious land sales in the Philippines and Java.

- 394 TILLER, ANN QUIGGINS. "The Igniting Spark—Brazil, 1930," 45:3 (August 1965), 384-392.

The plans for the Brazilian Revolution of 1930 were in a state of indecision until the assassination of the governor of the state of Paraíba, João Pessoa, in July, 1930. Pessoa opposed the traditional power structure in Paraíba in a civil war starting in March, and the federal government under Washington Luis was instrumental in impeding and even



threatening to intervene there. Pessoa was also Vargas' running mate for the Aliança Liberal. Political agitation in Paraíba brought such pressure on the planners of the Revolution that the assassination act unwittingly gave the impetus needed to overthrow the Washington Luis government on October 3.

- 395<sub>x</sub> TOPLIN, ROBERT BRENT. "Upheaval, Violence, and the Abolition of Slavery in Brazil: The Case of São Paulo," 49:4 (November 1969), 639-655.

The abolition of Brazilian slavery was comparatively peaceful, but was in part the result of years of violence preceeding the abolition itself. The passage of the Saraiva-Cortagipe Law in 1885 ended all hope for legislative reform, and the abolitionists began to use more direct methods. Following the tactics of Antonio Bento, they attempted to organize the slave population of the fazendas, encouraging them to run away, and when possible supplying arms and advice for revolts. This worked so well that the police forces were immobilized; on occasion groups of slaves sought out and attacked the police. At this point, the government attempted to use the army to assist the police, but the officer corps, partly persuaded by the Military Club, refused to do so. With no means of protection and bearing a full scale social revolution, the government had little choice in the matter of abolition.

- 396 WILLIAMS, MARGARET TODARO. "Integralism and the Brazilian Catholic Church," 54:3 (August 1974), 431-452.

Mutual interests developed into a strong alliance between the *Acção Integralista Brasileira* and the Catholic Church. Cardinal Leme sought a political vehicle to express Church interest and the Integralists furthered that strategy. Consequently, many Catholic laymen and clergy worked actively and held high office in the party. At the same time Integralismo borrowed heavily from Catholic doctrine. The alliance lasted until 1937 when the political parties were banned by Getúlio Vargas.

- 397 WIRTH, JOHN D. "Tenentismo in the Brazilian Revolution of 1930," 44:2 (May 1964), 161-179.

The brief, but dominant, radical movement of young army officers from 1930 to 1932 is re-examined in the light of their program, organization, political role, and strategy within the Liberal Alliance. Supporting Getúlio Vargas, they constituted a key element in the Alliance. Their espousing of authoritarian nationalism rather than socio-economic change, especially land reform, revealed a limited radicalism. By 1931 the Liberal Alliance had failed and with it *tenentismo*. Vargas used the *tenentes* and absorbed their vague program.

- 398 YOUNG, JORDAN. "Military Aspects of the 1930 Brazilian Revolution," 44:2 (May 1964), 180-196.

The crucial role of the army in Brazilian politics is examined, and the plans leading to the October 3 revolution are traced from their origins. With Rio Grande do Sul as a central focus, preparations by anti-government politicians and officers in exile were carried out. Beginning in Rio Grande do Sul, Minas Gerais, and Paraíba, the military steps leading to the fall of the government are detailed. The *tenentes* shared

military operations, but their main contribution was to the psychological climate of opinion toward rebellion.

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- 42 WIRTH, JOHN D. "A German View of Brazilian Trade and Development."

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The purpose of this Review is to provide an organ for the publication of historical materials and historical news relating to Hispanic America. While materials produced by historians in the United States will be given preference, the Review is expected to include appropriate material by and about historians in Hispanic America and in Europe. The members of the Board of Editors, Advisory Editors, and the Associate Editors for Foreign Countries shall receive complimentary subscriptions to the Review during their periods of service.

## ARTICLE III. *Board of Editors*

The Board of Editors shall consist of from nine to twelve members, elected ordinarily for a term of six years by the Board from names submitted by the Advisory Editors. Members of the Board are expected to assist the Managing Editor in the selection of material to be published in the Review and on policy matters. They are not immediately eligible for reelection.

## ARTICLE IV. *Advisory Editors*

The Advisory Editors are distinguished scholars in the field of Hispanic American history in the United States whose experience and judgment qualify them for their important function which is to present nominations for the Board of Editors and for the post of Managing Editor when necessary.

The Advisory Editors are elected by the Board of Editors at such time as the Board may deem convenient and hold office for the period of the individual's academic career, plus five years, or until resignation.

## ARTICLE V. *The Managing Editor*

The Managing Editor has the responsibility for editing the Review in accordance with policies determined by the Board of Editors, of which he is *ex officio* a member, and in conformity with the general regulations of Duke University Press. He conducts correspondence, oversees the process of selecting material for publication and, after securing advice from the Board or members of the Board, makes decisions on the publication of material submitted. He is

also responsible for editing copy to conform with the editorial style of the Review, reading proof, and for keeping in touch with Duke University Press in all matters which may come up relating to the editorial management of the Review. The Managing Editor maintains relations with Associate Editors for Foreign Countries; obtains books for review; keeps the Board of Editors and Advisory Editors informed of problems which may come up; presides at meetings of the Board; and carries on from his office the correspondence necessary for conducting elections as explained below in Article VIII.

The Managing Editor may propose to the Board the appointment of persons to assist him in his duties such as an Associate Managing Editor, Associate for Bibliography, Associate for Archives, or any other similar post as the Board may approve. The duties of such assistants are determined by mutual agreement between the Managing Editor and the persons concerned and they hold office at the pleasure of the Board, but not beyond the term of office of the Managing Editor whom they were selected to assist. The Managing Editor is provided with an expense fund by Duke University Press, to be used at his discretion for secretarial assistance or for such other purposes as may seem reasonable, for the better conduct of his work.

The Managing Editor shall serve at the pleasure of the Board. He shall inform the Board of his intention to resign at least one year before such resignation goes into effect. When a new Managing Editor shall have been elected and shall have indicated his willingness to serve, his name shall be submitted to the Director of Duke University Press for appointment. Formal appointment of the Managing Editor shall be made by the President of Duke University with the advice and consent of the Director of the Duke University Press.

#### ARTICLE VI. *Associate Editors for Foreign Countries*

From time to time the Managing Editor may nominate and the Board of Editors may elect Associate Editors of the Review in Foreign Countries. Such persons shall be scholars in the field of Hispanic American history or in some part of that field. They shall undertake to provide information to the Review concerning news of interest in their countries, shall assist in obtaining books for review, and in general shall represent the Review in their countries. They shall hold office for a five year period and may not be reelected immediately at the expiration of their terms.

#### ARTICLE VII. *Meetings of the Board of Editors*

There shall be an annual meeting of members of the Board of Editors and Advisory Editors to coincide with the annual meeting of the American Historical Association. The Managing Editor shall inform members of the exact time and place of the meeting and circulate an agenda in advance, but no action of the Board shall be taken without a quorum, which shall be a majority of the members.

At each annual meeting the Managing Editor shall report on his conduct of the Review during the preceding year; he shall do this either orally or in writing. Minutes of the proceedings of the Board shall be kept and absent members shall be informed of what takes place at meetings they have not been able to attend. Meetings of the Board shall be conducted informally. In case of dispute over procedure, the Managing Editor, as Chairman of the Board, shall rule and if a member shall question the ruling, the matter shall be decided by a majority vote

## Bylaws of the Board of Editors of the HAHR

of those present. Discussion may be informal, but any resolution made shall be adopted according to Robert's *Rules of Order*.

### ARTICLE VIII. *Conduct of Elections*

1. *Elections of Members of the Board:* The Managing Editor shall request each advisory editor to submit two names for every vacancy which shall occur in the Board owing to the termination of the term or resignation of a member, or if the Board shall decide to elect additional members within the limits provided above in Article III. The Managing Editor shall circulate the nominations received to members of the Board and shall request them to indicate by a mail ballot their choices. The Managing Editor shall bring to the annual meeting the names of the candidates who shall have received the greatest number of votes (in number equal to two times the number of persons to be elected). The members of the Board present at the annual meeting shall choose between these candidates by a written ballot. In case that for any reason such a preliminary mail ballot shall not have been carried out the Board may, if it so wishes, conduct final election of new members from the entire list of nominees submitted by the Advisory Editors.

As stated in Article IX, one member of the Board is to be elected by the Conference on Latin American History. The Board may from time to time elect a member for a term of less than the regular six year term.

2. *Election of the Managing Editor:* The election of a Managing Editor shall be conducted as in the case of elections of members of the Board of Editors except that there shall be no preliminary mail ballot. All nominees, except those who shall have indicated their unwillingness to serve if elected, shall be voted upon at the meeting following such nominations. In case there shall not be a majority vote upon the canvass of the first written ballot, the two persons obtaining the greatest number of votes shall be candidates in a final ballot.

3. *Election of Associate Editors for Foreign Countries and of other persons for special functions:* Such persons shall be elected on the recommendation of the Managing Editor at the annual meeting or by a mail vote.

### ARTICLE IX. *Relationship with the Conference on Latin American History of the American Historical Association.*

The Review shall be published in cooperation with the Conference and this relationship shall be so stated in each issue of the Review. The Review will publish regular notices on the activities of the Conference and its members and the Conference shall assist the Review in every way possible. One member of the Board of Editors shall be elected by the Conference.

The Conference shall have no part in the conduct of the Review. The Chairman of the Conference will be welcome to attend Board meetings and to have a voice, but no vote, on matters concerned with the relationship of the Conference and the Review.

### ARTICLE X. *Amendments to the Bylaws*

At any meeting of the Board amendments may be proposed and adopted by a majority vote of those present. These shall be communicated to members who were not present and if any of these shall object, the amendment shall have no effect until adopted a second time at a subsequent meeting.

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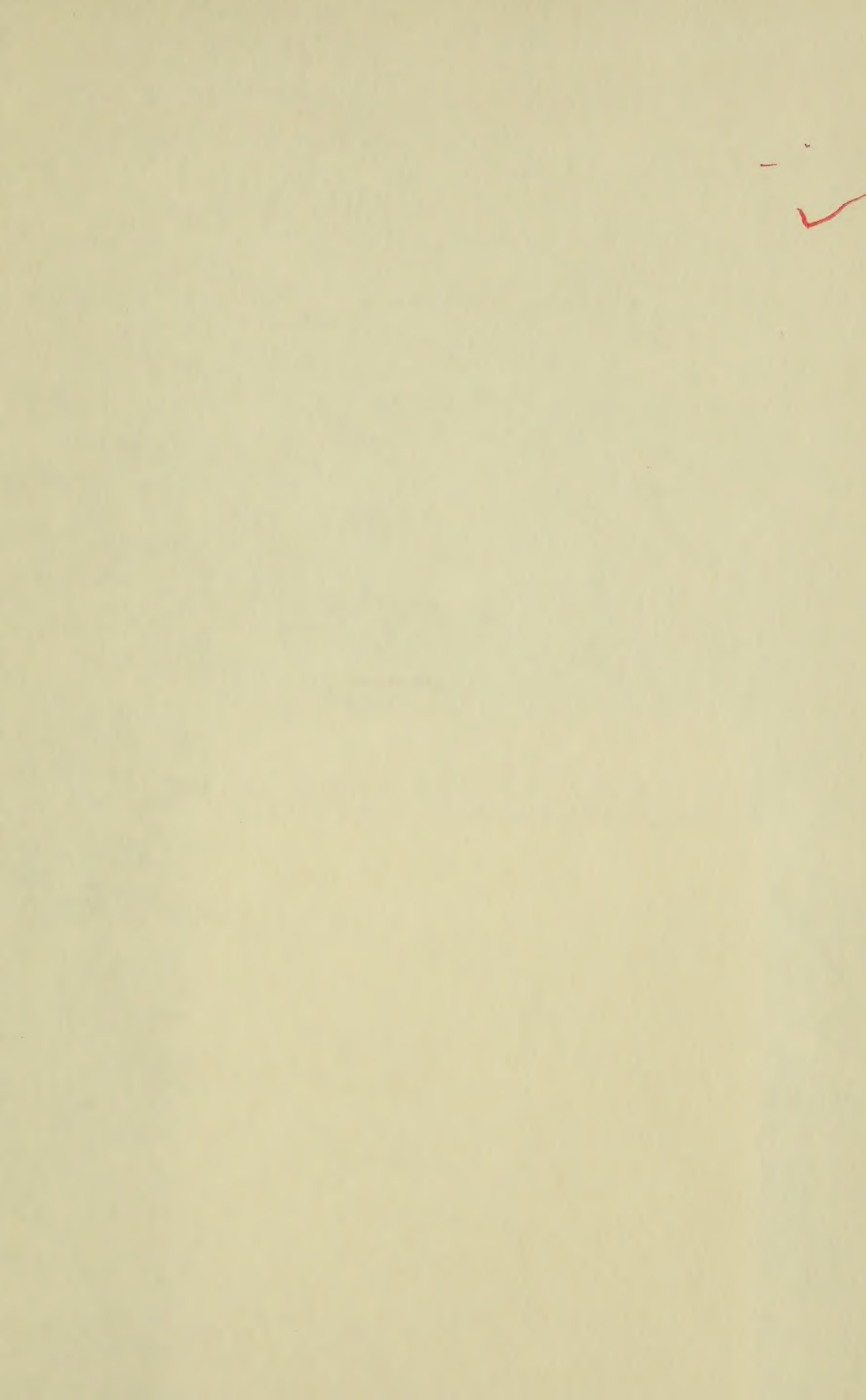
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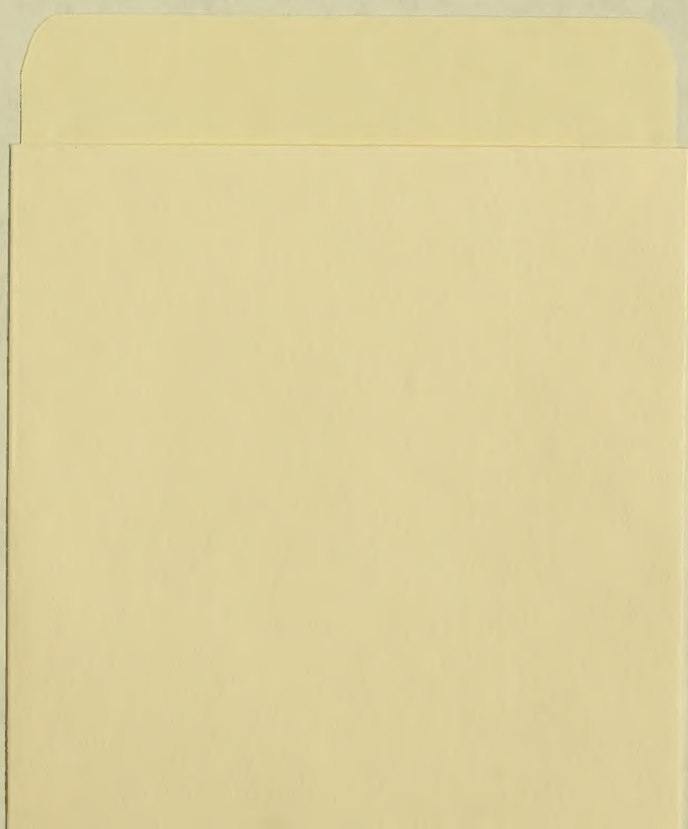
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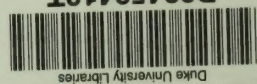
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